

Automata minimization and glueing of categories



SIMONS
INSTITUTE
for the Theory of Computing



13 12 2017 Berkeley
Thomas Colcombet
joint work with Daniela Petrișan

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DE RECHERCHE
EN INFORMATIQUE
FONDAMENTALE



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AGENCE NATIONALE DE LA RECHERCHE
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Automata minimization and glueing of categories

[MFCS 2017] & [Informal presentation in SIGLOG column]



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DuaLL



Description of the
situation

Automata

Automata

An **deterministic automaton** is

$$\langle Q, i, f, (\delta_a)_{a \in A} \rangle$$

where

Q is a set of **states**,

$i: 1 \rightarrow Q$ is the **initial map**

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Rabin & Scott

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$$[[\mathcal{A}]]: A^* \rightarrow [1, 2]$$

$$u \mapsto f \circ \delta_u \circ i$$

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These data can modeled
as a functor.

Example

$$L_{\text{Vec}}(u) = \begin{cases} 2^{|u|_a} & \text{if } |u|_b \text{ is even, and } |u|_c = 0 \\ 0 & \text{otherwise} \end{cases}$$

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Is it possible to do better?

A better implementation

$$L_{\text{Vec}}(u) = \begin{cases} 2^{|u|_a} & \text{if } |u|_b \text{ is even, and } |u|_c = 0 \\ 0 & \text{otherwise} \end{cases}$$

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Informally: use one bit for the parity to the number of b's.

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Why is it a better implementation?

Is there a good notion of such automata?

What are their properties (e.g. minimization) ?

A definition via
categories

Automata in a category

Automata in a category

A **(C,I,F)**-automaton is

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where

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The **(C,I,F)**-language computed is:

$$\begin{aligned} & \overbrace{[[\mathcal{A}]]: A^* \rightarrow [I, F]} \\ & u \mapsto f \circ \delta_u \circ i \end{aligned}$$

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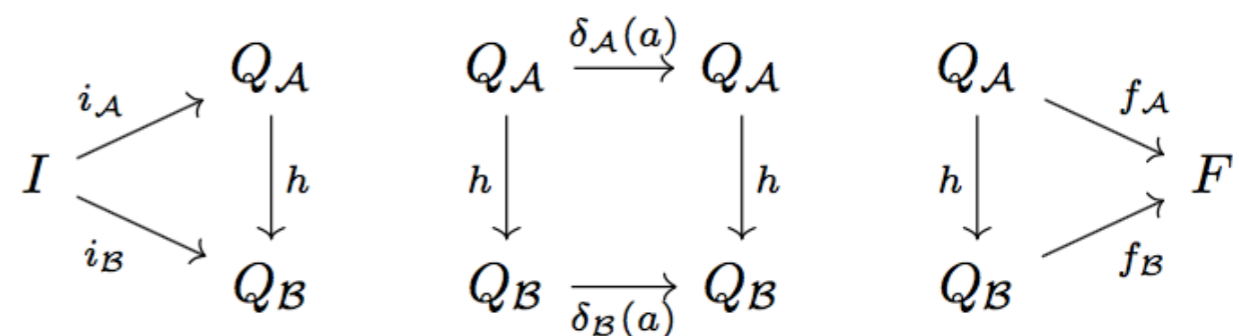
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such that tfdc:



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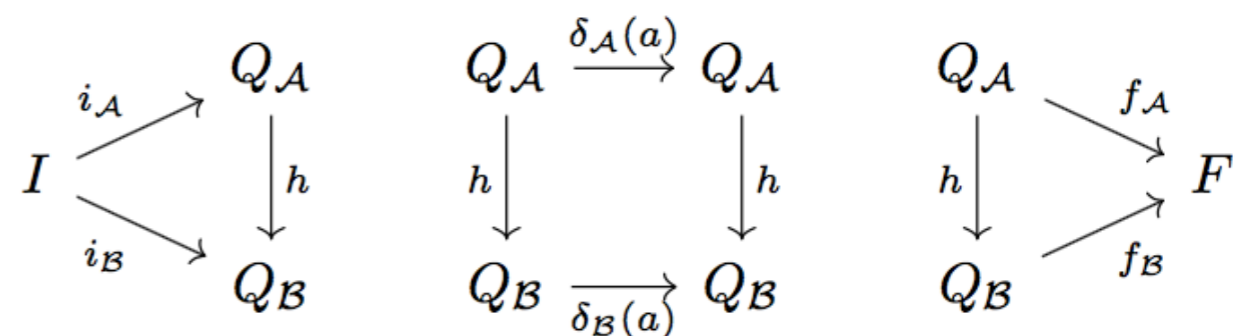
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Rk: Morphisms preserve the language.

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- **(Set, 1, 2)-automata** are deterministic automata
- **(Rel, 1, 1)-automata** are non-deterministic automata
- **(Vec, K, K)-automata** are automata weighted over a field K . (more generally semi-modules)
- ...

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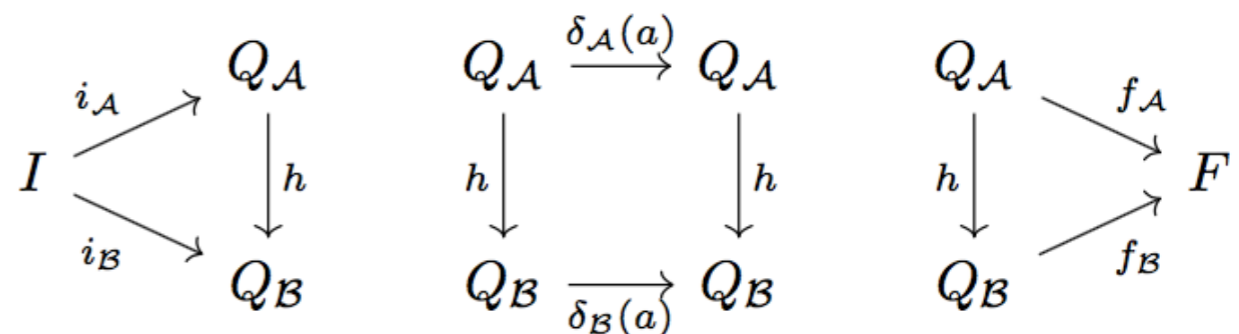
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Category of disjoint unions of vector spaces

(free co-product
completion of **Vec**)

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completion of **Vec**)

A **disjoint union of vector space** is an ordered pair

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Let **Duvs** be the category with

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A **morphism** from $(I, (V_i)_{i \in I})$ to $(J, (W_i)_{i \in J})$ is the pair of:

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- a map f from I to J

Category of disjoint unions of vector spaces

(free co-product completion of **Vec**)

A **disjoint union of vector space** is an ordered pair

$$(I, (V_i)_{i \in I})$$

where I is a **set of indices**, and V_i is a **vector space** for all $i \in I$.

Let **Duvs** be the category with

- as objects the finite unions of vector spaces
- as arrows the morphisms of finite unions of vector spaces.

A **morphism** from $(I, (V_i)_{i \in I})$ to $(J, (W_i)_{i \in J})$ is the pair of:

- a map f from I to J
- a linear map g_i from V_i to $W_{f(i)}$ for all $i \in I$.

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Remark: **Vec** is a subcategory of **Duvs**.

Duvs-automata

$$L_{\text{Vec}}(u) = \begin{cases} 2^{|u|_a} & \text{if } |u|_b \text{ is even, and } |u|_c = 0 \\ 0 & \text{otherwise} \end{cases}$$

$$Q = (\{\text{odd}\} \times \mathbb{R}) \cup (\{\text{even}\} \times \mathbb{R})$$

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What can be done?

Minimizing automata via categories

Ingredients for the existence of a minimal automaton

Questions:

Given a (C,I,F) -automaton,

- what does it mean to be minimal?
- at what condition there exists a minimal automaton for a language?
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It suffices to have

1. an initial automaton
2. a final automaton
3. a factorization system

Factorization systems

A pair of families of arrows $(\mathcal{E}, \mathcal{M})$ is a **factorization system** if:

Factorization systems

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$$f = m \circ e$$

for some $e: X \rightarrow Z$ in \mathcal{E} and $m: Z \rightarrow Y$ in \mathcal{M} .

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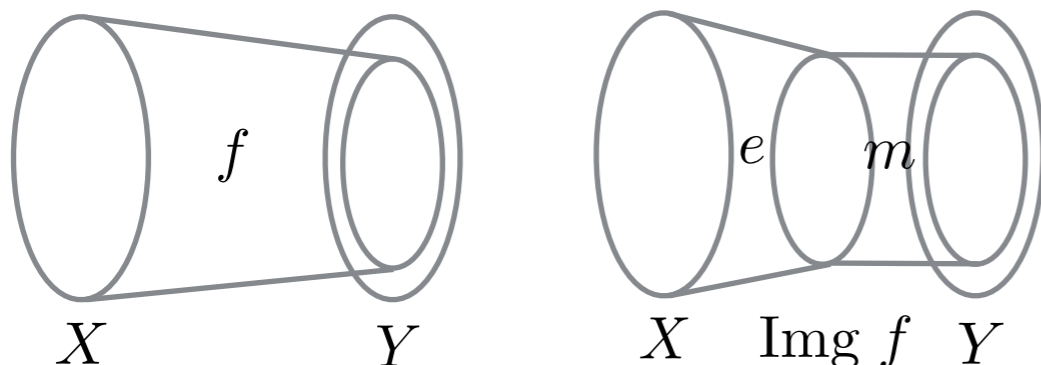
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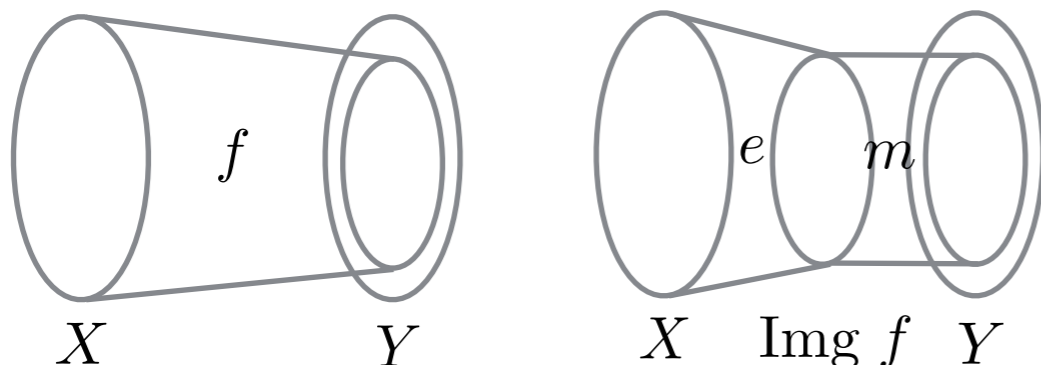
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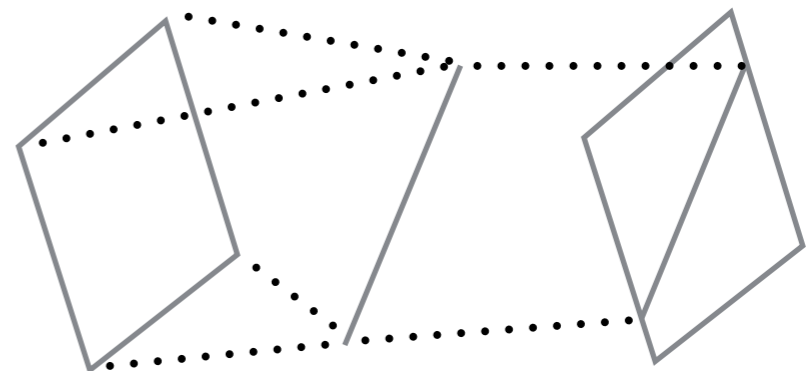
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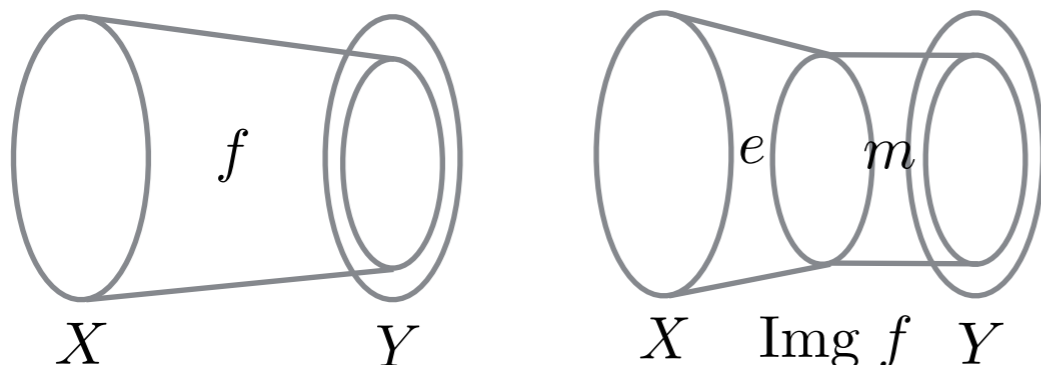
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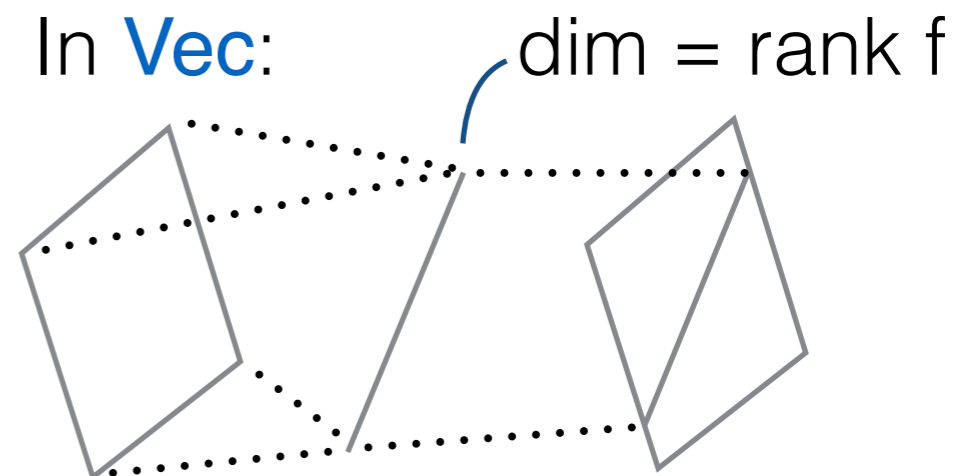
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Lemma: If there is a factorization system $(\mathcal{E}, \mathcal{M})$ in a category \mathcal{C} then it can be lifted to the category of \mathcal{C} -automata for a language: these automata morphisms that belong to \mathcal{E} (resp. \mathcal{M}) as arrows in \mathcal{C} .

