

Provenance Analysis for First-Order Model Checking

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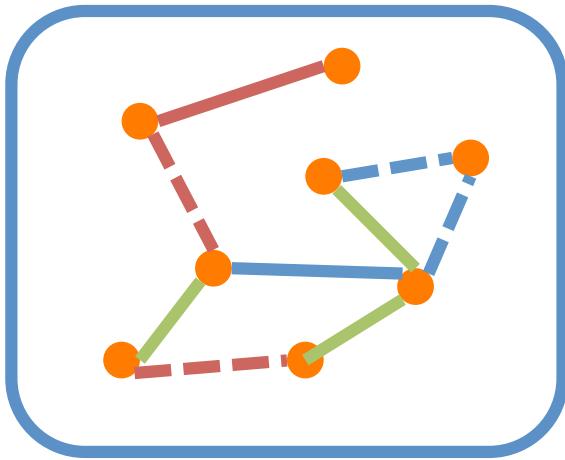
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Model Checking

$$\mathcal{A} \models \varphi$$

Model: \mathcal{A} , a structured collection of info items



Sentence: φ



True or False

Which info items in the model are used in checking φ ? (not difficult)

Why (in terms of model info) is φ true ? (alternative reasons?)

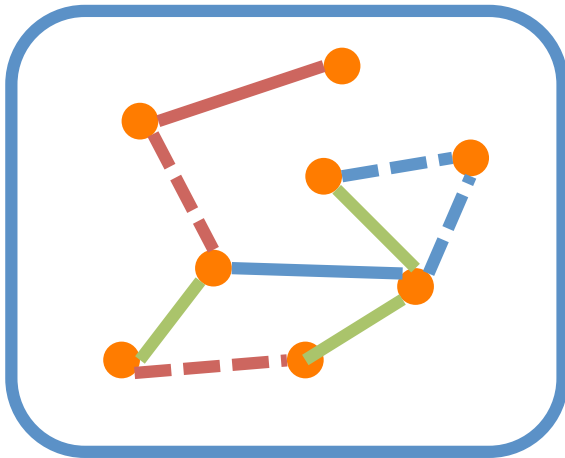
How is the model info used to check the truth of φ ? (we will clarify)

These are **provenance** questions.

Application

confidence in $\mathfrak{A} \models \varphi$

Model: \mathfrak{A} , a structured collection of info items



Sentence: φ



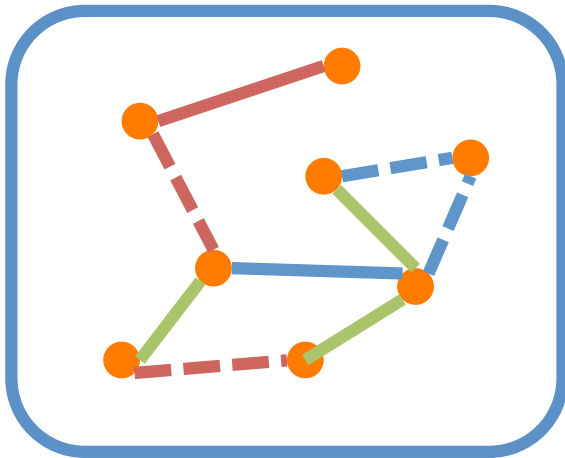
True with
confidence score $\in (0, 1]$

Assuming confidence scores for the info items in the model.

Application

disclosure of $\mathcal{A} \models \varphi$

Model: \mathcal{A} , a structured collection of info items



Sentence: φ



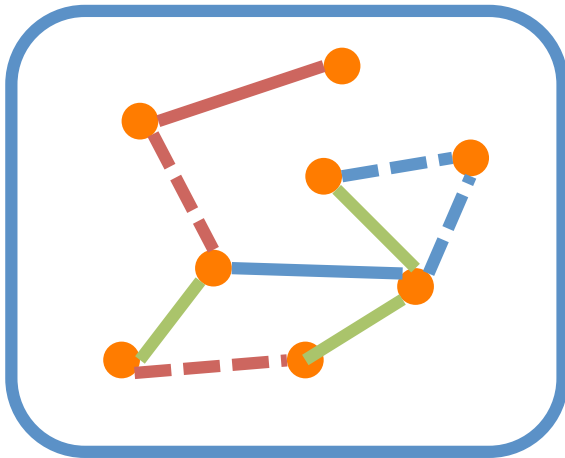
True with access level
 $\in \{P < C < S < T\}$

Assuming access levels for the info items in the model.

Application

how many witnesses for $\mathfrak{A} \models \varphi$

Model: \mathfrak{A} , a structured collection of info items



Sentence: φ



True

witnessed by $n > 0$
(model-checking)
proof trees

In all three applications we interpret model-checking as *shades of truth* in a specific **commutative semiring**.