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Definition:

- an **\mathcal{M} -subobject** X of Y is such that there is an \mathcal{M} -arrow $m: X \rightarrow Y$,
- an **\mathcal{E} -quotient** X of Y is such that there is an \mathcal{E} -arrow $e: Y \rightarrow X$,
- X **$(\mathcal{E}, \mathcal{M})$ -divides** Y if it is a \mathcal{E} -quotient of an \mathcal{M} -subobject of Y .

Minimization !

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Lemma: In a category with initial object, final object, and a factorization system $(\mathcal{E}, \mathcal{M})$ then:

- there exists an object Min that $(\mathcal{E}, \mathcal{M})$ -**divides** all objects,
- furthermore $\text{Min} \approx \text{Obs}(\text{Reach}(X)) \approx \text{Reach}(\text{Obs}(X))$ for all X ,

where

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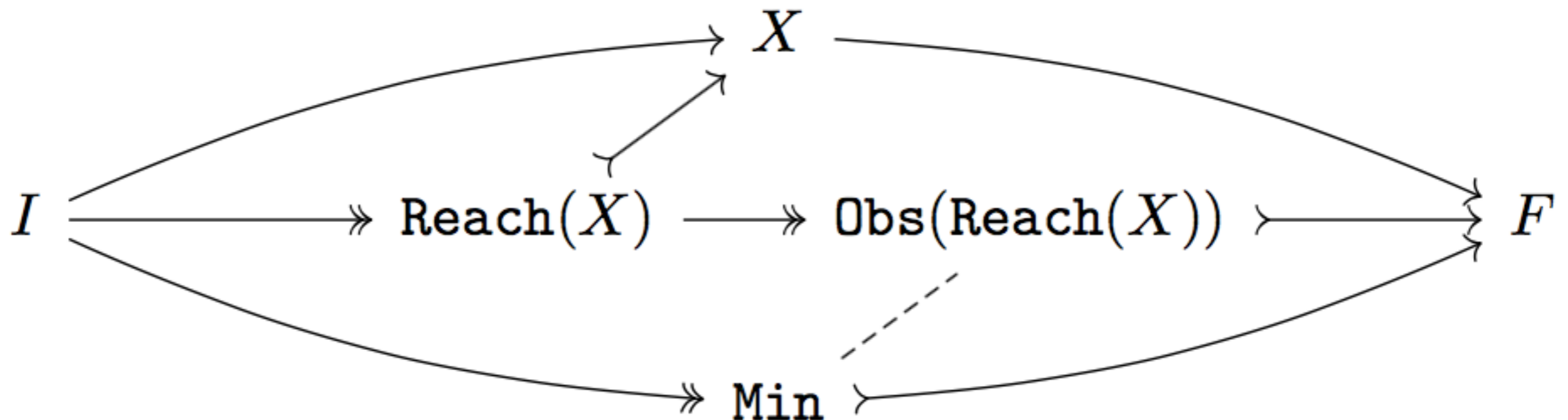
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At this point...

We know that:

- **C-automata** and **C-languages** can be defined generally in a category C , yielding a

category $\text{Auto}(L)$ of « C-automata for the language L »

- for having a **minimal object** in a category, it is sufficient to have:
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But, what about minimizing **duvs-automata**?

Minimization of Duvs automata (wrong version)

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Minimization of Duvs automata is possible (all the ingredient are there).

However, for the definition of factorization system that works (epi,mono), the minimal automaton for

$$L_{\text{Vec}}(u) = \begin{cases} 2^{|u|_a} & \text{if } |u|_b \text{ is even, and } |u|_c = 0 \\ 0 & \text{otherwise} \end{cases}$$

has state space

$$Q = \mathbb{R}^2$$

and not

$$Q = (\{\text{odd}\} \times \mathbb{R}) \cup (\{\text{even}\} \times \mathbb{R})$$

Glueings

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Vec-automaton

$$Q = \mathbb{R}^2$$

$$i(x) = (x, 0)$$

$$f(x, y) = x$$

$$\delta_a(x, y) = (2x, 2y)$$

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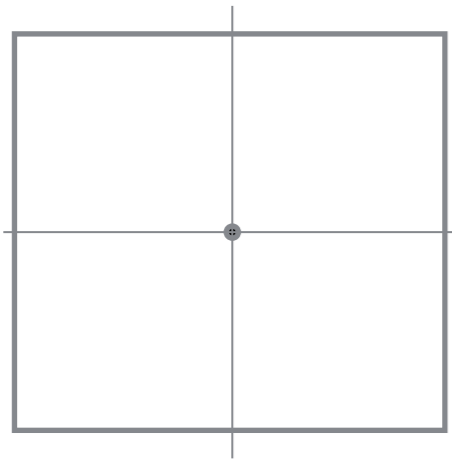
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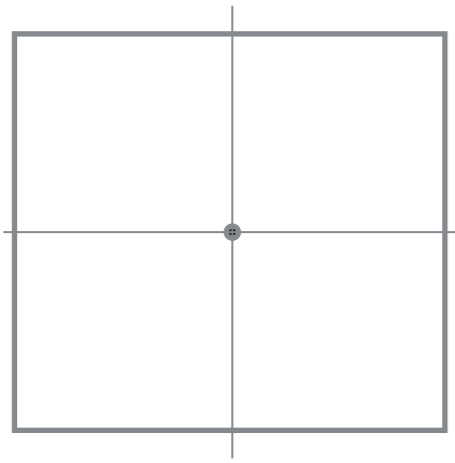
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Duvs-automaton

$$Q = \{\text{odd}, \text{even}\} \times \mathbb{R}$$

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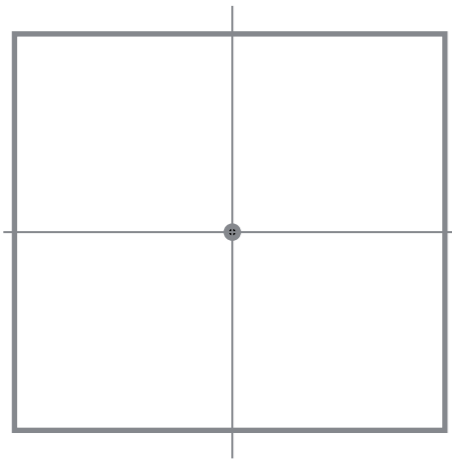
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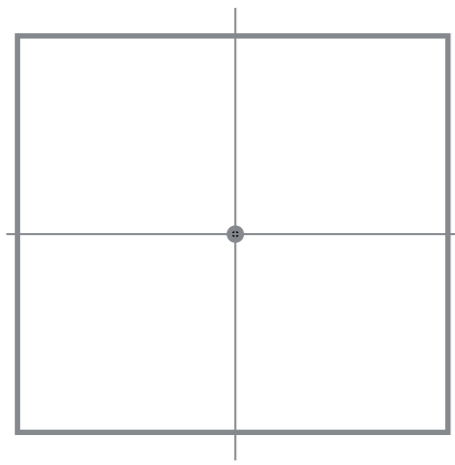
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Glue(Vec)-automaton

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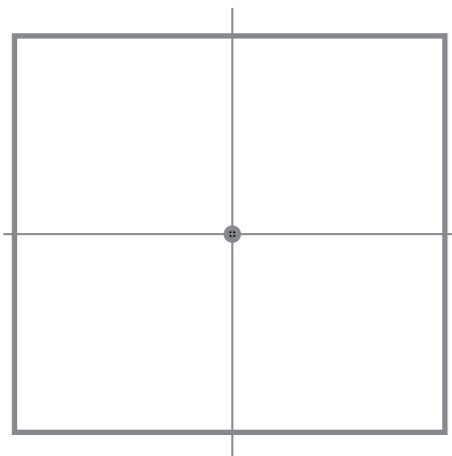
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Duvs-automaton

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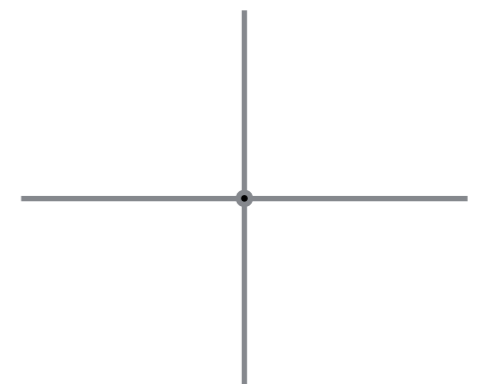
$$\delta_b(\text{odd}, x) = (\text{even}, x)$$

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Glue(Vec)-automaton



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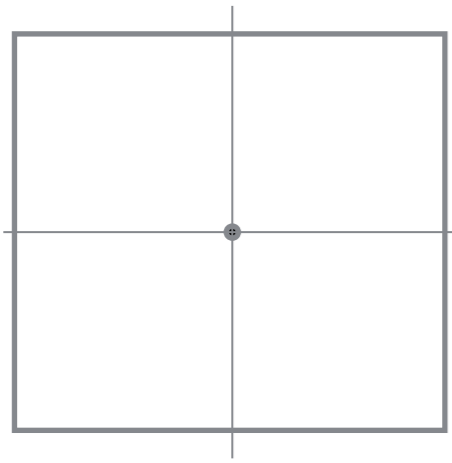
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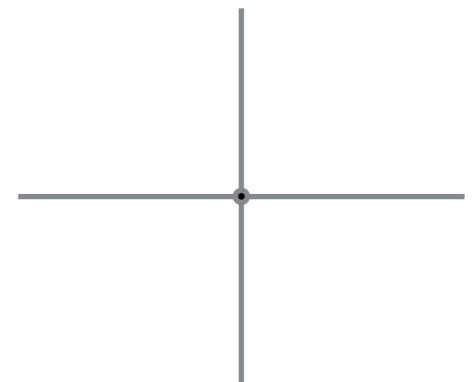
$$\delta_c(\text{even}, x) = (\text{even}, 0)$$

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Glue(Vec)-automaton

?



Defining Glue(Vec)

Defining $\text{Glue}(\text{Vec})$

A **glueing of vector space** is

- a disjoint union of vector spaces
- together with an equivalence relation which:
 - 1) is trivial over each base space
 - 2) defines linear bijections between subspaces when restricted to pairs of base spaces.

Defining Glue(Vec)

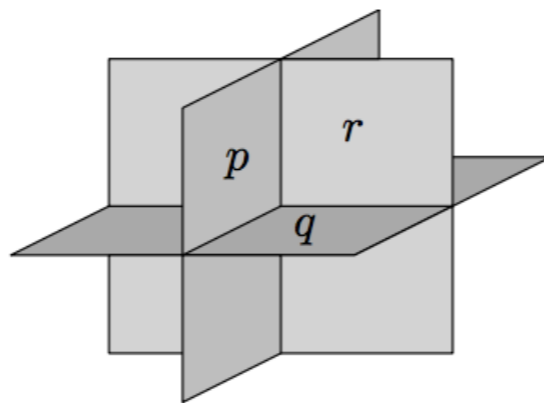
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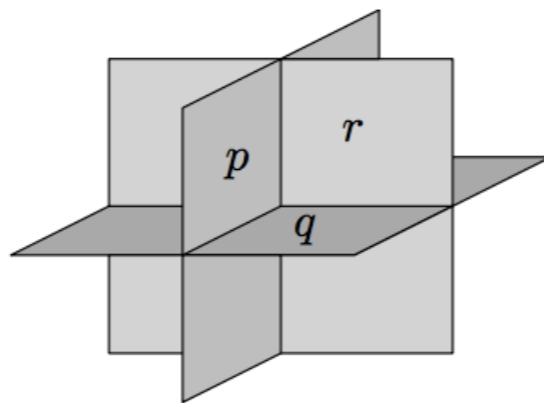
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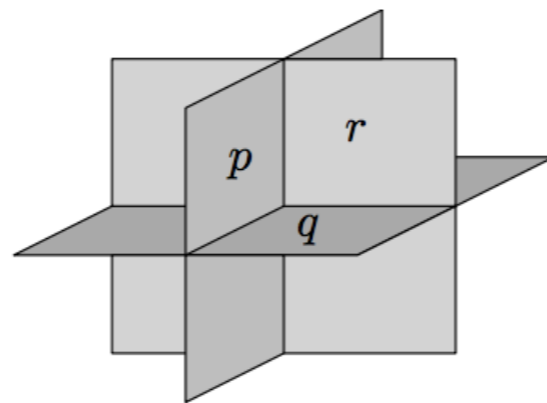
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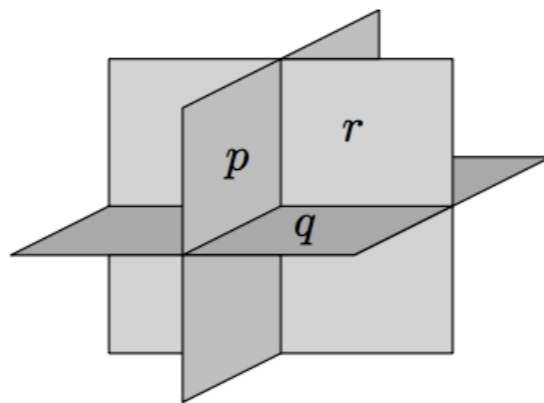
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The category of **glueings of vector spaces** is the restriction of the co-completion of Vec to some specific colimits: **mono-colimits**.

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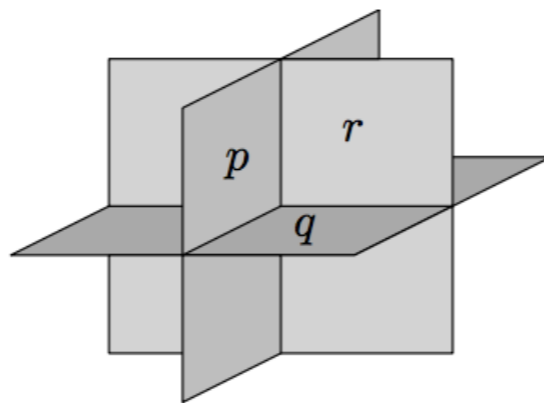
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The advantage is that the concepts are well known, definition properly stated, and this can be applied to other categories than **Vec**.

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Consider a category that already has colimits (for instance Vec)

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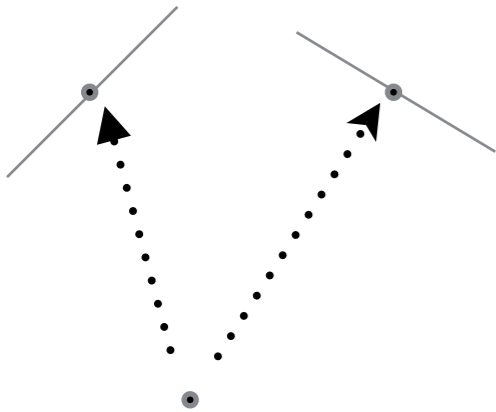
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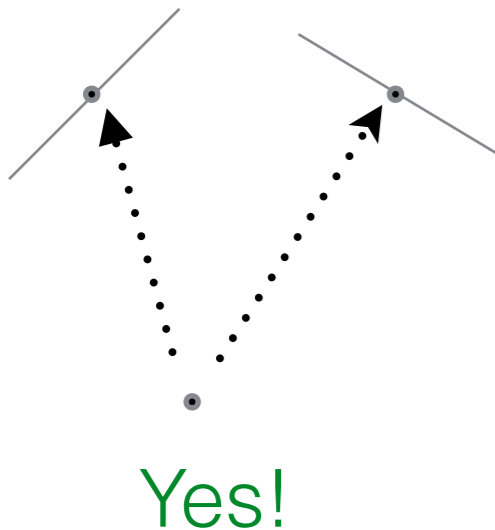


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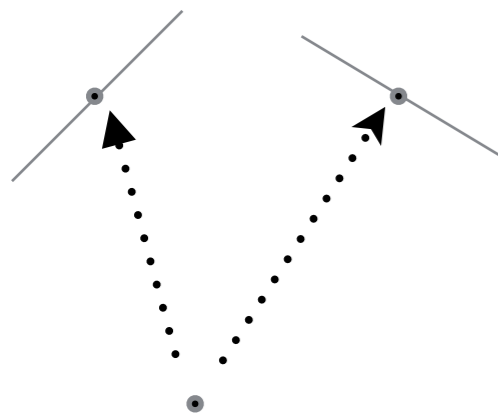


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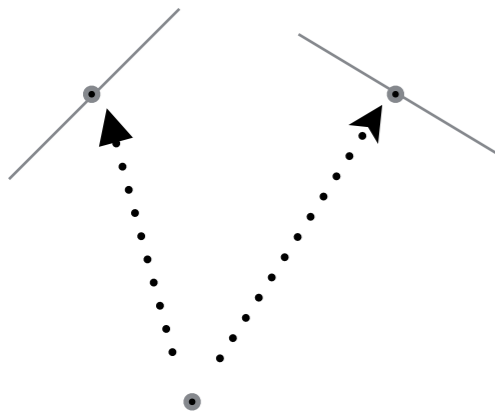
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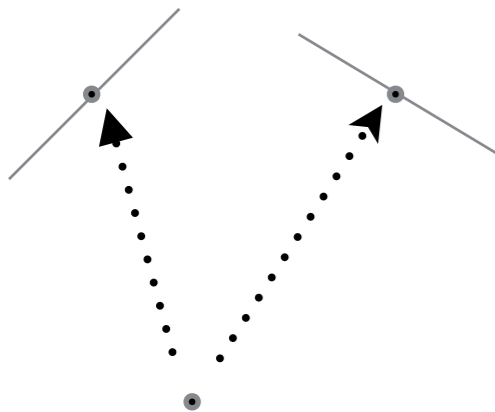
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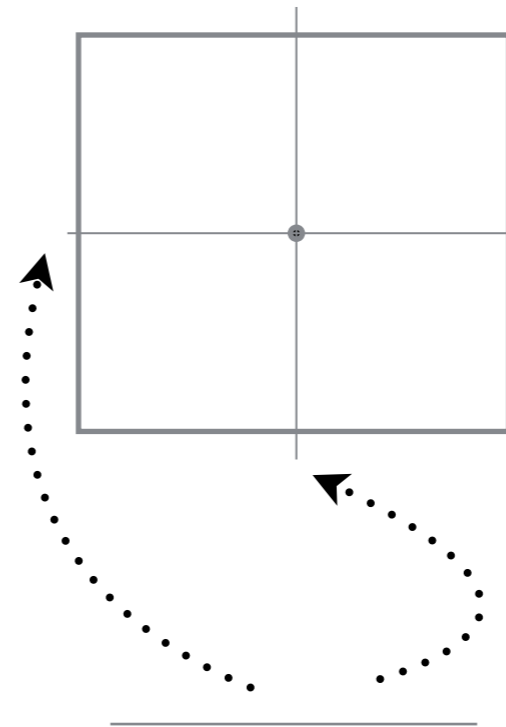
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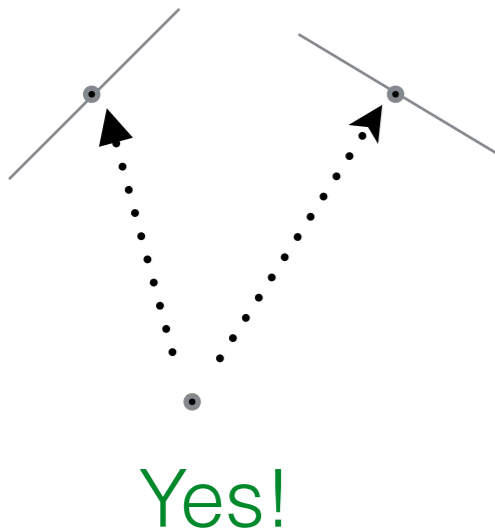


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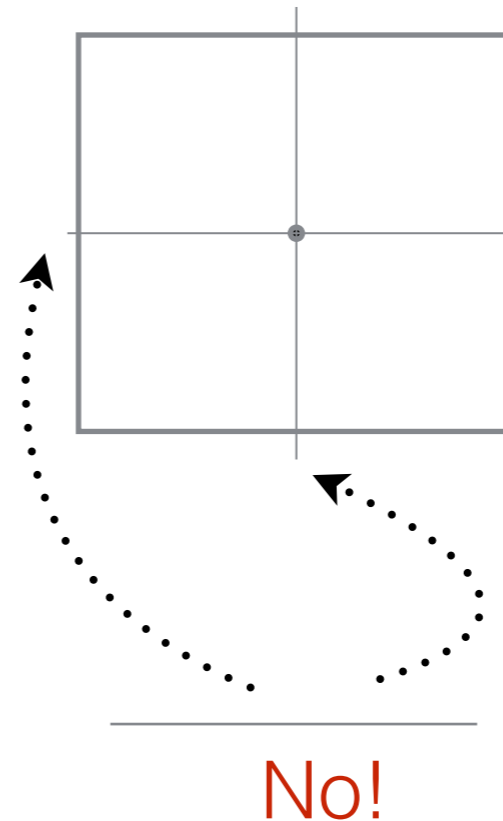
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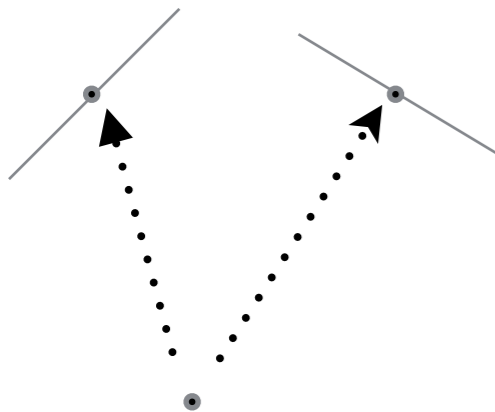


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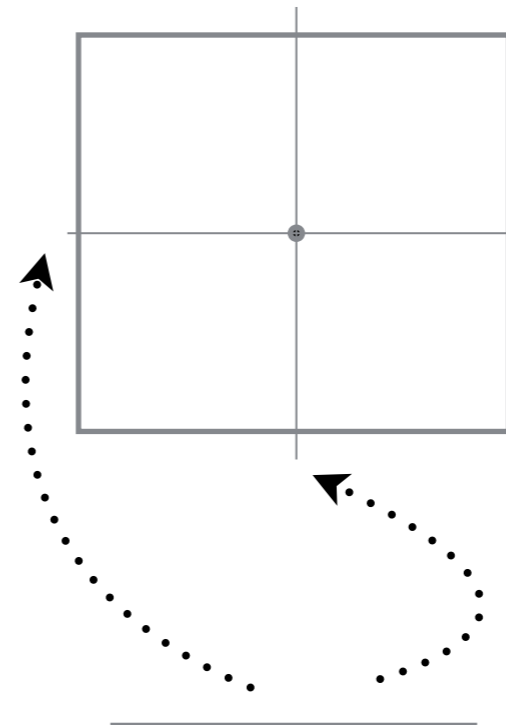
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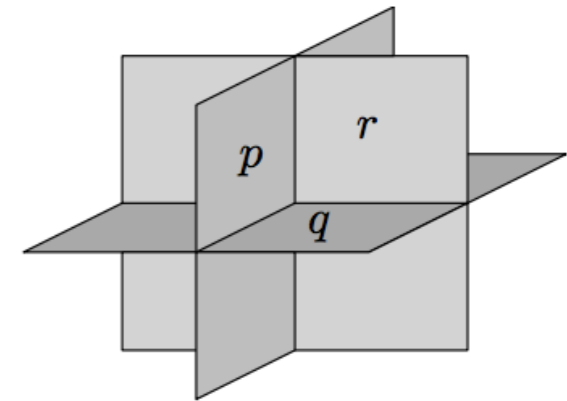
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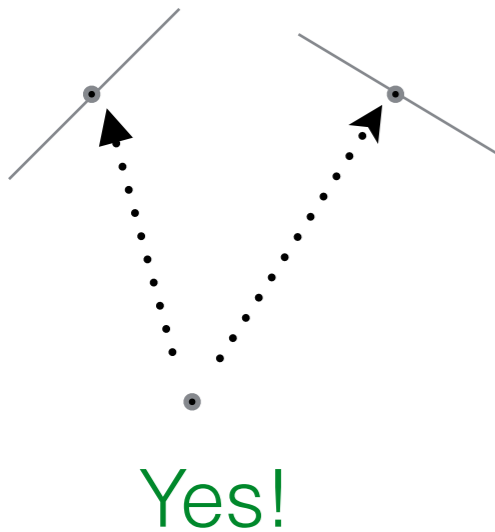