Running Example of Model-Checking

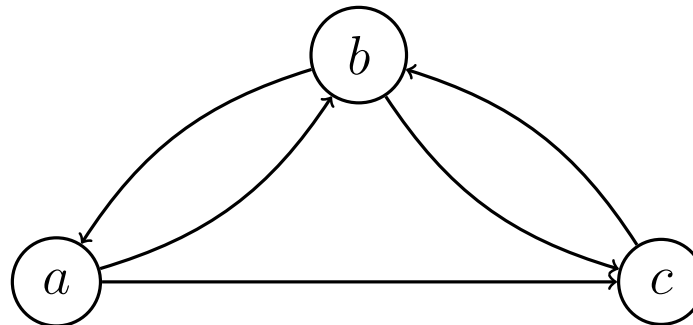
In a digraph with edge relation E , the vertex x is “dominant”:

$$\text{dominant}(x) \equiv \forall y (x = y) \vee [E(x, y) \wedge \neg E(y, x)]$$

The digraph does not have a dominant vertex: $\varphi \equiv \forall x \neg \text{dominant}(x)$

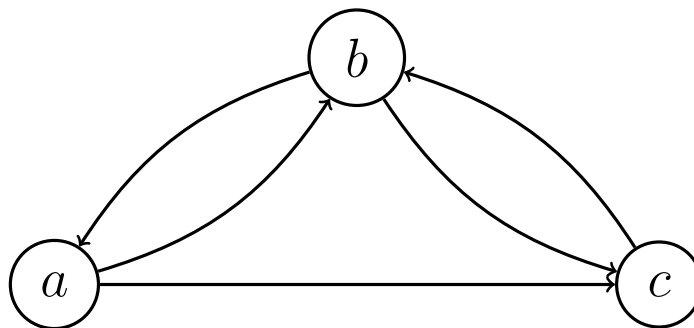
$$\varphi \equiv \forall x \exists y \boxed{\text{denydom}(x, y)} \equiv \forall x \exists y \boxed{(x \neq y) \wedge [\neg E(x, y) \vee E(y, x)]} \text{ in NNF}$$

Model (digraph) \mathfrak{A} :



Witnesses for $\mathcal{A} \models \varphi$

Proof Trees



$E(b, a)$		$E(c, b)$		$E(a, c)$	
$a \neq b$	$\neg E(a, b) \vee E(b, a)$	$b \neq c$	$\neg E(b, c) \vee E(c, b)$	$c \neq a$	$\neg E(c, a) \vee E(a, c)$
$\text{denydom}(a, b)$		$\text{denydom}(b, c)$		$\text{denydom}(c, a)$	
$\exists y \text{ denydom}(a, y)$		$\exists y \text{ denydom}(b, y)$		$\exists y \text{ denydom}(c, y)$	
$\forall x \exists y \text{ denydom}(x, y)$					

Outline of the rest of the talk

1. First-order finite model checking interpreted in a commutative semiring.
2. Interpretations in a *provenance semiring*. Dual-indeterminate polynomials for FOL provenance.
3. Provenance tracking assumptions and reverse analysis for first-order models.
4. Missing/wrong answers and integrity constraint failure. Repairs.

Commutative Semirings

Definition $(K, +, \cdot, 0, 1)$ with $0 \neq 1$, is a **semiring** when $(K, +, 0)$ is a commutative monoid, $(K, \cdot, 1)$ is a monoid, \cdot distributes over $+$ and $0 \cdot a = a \cdot 0 = 0$.

The semiring is **commutative** when \cdot is commutative.

The semiring is **idempotent** when $+$ is idempotent.

Any distributive lattice is an idempotent commutative semiring.

$+$ interprets **alternative** use of information from a model.

\cdot interprets **joint** use of information from a model.

Very roughly speaking:

- $0 \in K$ interprets false assertions.
- $a \in K, a \neq 0$ provides a “nuanced” interpretation for true assertions.

Examples of Commutative Semirings

1. $\mathbb{B} = (\mathbb{B}, \vee, \wedge, \perp, \top)$ is the standard habitat of logical truth.
2. $\mathbb{N} = (\mathbb{N}, +, \cdot, 0, 1)$ is used here for counting proof trees. Also used for *bag semantics* in databases. Not idempotent.
3. $\mathbb{T} = (\mathbb{R}_+^\infty, \min, +, \infty, 0)$, the *tropical* semiring, idempotent but not a distributive lattice. Used in *min-cost* interpretations (e.g., shortest paths).
4. $\mathbb{V} = ([0, 1], \max, \cdot, 0, 1)$ the *Viterbi* semiring, isomorphic to \mathbb{T} via $x \mapsto e^{-x}$ and $y \mapsto -\ln y$. Habitat for maximum likelihood trajectory calculations in HMM, also invoked in “possibilistic” uncertainty. Used here for *confidence scores*.