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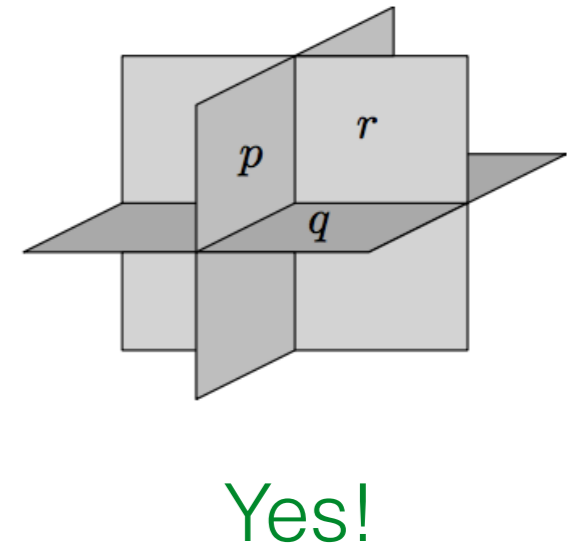
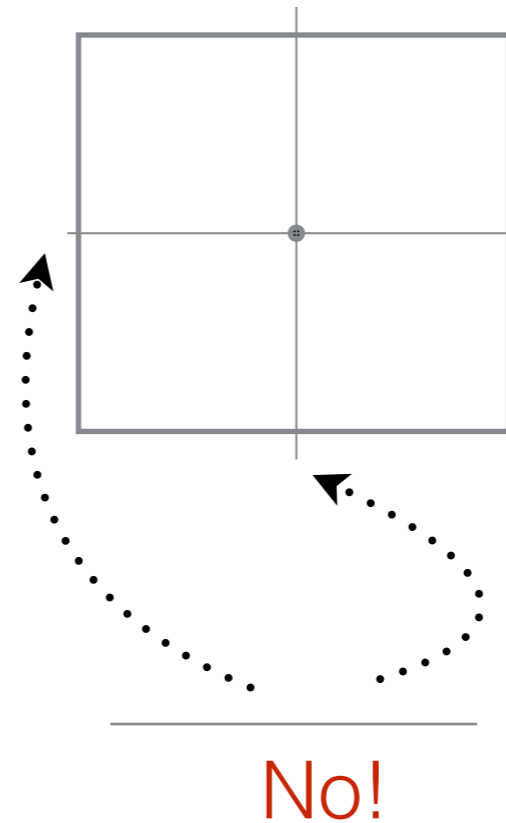
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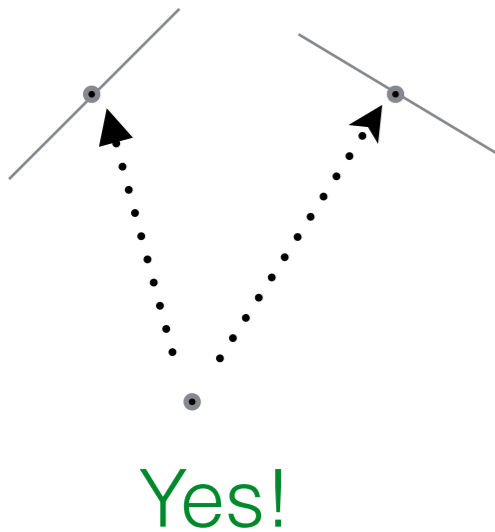


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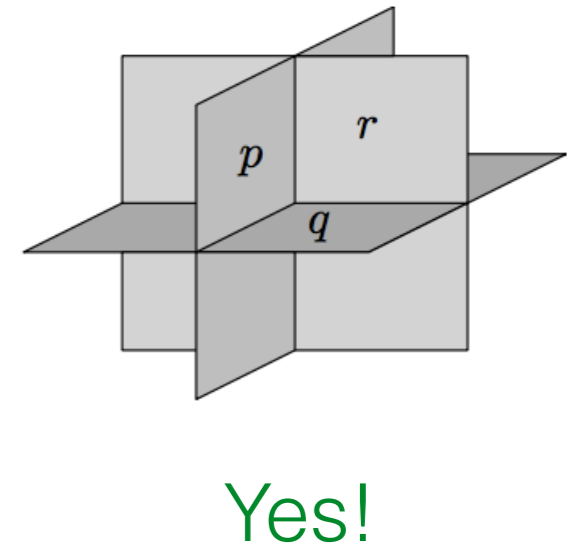
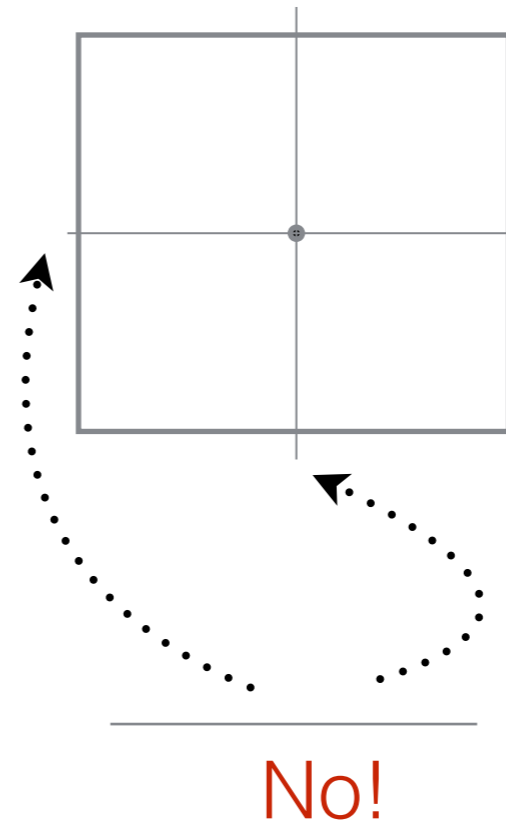
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Definition:

The **glueings** of a category is its free completion under mono-co-limits

Example: continued

The **minimal automaton** for our example is:

Example: continued

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$$Q = (\{\text{odd}\} \times \mathbb{R}) \cup (\{\text{even}\} \times \mathbb{R})$$

with $(\text{even}, 0) \sim_{\text{glue}} (\text{odd}, 0)$



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Example: continued

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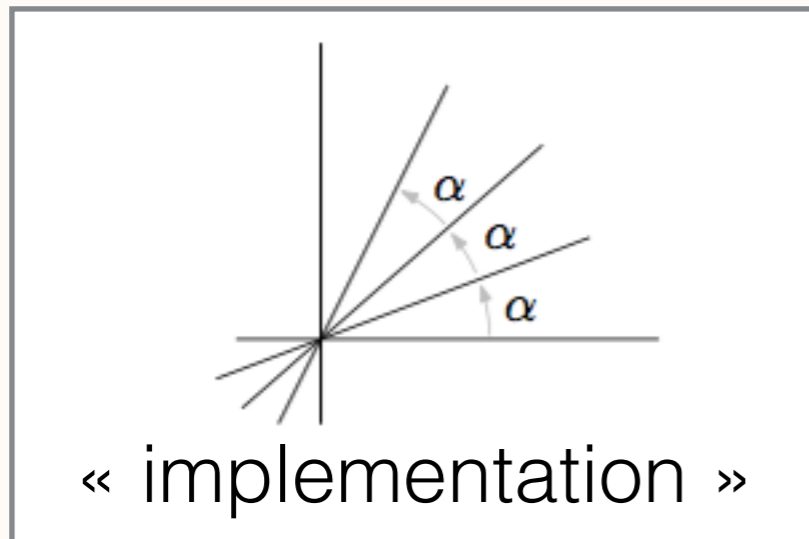
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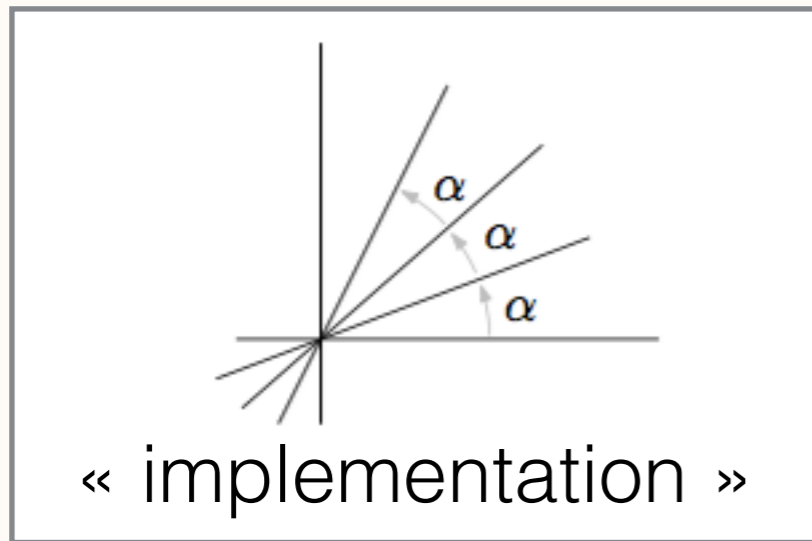
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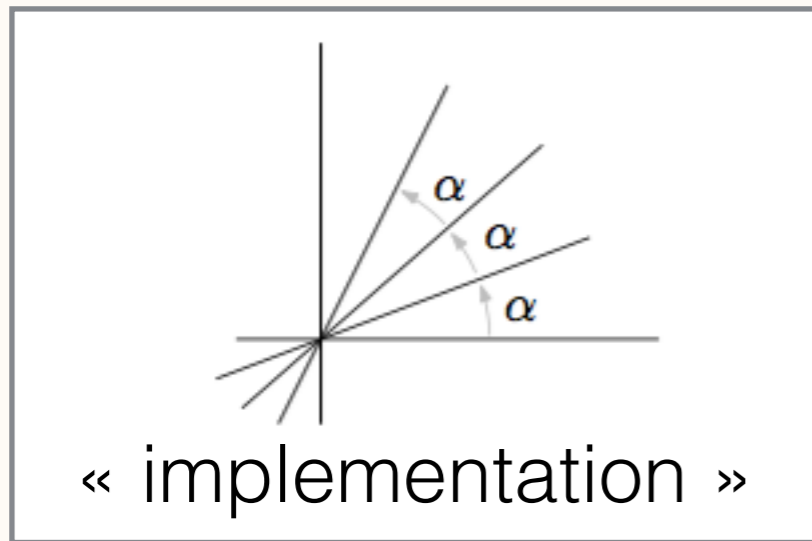
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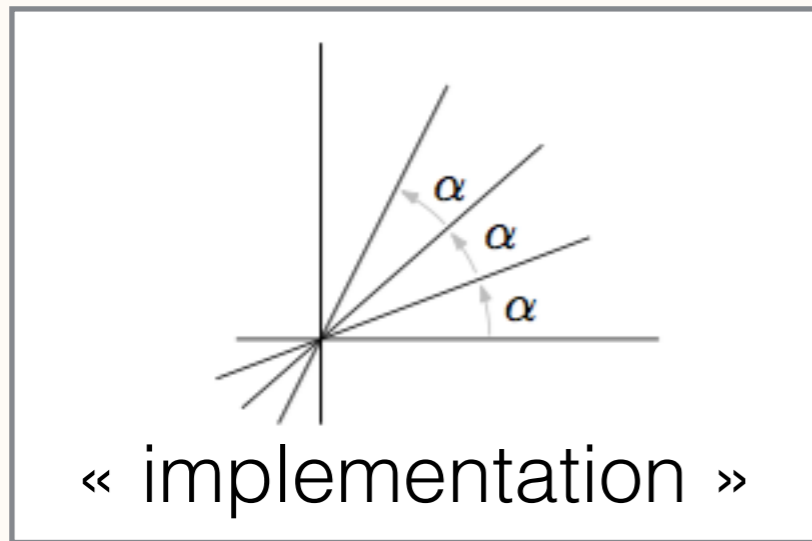
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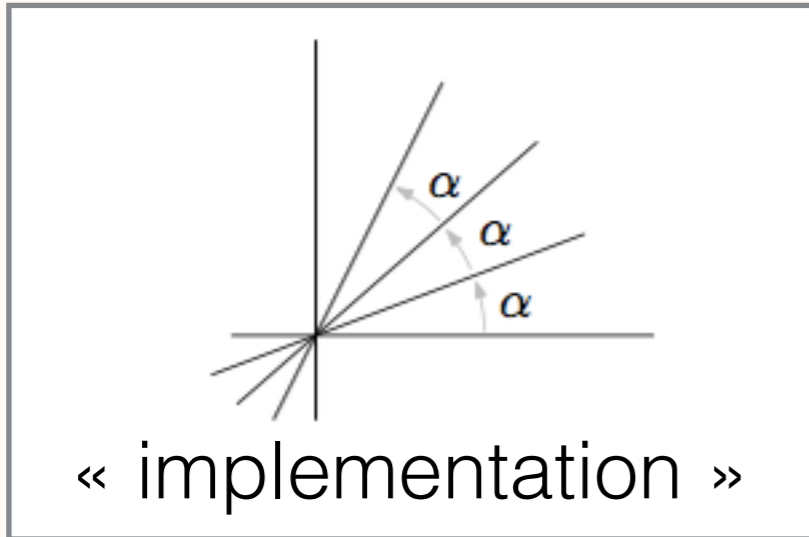
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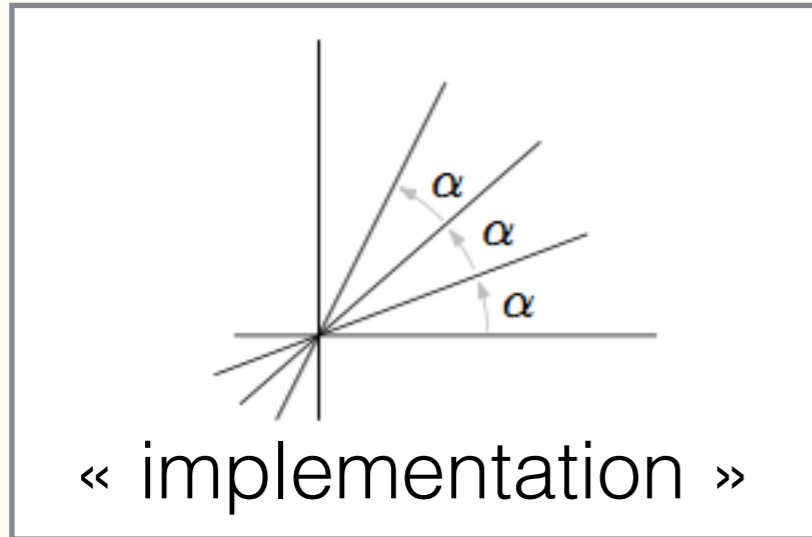


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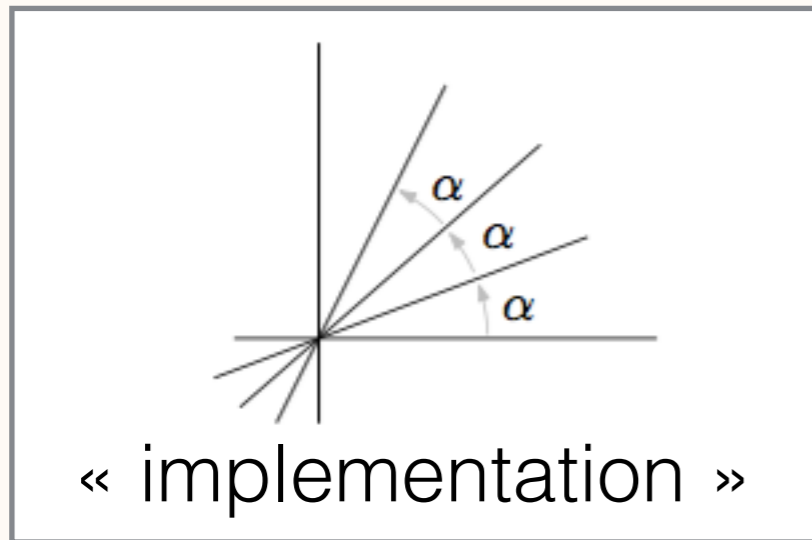
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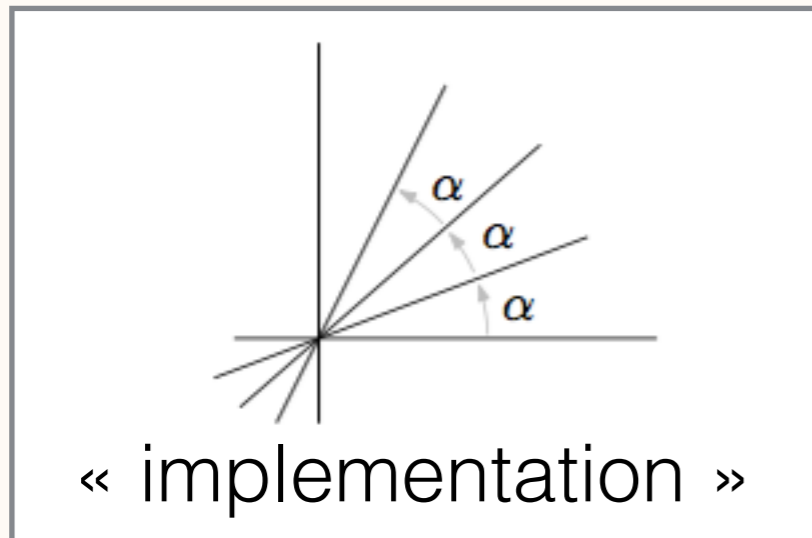
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Final