More Examples of Commutative Semirings

5. $\mathbb{A} = (\{P < C < S < T < 0\}, \min, \max, 0, P)$ is the *access control* semiring.

P is “public”

S is “secret”

C is “confidential”

T is “top secret”

0 is “so secret that nobody can access it!”

This is a distributive lattice (beware! the lattice order is the opposite of the one we used in the definition).

6. $\mathbb{F} = ([0, 1], \max, \min, 0, 1)$, is called the *fuzzy* semiring. It is a distributive lattice.

One Commutative Semiring to Rule Them All

7. $\mathbb{N}[X] = (\mathbb{N}[X], +, \cdot, 0, 1)$

multivariate polynomials in indeterminates from X
and with coefficients from \mathbb{N} .

This is the commutative semiring **freely generated** by the set X .

It's used for a general form of **provenance** [Green, Karvounarakis & T. PODS'07].

We call the elements of X **provenance tokens**.

Proposition For any commutative semiring K , any $f : X \rightarrow K$ extends uniquely to a semiring homomorphism $f^* : \mathbb{N}[X] \rightarrow K$.

K -Interpretations (I)

Finite relational vocabulary. Finite set $A \neq \emptyset$ set of *ground values*.

Facts_A all ground relational atoms (facts) $R(\mathbf{a})$.

NegFacts_A all negated facts $\neg R(\mathbf{a})$.

$$\text{Lit}_A = \text{Facts}_A \cup \text{NegFacts}_A$$

Definition K -interpretation where K commutative semiring:

starts with $\pi : \text{Lit}_A \rightarrow K$

and is extended to all formulae/sentences $\pi : \text{FOL} \rightarrow K$ as follows:

***K*-Interpretations (II)**

valuation $\nu : \text{Vars} \rightarrow A$

$$\pi[R(\mathbf{x})]_\nu = \pi(R(\nu(\mathbf{x})))$$

$$\pi[\neg R(\mathbf{x})]_\nu = \pi(\neg R(\nu(\mathbf{x})))$$

$$\pi[x \text{ op } y]_\nu = \text{if } \nu(x) \text{ op } \nu(y) \text{ then } 1 \text{ else } 0$$

$$\pi[\varphi \wedge \psi]_\nu = \pi[\varphi]_\nu \cdot \pi[\psi]_\nu$$

$$\pi[\varphi \vee \psi]_\nu = \pi[\varphi]_\nu + \pi[\psi]_\nu$$

$$\pi[\exists x \varphi]_\nu = \sum_{a \in A} \pi[\varphi]_{\nu[x \mapsto a]}$$

$$\pi[\forall x \varphi]_\nu = \prod_{a \in A} \pi[\varphi]_{\nu[x \mapsto a]}$$

$$\pi[\neg \varphi]_\nu = \pi[\text{nnf}(\neg \varphi)]_\nu$$

The symbol `op` stands for either `=` or `≠`.

Proposition It suffices to consider formulae in NNF: $\pi[\varphi]_\nu = \pi[\text{nnf}(\varphi)]_\nu$.

Indeed...

Let \mathfrak{A} be a finite FO model with universe A .

Define $\pi_{\mathfrak{A}} : \text{Lit}_A \rightarrow \mathbb{B}$:

$$\pi_{\mathfrak{A}}(L) = \top \quad \text{iff} \quad \mathfrak{A} \models L$$

Proposition For any FO sentence φ

$$\pi_{\mathfrak{A}}[\![\varphi]\!] = \top \quad \text{iff} \quad \mathfrak{A} \models \varphi$$

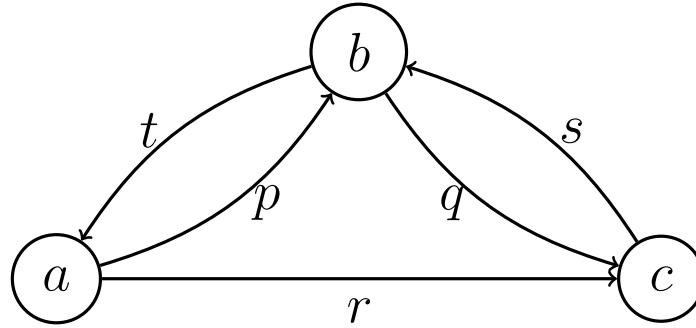
Define $\pi_{\#\mathfrak{A}} : \text{Lit}_A \rightarrow \mathbb{N}$:

$$\pi_{\#\mathfrak{A}}(L) = \begin{cases} 1 & \text{if } \mathfrak{A} \models L \\ 0 & \text{otherwise} \end{cases}$$

Proposition For any FO sentence φ , $\pi_{\#\mathfrak{A}}[\![\varphi]\!]$ is the number of (model-checking) proof trees that witness $\mathfrak{A} \models \varphi$.