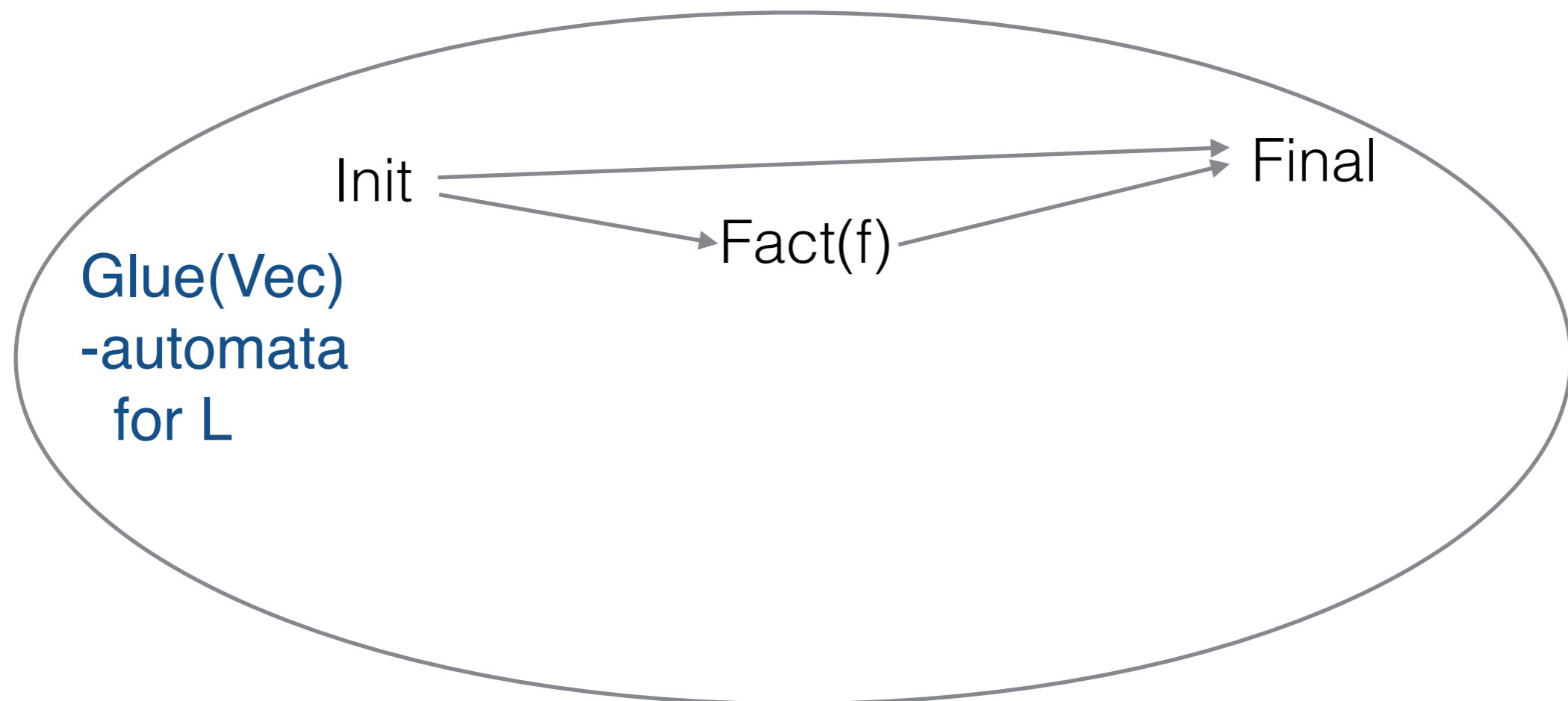
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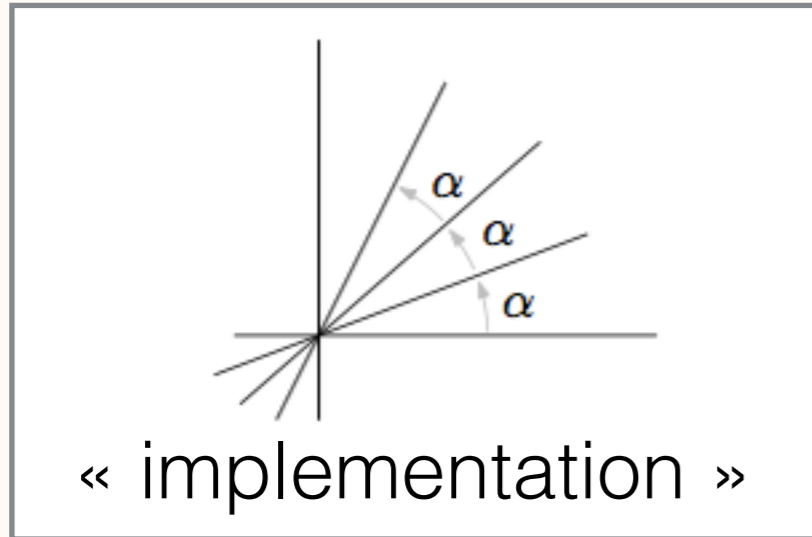
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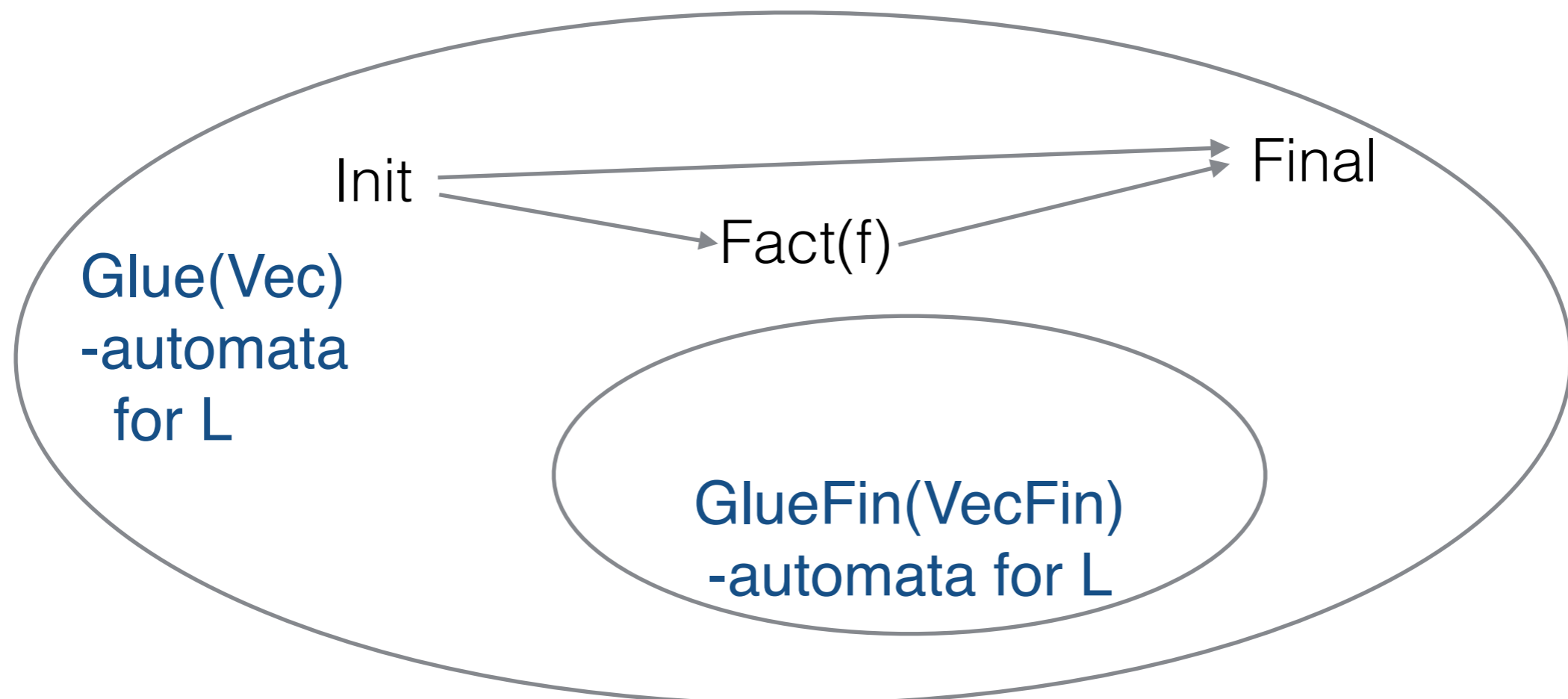
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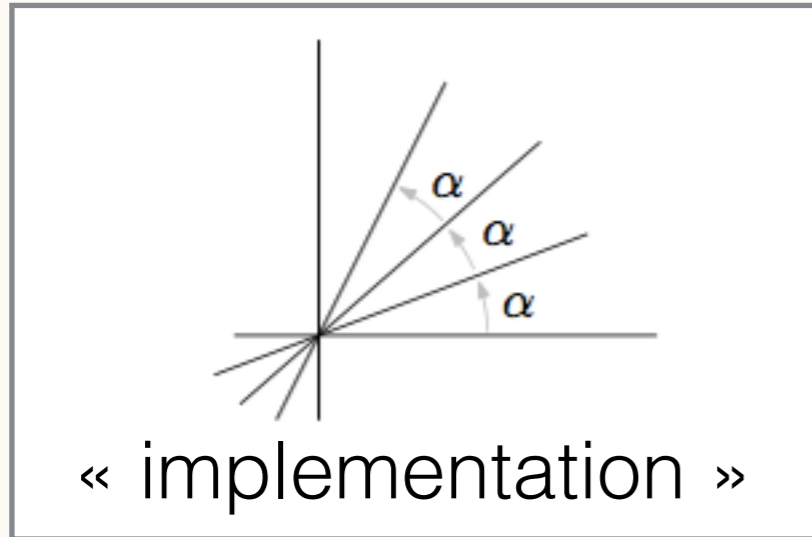
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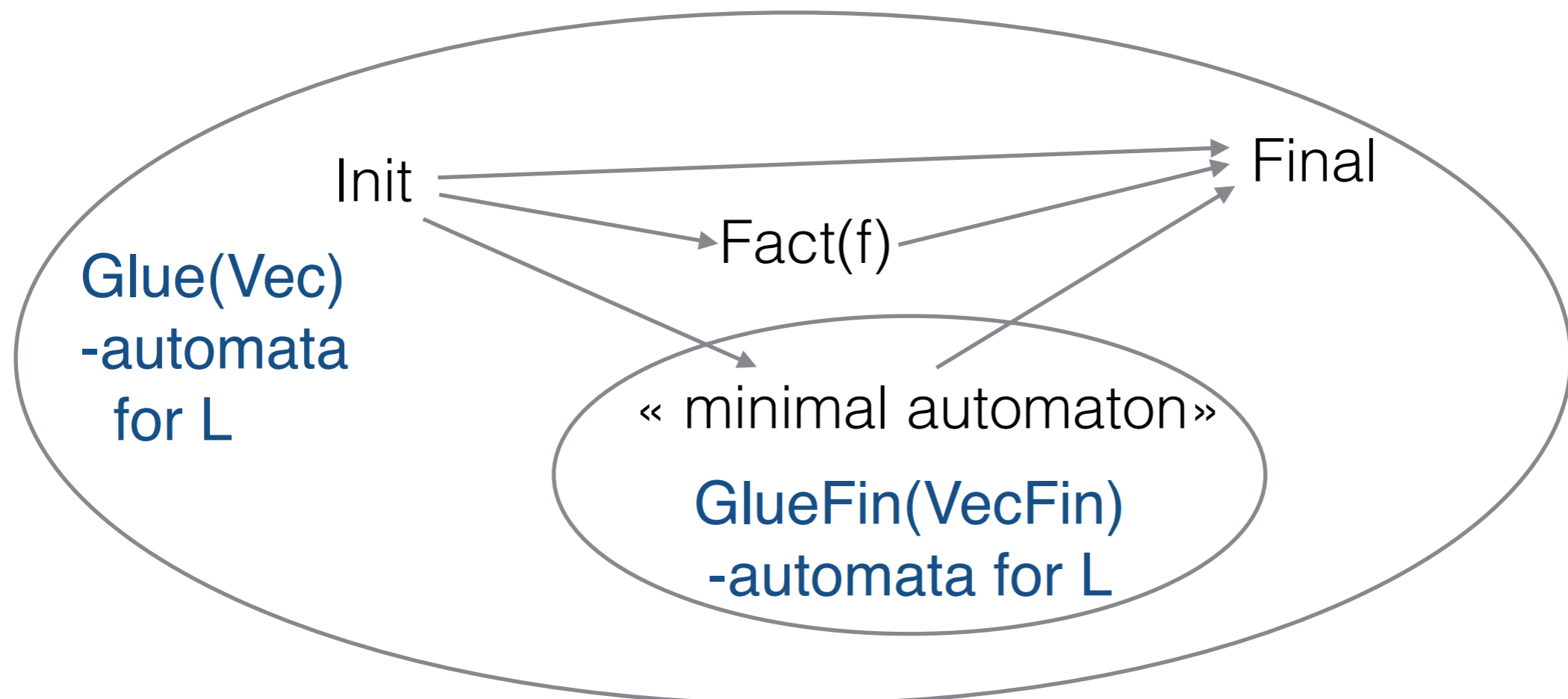
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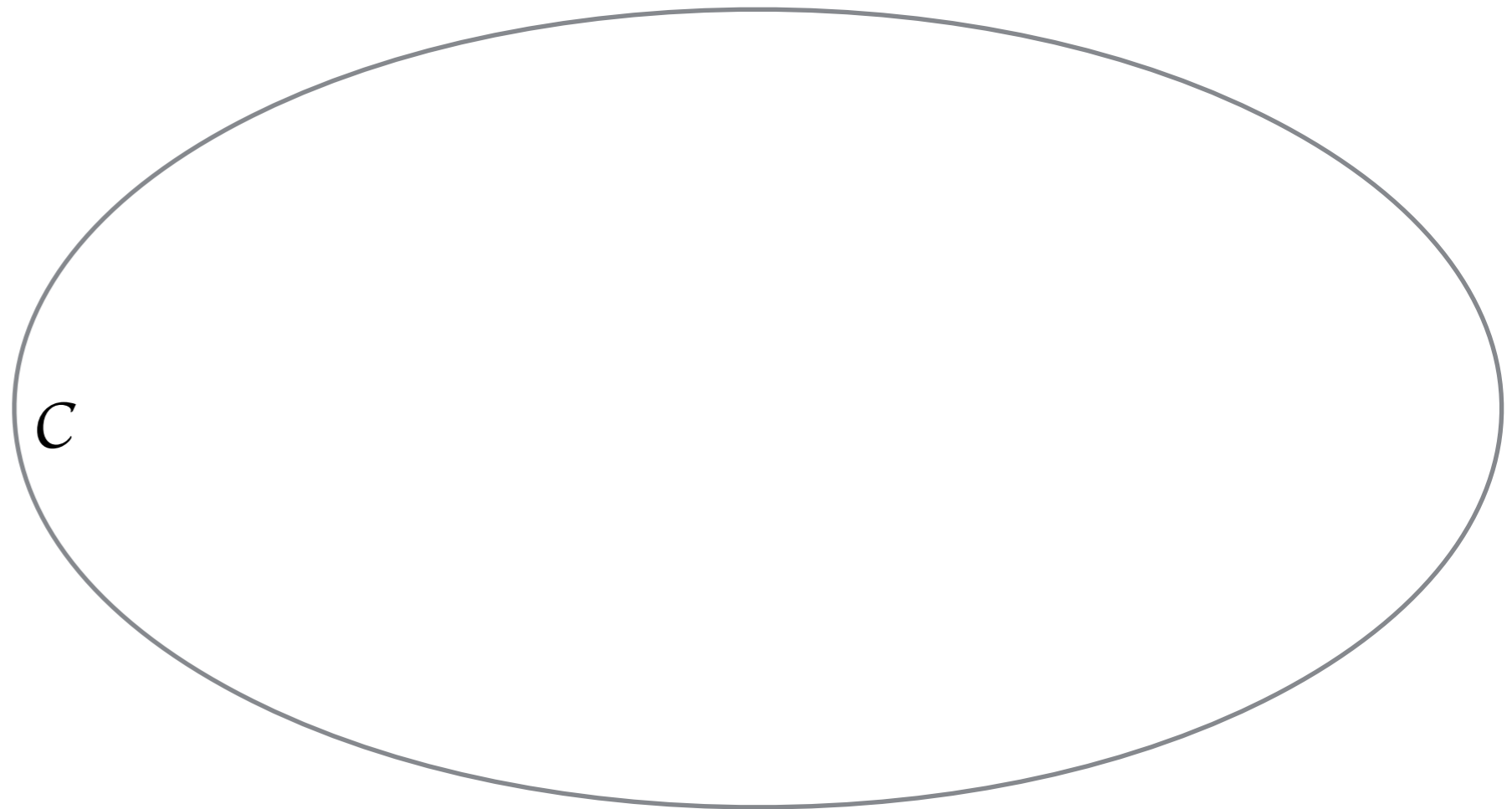
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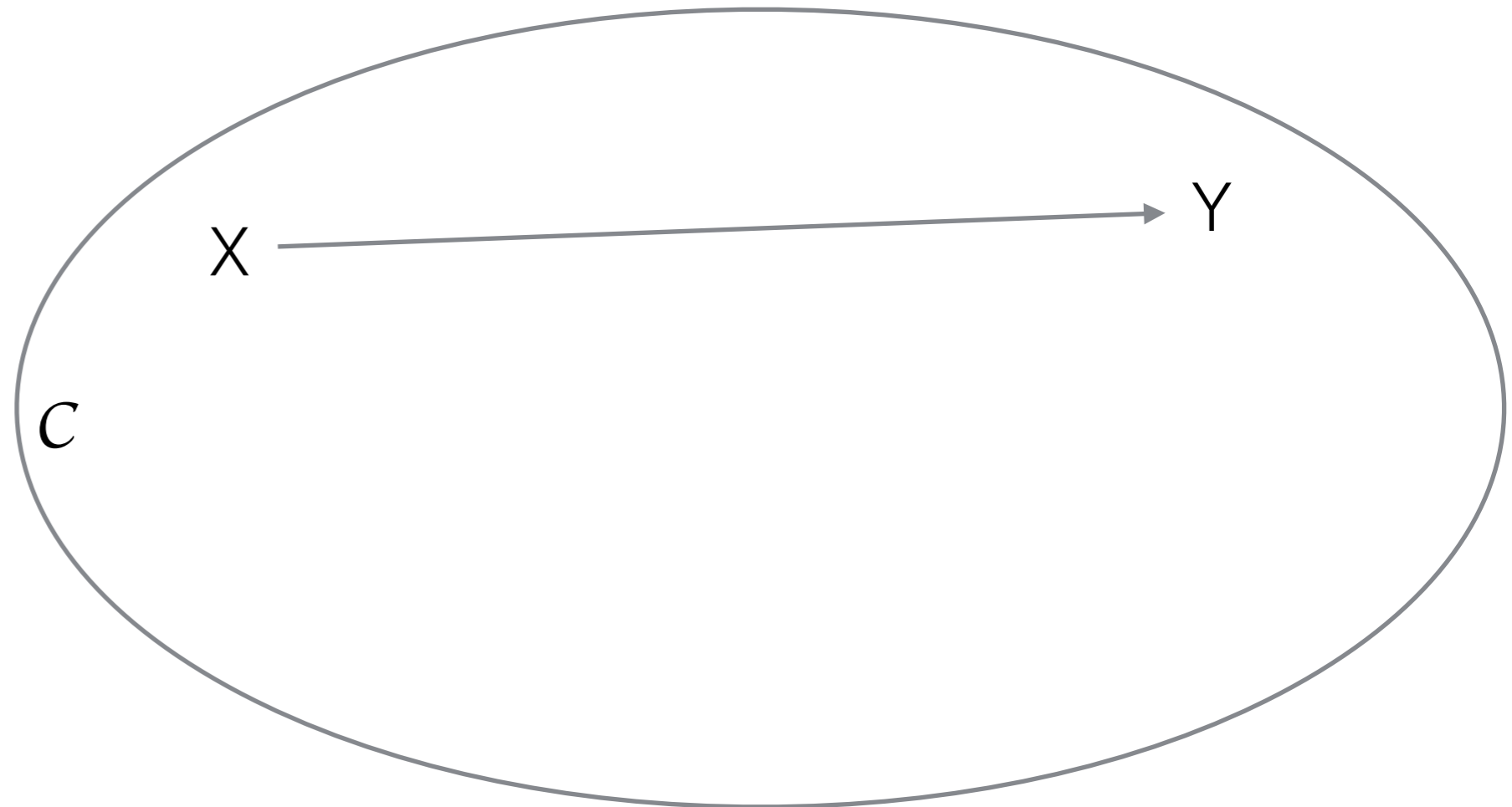


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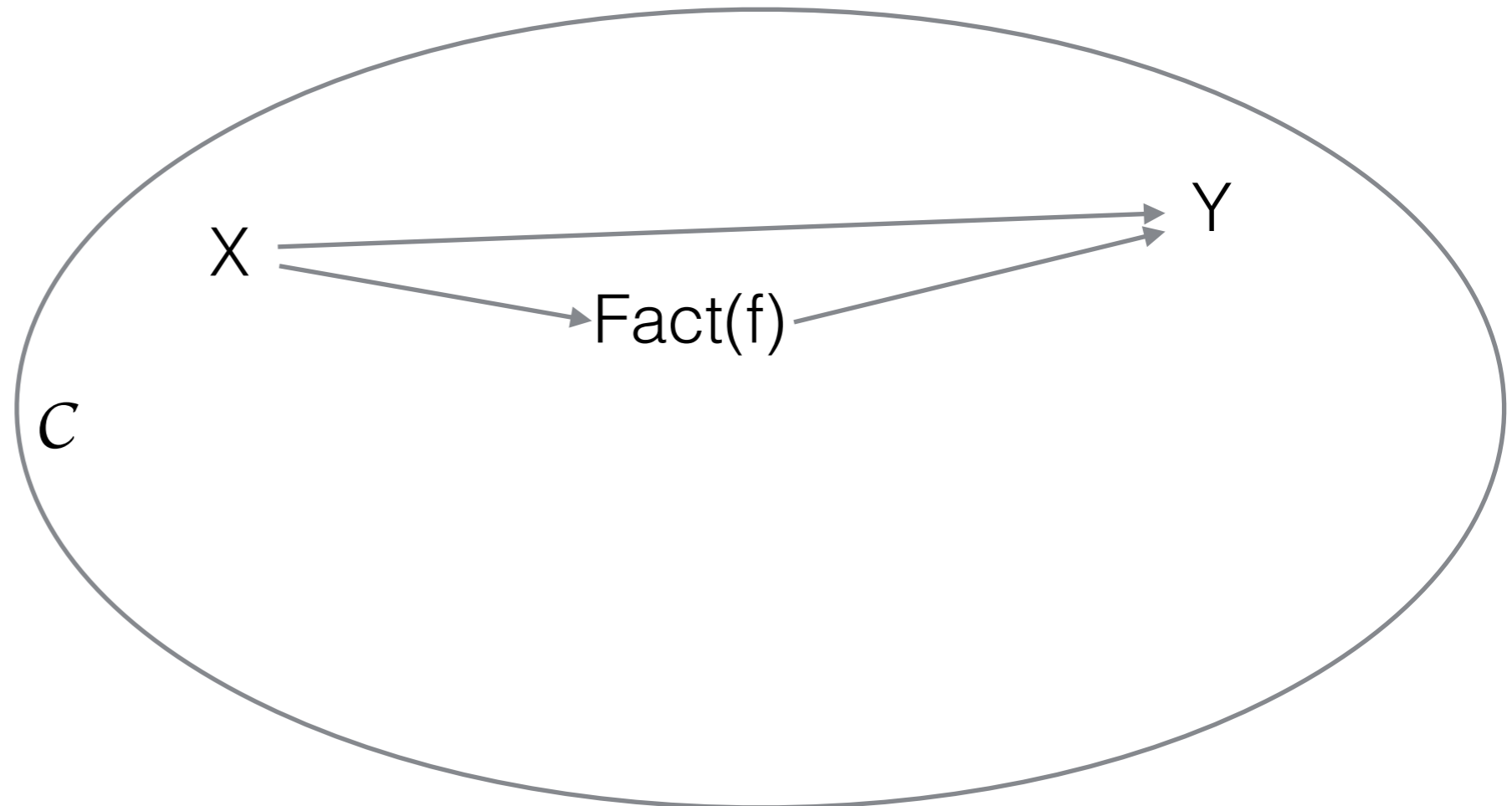
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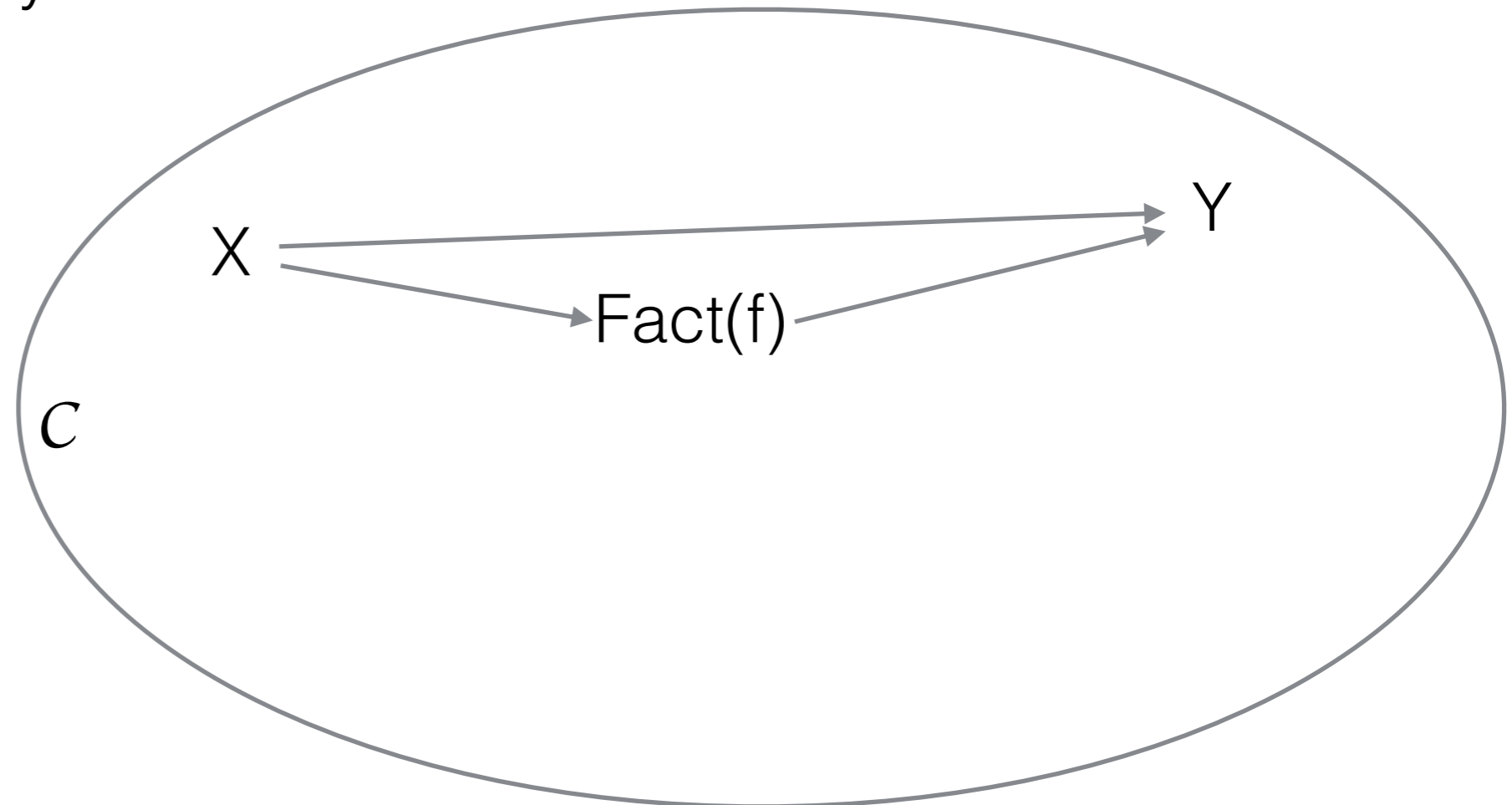


Idea 1: factorization through

A **factorization system through a subcategory S**

consists of classes $(\mathcal{E}, \mathcal{M})$ such that:

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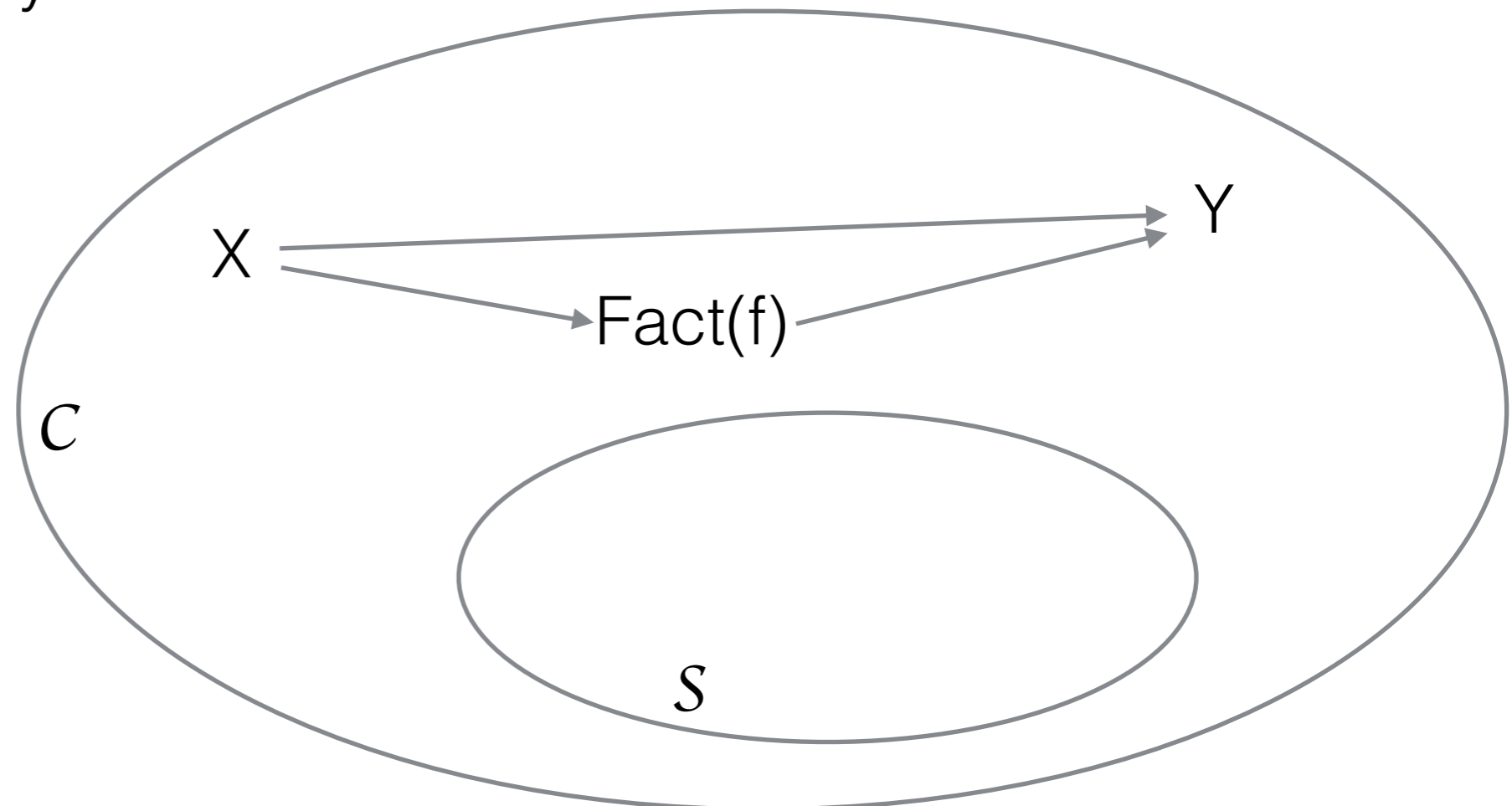


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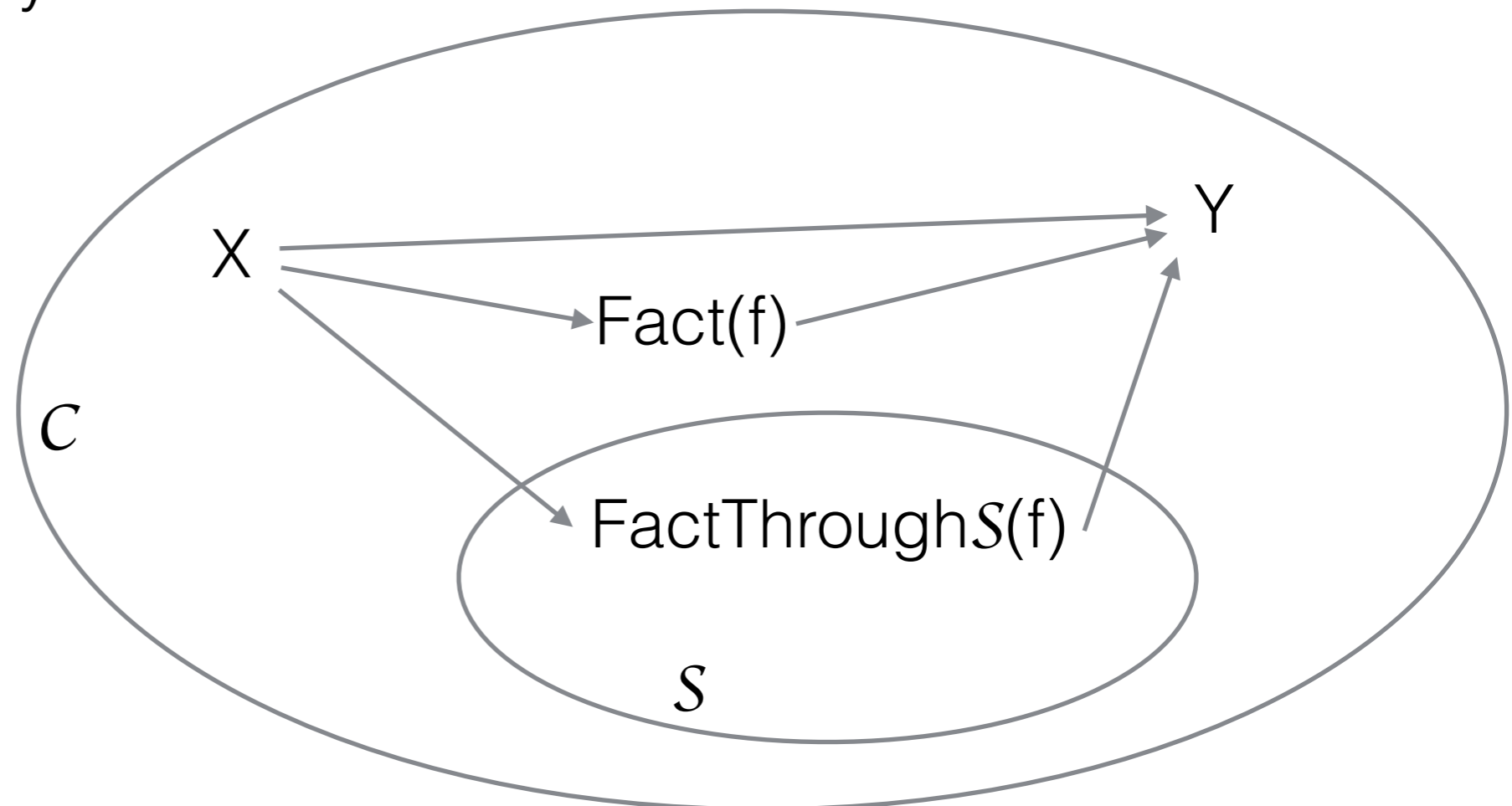