A Provenance-Tracking Interpretation (I)

Previous example plus *annotation* of the edges:

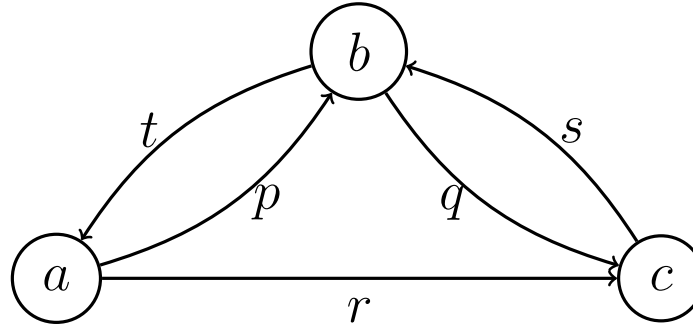


$X = \{r, s, t\}$ is a set of *provenance tokens*. Define $\pi : \text{Lit}_A \rightarrow \mathbb{N}[X]$:

$$\pi(L) = \begin{cases} p & \text{if } L = E(a, b) \\ q & \text{if } L = E(b, c) \\ r & \text{if } L = E(a, c) \\ s & \text{if } L = E(c, b) \\ t & \text{if } L = E(b, a) \end{cases} = \begin{cases} 1 & \text{if } L = \neg E(c, a) \\ 0 & \text{otherwise} \end{cases}$$

Annotation is 1: assume always available without tracking!

A Provenance-Tracking Interpretation (II)



$$\begin{aligned}
 \text{Compute } \pi[\![\forall x \neg \text{dominant}(x)]\!] &= \pi[\![\forall x \exists y (x \neq y) \wedge [\neg E(x, y) \vee E(y, x)]]\!] = \\
 &= (0 + (0 + t) + (0 + 0)) \cdot ((0 + p) + 0 + (0 + s)) \cdot ((1 + r) + (0 + q) + 0)
 \end{aligned}$$

$$t \cdot (p + s) \cdot (1 + r + q) = \boxed{pt + st + prt + rst + pqt + qst}$$

monomials \sim proof trees that witnesses $\mathfrak{A} \models \varphi$. We saw rst before.

$\neg E(c, a)$ also holds, used in two proof trees, but we don't track it.

Difficulties tracking tokens through contradictions!

Positive and Negative Provenance Tokens

Use X to annotate Facts_A . Use \bar{X} for NegFacts_A . $\bar{X} \cap X = \emptyset$.

One-to-one correspondence $X \longleftrightarrow \bar{X}$; $p \longleftrightarrow \bar{p}$ *complementary* tokens.

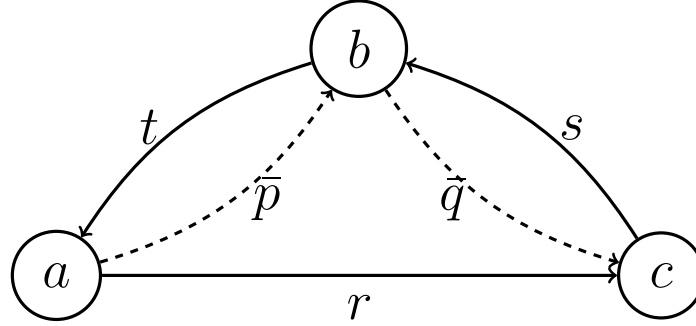
Define $\mathbb{N}[X, \bar{X}]$ as the quotient of $\mathbb{N}[X \cup \bar{X}]$ by the congruence generated by the equalities $\boxed{p \cdot \bar{p} = 0}$.

Subset of the polynomials in $\mathbb{N}[X \cup \bar{X}]$, namely those such that no monomial contains complementary tokens: **dual(-indeterminate) polynomials**.

The following is the universality property of this construction:

Proposition For any commutative semiring K , any $f : X \cup \bar{X} \rightarrow K$ such that $\forall p \in X \ \boxed{f(p) \cdot f(\bar{p}) = 0}$ extends uniquely to a semiring homomorphism $f^* : \mathbb{N}[X, \bar{X}] \rightarrow K$.

A Better Interpretation (I)



Define $\pi : \text{Lit}_A \rightarrow \mathbb{N}[X, \bar{X}]$:

$$\pi(L) = \begin{cases} 0 & \text{if } L = E(a, b) \\ \bar{p} & \text{if } L = \neg E(a, b) \\ 0 & \text{if } L = E(b, c) \\ \bar{q} & \text{if } L = \neg E(b, c) \\ r & \text{if } L = E(a, c) \\ 0 & \text{if } L = \neg E(a, c) \end{cases} = \begin{cases} s & \text{if } L = E(c, b) \\ 0 & \text{if } L = \neg E(c, b) \\ t & \text{if } L = E(b, a) \\ 0 & \text{if } L = \neg E(b, a) \\ 0 & \text{for the other positive facts} \\ 1 & \text{for the other negative facts} \end{cases}$$

A Better Interpretation (II)

$$\begin{aligned}\text{Compute } \pi[\![\forall x \neg \text{dominant}(x)]\!] &= \pi[\![\forall x \exists y (x \neq y) \wedge [\neg E(x, y) \vee E(y, x)]]\!] = \\ &= (0 + (\bar{p} + t) + (0 + 0)) \cdot ((0 + 0) + 0 + (\bar{q} + s)) \cdot ((1 + r) + (0 + 0) + 0) \\ &= (\bar{p} + t) \cdot (\bar{q} + s) \cdot (1 + r) = \boxed{\bar{p}\bar{q} + \bar{p}s + \bar{q}t + st + \bar{p}\bar{q}r + \bar{p}rs + \bar{q}rt + rst}\end{aligned}$$

Again monomials correspond to proof trees that witness $\mathfrak{A} \models \varphi$.

Finally, we can track the *provenance of negative facts*.

This interpretation defines a unique model. It is not “flexible” enough finding other models with desirable properties.

Multi-Model Interpretations

Definition An interpretation $\pi : \text{Lit}_A \rightarrow \mathbb{N}[X, \bar{X}]$ is **model-compatible** if for any fact $R(\mathbf{a})$ one of the following three holds:

1. $\exists x \in X$ s.t. $\pi(R(\mathbf{a})) = x$ and $\pi(\neg R(\mathbf{a})) = \bar{x}$, or
2. $\pi(R(\mathbf{a})) = 0$ and $\pi(\neg R(\mathbf{a})) = 1$, or
3. $\pi(R(\mathbf{a})) = 1$ and $\pi(\neg R(\mathbf{a})) = 0$

Specification of **provenance tracking assumptions**.

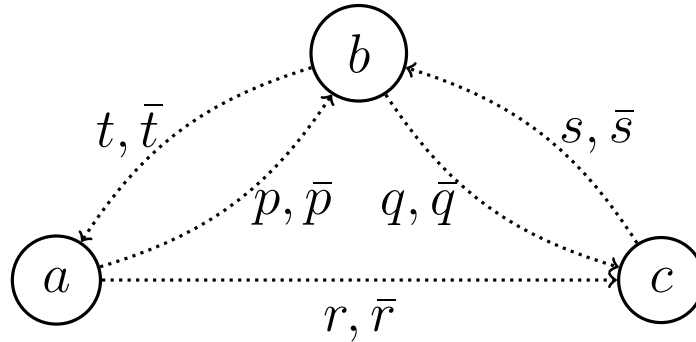
Such π is “compatible” with at least one model (hence the name), but, in general, with *multiple* models.

This is not a bug but a feature (!) that supports *reverse provenance analysis* as well as *model update*.

Example of Provenance Tracking Assumptions

Define $\pi : \text{Lit}_A \rightarrow \mathbb{N}[X, \bar{X}]$:

$$\pi(L) = \begin{cases} p & \text{if } L = E(a, b) \\ \bar{p} & \text{if } L = \neg E(a, b) \\ q & \text{if } L = E(b, c) \\ \bar{q} & \text{if } L = \neg E(b, c) \\ r & \text{if } L = E(a, c) \\ \bar{r} & \text{if } L = \neg E(a, c) \end{cases} = \begin{cases} s & \text{if } L = E(c, b) \\ \bar{s} & \text{if } L = \neg E(c, b) \\ t & \text{if } L = E(b, a) \\ \bar{t} & \text{if } L = \neg E(b, a) \\ 0 & \text{for the other positive facts} \\ 1 & \text{for the other negative facts} \end{cases}$$



A Multi-Model Polynomial

This π is model-compatible.

$$\begin{aligned}\text{Compute } \pi[\![\forall x \neg \text{dominant}(x)]\!] &= \pi[\![\forall x \exists y (x \neq y) \wedge [\neg E(x, y) \vee E(y, x)]]\!] = \\ &= (\bar{p} + \bar{r} + t) \cdot (p + \bar{q} + s + \bar{t}) \cdot (1 + q + r + \bar{s})\end{aligned}$$

The resulting polynomial has $48 - 4 - 3 - 3 - 4 = 34$ monomials.