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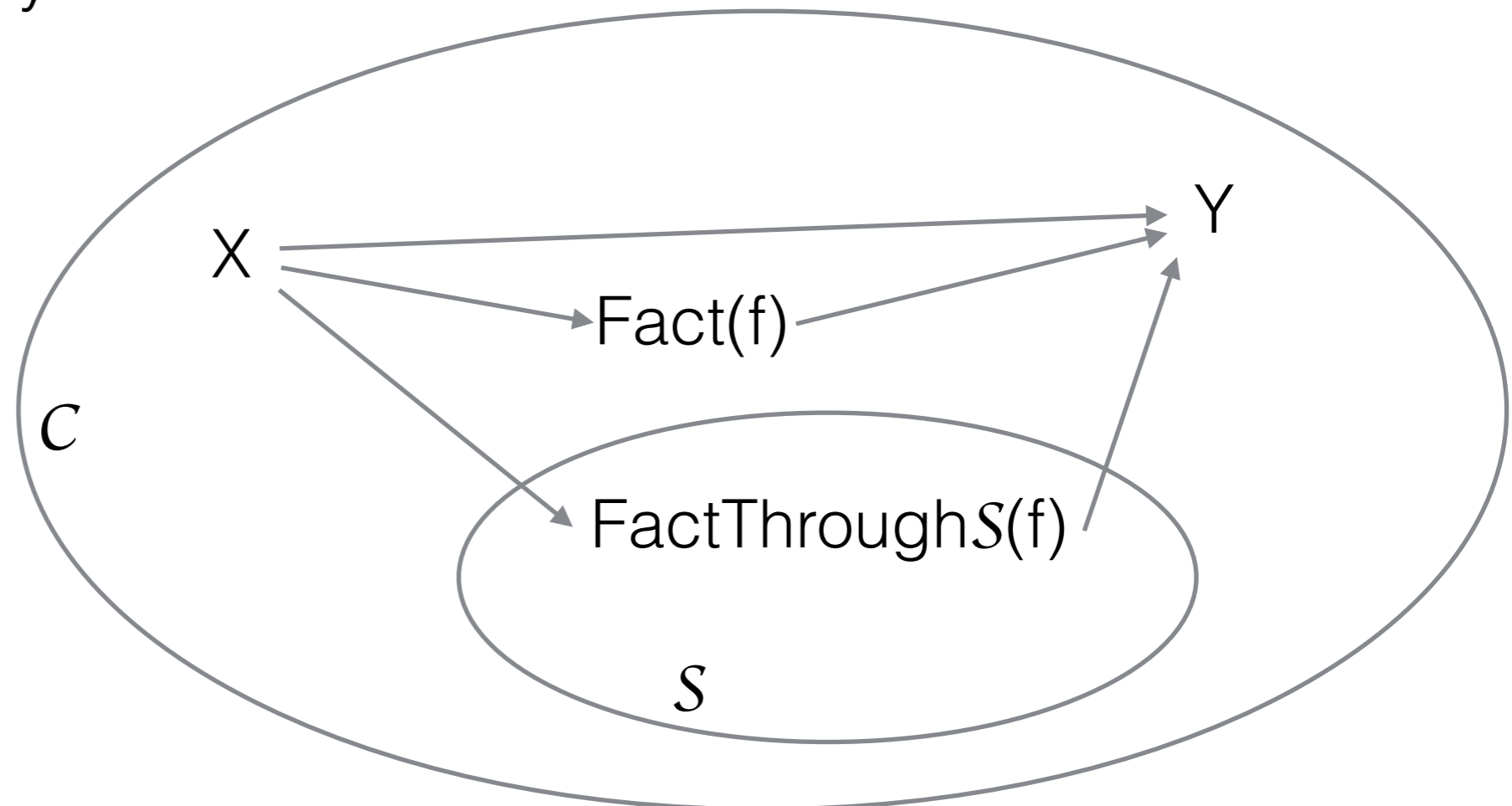
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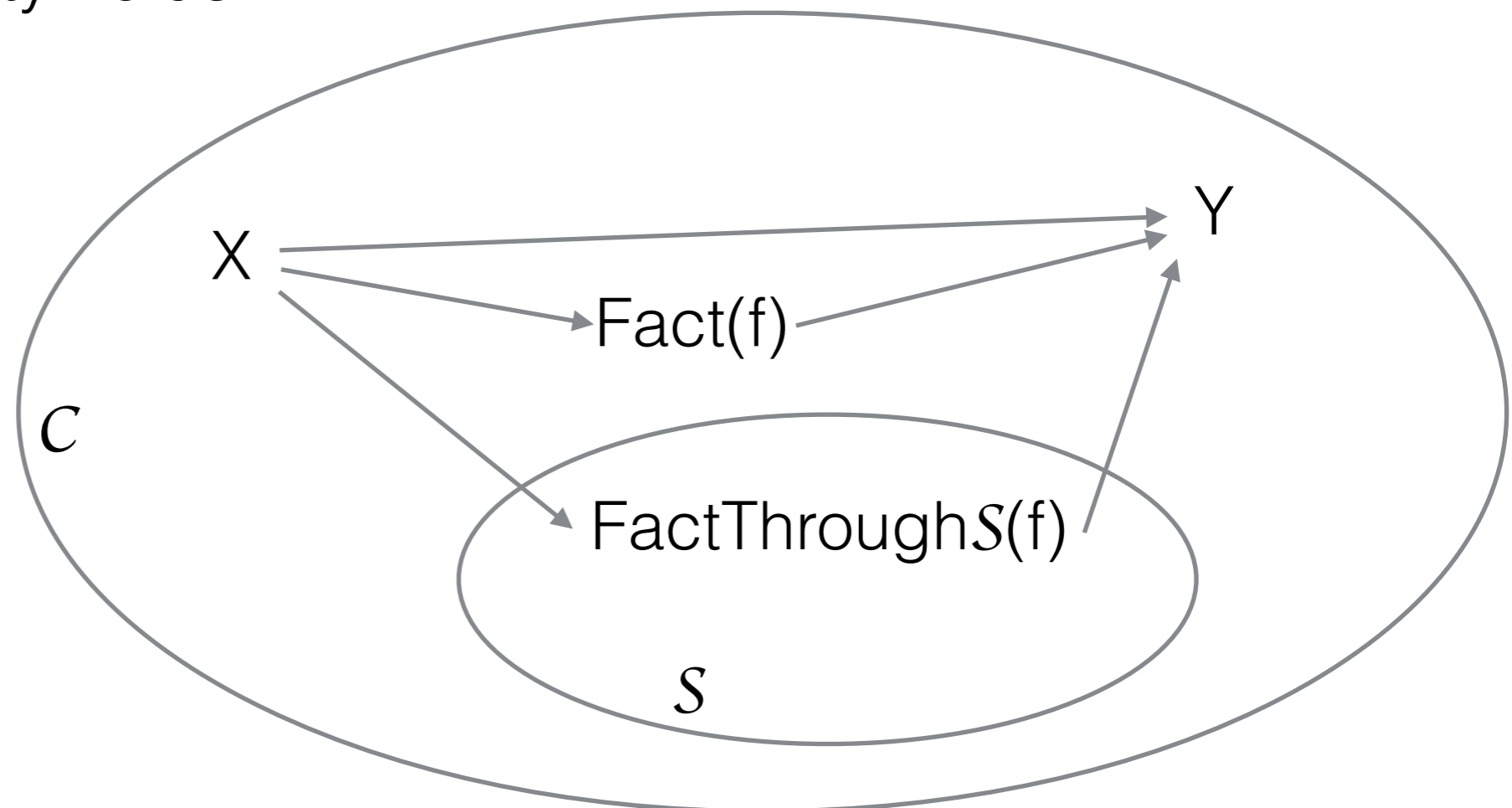
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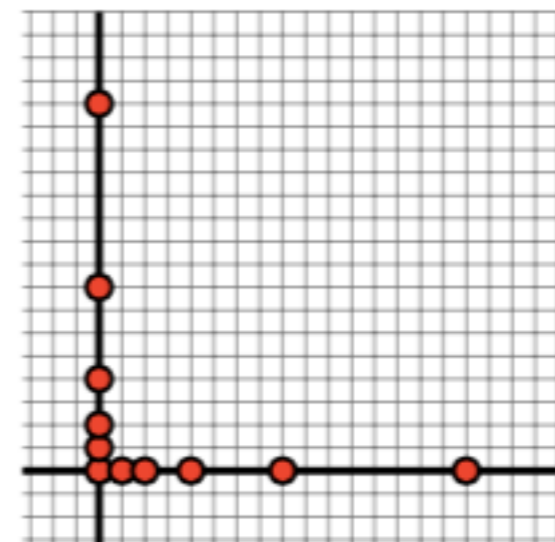
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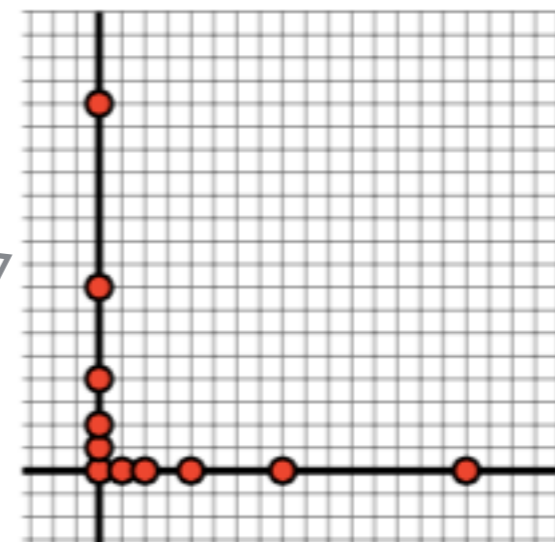
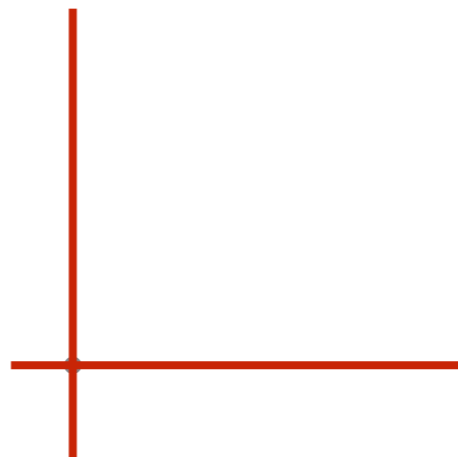
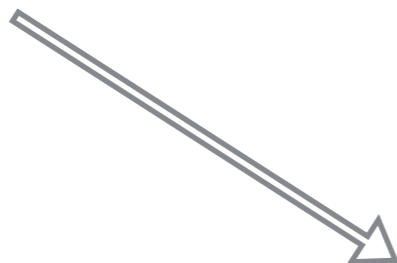
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But, we do not know how to compute it...

The core algorithmic problem

Open problem: Given $n \times n$ matrices A_1, \dots, A_k , compute the least finite union of subspaces of matrices that covers the generated semigroup.

For instance consider the matrix $\text{Rot}(\alpha)$ for some rational number α .

If α is a rational multiple of π , it should output the (finite union) of the dimension one spaces $\text{Vec}(\text{Rot}(n\alpha))$ for integer n .

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Open problem: Given $n \times n$ matrices A_1, \dots, A_k , compute the **Zariski closure** of the semigroup generated by these matrices.

Conclusion

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- new categorical concepts on the way,
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And then ?

- Make this construction effective... (generalization of sequentialization)
- tree automata
- algebras (monoids,...)
- infinite objects (ω -semigroup, ω -semigroup, monads...).

Questions ?