It describes the 34 distinct proof trees that witness ... what?

$$\text{Compute } \pi[\![\exists x \text{ dominant}(x)]\!] = prt + \bar{p}q\bar{s}t$$

Two monomials. They correspond to distinct models!

What a Model-Compatible Interpretation Wants

Definition (again) An interpretation $\pi : \text{Lit}_A \rightarrow \mathbb{N}[X, \bar{X}]$ is **truth-compatible** if for any fact $R(\mathbf{a})$ one of the following three holds:

1. $\exists z \in X \cup \bar{X}$ s.t. $\pi(R(\mathbf{a})) = z$ and $\pi(\neg R(\mathbf{a})) = \bar{z}$, or
2. $\pi(R(\mathbf{a})) = 0$ and $\pi(\neg R(\mathbf{a})) = 1$, or
3. $\pi(R(\mathbf{a})) = 1$ and $\pi(\neg R(\mathbf{a})) = 0$

$$\text{Must}_\pi = \{L \in \text{Lit}_A \mid \pi(L) = 1\}$$

$$\text{Mod}_\pi = \{\mathfrak{A} \mid \mathfrak{A} \models \text{Must}_\pi\} \quad (\text{When } \mathfrak{A} \in \text{Mod}_\pi \text{ we say } \mathfrak{A} \text{ compatible with } \pi.)$$

$$\text{May}_\pi = \{L \in \text{Lit}_A \mid \pi(L) \in X \cup \bar{X}\}$$

What Makes It All Work

$\pi : \text{Lit}_A \rightarrow \mathbb{N}[X, \bar{X}]$ model-compatible $\varphi \in \text{FOL}$.

Proposition The provenance polynomial $\pi[\![\varphi]\!]$ describes all the proof trees that verify φ using premises from $\text{Must}_\pi \cup \text{May}_\pi$:

Monomial $m x_1^{m_1} \cdots x_k^{m_k}$ represents m distinct proof trees that use m_i times L where $\pi(L) = x_i$.

In particular, the sum of the monomial coefficients in $\pi[\![\varphi]\!]$ counts the number of these proof trees.

Soundness and Completeness of Provenance Tracking

Corollary $\pi : \text{Lit}_A \rightarrow \mathbb{N}[X, \bar{X}]$ truth-compatible and $\varphi \in \text{FOL}$. Then,

- (i) φ is Mod_π -satisfiable iff $\pi \llbracket \varphi \rrbracket \neq 0$, and
- (ii) φ is Mod_π -valid iff $\pi \llbracket \neg \varphi \rrbracket = 0$

Satisfiability and validity *restricted to the class Mod_π of models that agree with some provenance tracking assumptions*. In particular all the models have universe A .

This kind of satisfiability (hence validity) is decidable.

Back to a Single Model

Definition π model-compatible and $\mathfrak{A} \in \text{Mod}_\pi$. The **specialization** of π wrt \mathfrak{A} :

$$\pi|_{\mathfrak{A}}(L) = \begin{cases} \pi(L) & \text{if } \mathfrak{A} \models L \\ 0 & \text{otherwise} \end{cases}$$

Corollary π model-compatible, $\mathfrak{A} \in \text{Mod}_\pi$, $\varphi \in \text{FOL}$ s.t. $\mathfrak{A} \models \varphi$.

Then, $\pi|_{\mathfrak{A}}[\![\varphi]\!] \neq 0$ and every monomial in $\pi|_{\mathfrak{A}}[\![\varphi]\!]$ also appears in $\pi[\![\varphi]\!]$, with the same coefficient.

Moreover, $\pi|_{\mathfrak{A}}[\![\varphi]\!]$ describes all the proof trees that witness $\mathfrak{A} \models \varphi$. In particular, the sum of all the monomial coefficients in $\pi|_{\mathfrak{A}}[\![\varphi]\!]$ counts the number of distinct such proof trees.

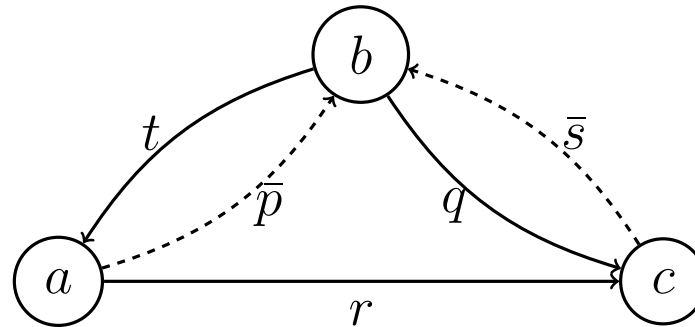
Model Update

Given \mathfrak{A} , update to \mathfrak{A}' .

Here is how we update the provenance:

1. Choose model-compatible π such that $\mathfrak{A} \in \text{Mod}_\pi$.
Make sure you annotate with tokens the literals that you aim to update.
2. Apply the update to π , setting provenance tokens to 0/1. Obtain π' .
3. Compute the specialization $\pi'|_{\mathfrak{A}'}$.

Missing Query Answers [with Jane Xu, Waley Zhang and Abdu Alawini; Penn]



Query: $\text{dominant}(x) = \forall y (x = y) \vee [E(x, y) \wedge \neg E(y, x)]$

b is an answer for the query; provenance of $\text{dominant}(b)$ is $\bar{p}q\bar{s}t$.

Missing answer: WHY IS a NOT AN ANSWER?

Provenance of $\text{dominant}(a)$ is 0, no help.

Instead, compute the provenance of $\neg\text{dominant}(a)$!

Missing Query Answers: Explanations and Repairs

$$\neg\text{dominant}(a) = \exists y (a \neq y) \wedge [\neg E(a, y) \vee E(y, a)]$$

Has provenance $\bar{p} + t$.

Explanation:

- cause: $\bar{p} \neq 0$ (absence of edge $E(a, b)$)
- another cause: $t \neq 0$ (presence of edge $E(b, a)$)

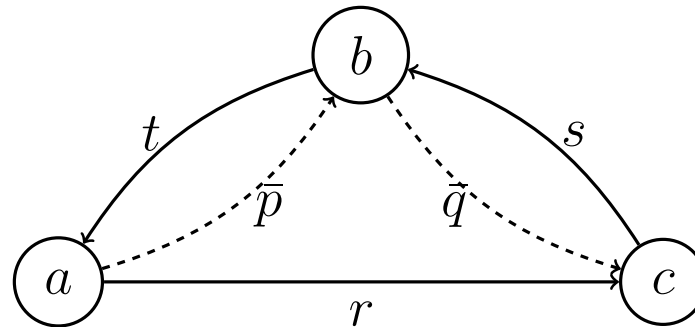
Repair: $\bar{p} = t = 0$ (insert $E(a, b)$ and delete $E(b, a)$)

(Negative token set to 0: fact insertion.)

Positive token set to 0: fact deletion.)

Integrity Constraint Failure [also with Jane Xu, Waley Zhang and Abdu Alawini; Penn]

Change things a bit:



Integrity constraint (IC): “AT LEAST ONE VERTEX IS DOMINANT”

$$\exists x \text{ dominant}(x)$$

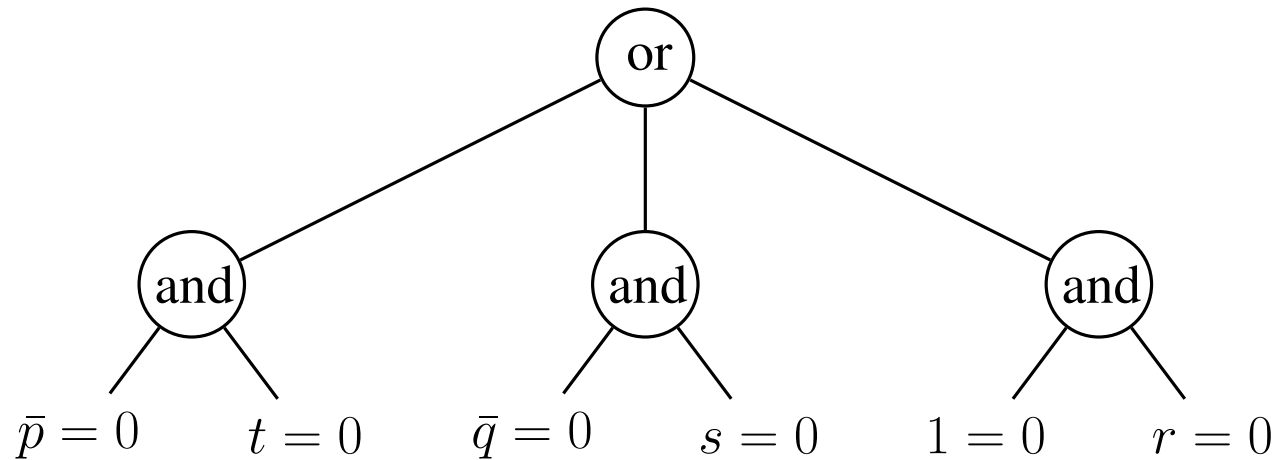
WHY IS THE IC FAILING? Has provenance 0, not helpful.

Compute provenance \mathfrak{p} of $\neg[\exists x \text{ dominant}(x)]$ then “solve” $\mathfrak{p} = 0$.

$$\mathfrak{p} = (\bar{p} + t) \cdot (\bar{q} + s) \cdot (1 + r)$$

Integrity Constraint Failure: Repairs and Explanations (I)

and-or tree of solutions to $\mathbf{p} = (\bar{p} + t) \cdot (\bar{q} + s) \cdot (1 + r) = 0$:



Each solution corresponds to a different **repair**: $\{\bar{p} = t = 0\}$ or $\{\bar{q} = s = 0\}$.

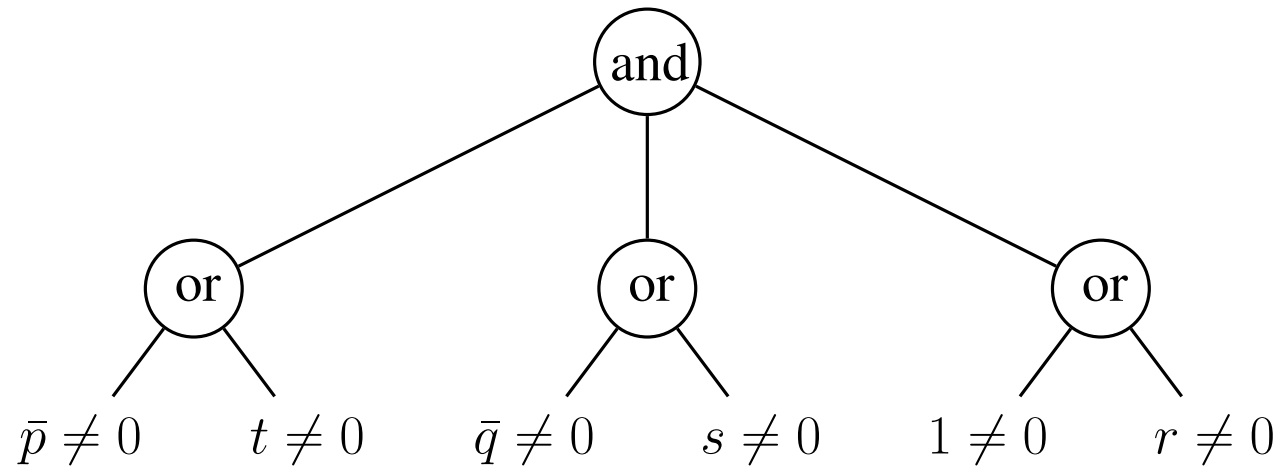
In general, exponential # of minimal repairs

however and-or tree is polysize (data complexity).

Proposition Any minimal repair is a subset of a repair represented in the tree.

Integrity Constraint Failure: Repairs and Explanations (II)

For explanations, *dualize* the tree:



Four minimal **explanations**:

$$\{\bar{p} \neq 0, \bar{q} \neq 0\} \quad \{\bar{p} \neq 0, s \neq 0\} \quad \{t \neq 0, \bar{q} \neq 0\} \quad \{t \neq 0, s \neq 0\}$$

Choose Among Repairs Based on Cost

Update, for each repair, the provenance \mathfrak{q} of IC $\pi[\exists x \text{ dominant}(x)]$
(use a model-compatible interpretation that includes all tokens in all repairs)

$$\mathfrak{q} = pr\bar{t} + \bar{p}q\bar{s}t$$

Apply each repair (specialize wrt corresponding models):

$$\{\bar{p} = t = 0\} \mapsto pr\bar{t}$$

$$\{\bar{q} = s = 0\} \mapsto \bar{p}q\bar{s}t$$

Assumptions: cost of one insertion: α cost of one deletion: β ;

Cost of pos/neg facts in the model initially:

$$\text{cost}(\bar{p}) = \text{cost}(\bar{q}) = \gamma \quad \text{cost}(s) = \text{cost}(t) = \delta \quad \text{cost}(r) = \epsilon$$

$$\text{cost}(pr\bar{t}) = \alpha + \epsilon + \beta \quad \text{cost}(\bar{p}q\bar{s}t) = \gamma + \alpha + \beta + \delta$$

If $\epsilon < \gamma + \delta$ the first repair is cheaper.

In general we evaluate polynomials in the *tropical semiring* \mathbb{T} .

“Semiring Provenance for First-Order Model Checking”, Erich Grädel and Val Tannen, arXiv:1712.01980 [cs.LO], Dec. 2017.

“Provenance Analysis for Missing Answers and Integrity Repairs”, Jane Xu, Waley Zhang, Abdu Alawini, and Val Tannen, submitted.

What’s next?

Extensions to games, and to fixed-point logics, and henceforth to verification logics. Joint work ongoing with Erich Grädel.

Computational question: finding minimal cost repairs. NP-hard problem, looking for approximation techniques.

Other applications (**networks and databases**, workflows, verification). Work ongoing at Penn.