From Weak to Strong LP Gaps for all CSPs





Mrinalkanti Ghosh Madhur Tulsiani

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Max-k-CSP

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- *n* variables taking values in $[q] = \{0, \ldots, q-1\}.$

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- For a graph, given: - Set of colors: [q] - Constraints: one for each edge $(u, v) \in E$ $(u,v) = \begin{cases} \bullet^{u} & \text{or} & \bullet^{u} \\ \bullet^{v} & \text{or} & \bullet^{v} \\ \bullet^{v} & \text{or} & \bullet^{v} \end{cases}$

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- *n* variables taking values in $[q] = \{0, \ldots, q-1\}$.
- *m* constraints (each on *k* variables)
- Satisfy as many as possible.

Unique Games



- For a graph, given: - Set of colors: [q] - Constraints: one for each edge $(u, v) \in E$ $(u,v) = \begin{cases} \bullet^{u} & \bullet^{u} & \bullet^{u} \\ \bullet^{u} & \bullet^{v} & \bullet^{v} \end{cases}$
- Each constraint is a bijection from [q] to [q]. Can in fact consider difference equations

$$x_u - x_v = c_{uv} \pmod{q}$$

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$$C_i \equiv f(x_{i_1} + b_{i,1}, \dots, x_{i_k} + b_{i,k})$$

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- Max-3-SAT: $f \equiv OR$. Each C_i is a clause. $b_{i,1} = 1$ if x_{i_1} is negated in clause C_i .

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- Max-3-SAT: $f \equiv OR$. Each C_i is a clause. $b_{i,1} = 1$ if x_{i_1} is negated in clause C_i .
- Unique Games: $f \equiv EQUAL$. For i^{th} constraint (u, v), let $i_1 = u$, $i_2 = v$ and let $b_{i,2} b_{i,1} = c_{uv}$

$$x_u - x_u = c_{uv} \quad \Leftrightarrow \quad x_{i_1} + b_{i,1} = x_{i_2} + b_{i,2}.$$

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- Goal: Distinguish the cases $OPT(\Phi) \leq s$ and $OPT(\Phi) > c$.

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- If for some $\gamma \leq 1$, all pairs $(\gamma \cdot c, c)$ can be solved, then can approximate within factor γ .

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Characterizing approximability

- Max-3-SAT [Håstad 97]: For all $\epsilon > 0$, distinguishing $(7/8 + \epsilon, 1 - \epsilon)$ is NP-hard (s < 7/8 is trivial).

$$\leq 7/8 + \epsilon \qquad > 1 - \epsilon$$

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- Unique Games Conjecture [Khot 02]: For all $\delta, \epsilon > 0$, there exists q such that it is NP-hard to distinguish $(\delta, 1 - \epsilon)$ for UG with domain [q].



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A dichotomy assuming the UGC

[Raghavendra 08]: For all q, for all f, if a basic SDP cannot distinguish (s, c) for Max-k-CSP_q(f), then for all ε > 0, it is NP-hard to distinguish (s + ε, c − ε) assuming the UGC.

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- "All-or-nothing": Either a simple algorithm (approximately solvable in almost linear time) can distinguish (*s*, *c*) or it is NP-hard to do so.

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- "All-or-nothing": Either a simple algorithm (approximately solvable in almost linear time) can distinguish (*s*, *c*) or it is NP-hard to do so.

- Equivalent to UGC (because UG is a 2-CSP).

An unconditional version for LPs

 For all q, for all f, if a basic LP cannot distinguish (s, c) for Max-k-CSP_q(f), then for all ε > 0, no LP of any polynomial size in the Sherali-Adams hierarchy can distinguish (s + ε, c − ε). An unconditional version for LPs

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- [CLRS 13], [KMR 17]: If no polysize LP in Sherali-Adams hierarchy can distinguish $(s + \epsilon, c \epsilon)$ then no polysize extended formulation can distinguish $(s + 2\epsilon, c 2\epsilon)$.
- "All-or-not-much" for LPs: If a simple (linear size) LP cannot do it, neither can any polysize LP extended formulation.

- Defined by a feasible polytope *P*, and a way of encoding instances Φ as a (linear) objective function w_{Φ} .

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- Introduce additional variables y. Optimize over polytope

 $P = \{x \mid \exists y \ Ex + Fy = g, y \ge 0\}$.



Image from [Fiorini-Rothvoss-Tiwari 2011]

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Size equals #variables + #constraints.

- Optimize objective objective $\langle w_{\Phi}, x \rangle$ (depending on Φ) over *P*.

Integer Program for CSPs

Variables:
$$Z_{(i,b)}$$
 for $i \in [n]$ and $b \in [q]$

Constraints:
$$(Z_{(i,b)})^2 = Z_{(i,b)}$$
 $\forall i \in [n], b \in [q]$
$$\sum_{b \in [q]} Z_{(i,b)} = 1 \quad \forall i \in [n]$$

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Maximize: $\frac{1}{m} \cdot \sum_{C} \sum_{\alpha \in [q]^{S_C}} \left(\prod_{i \in S_C} Z_{(i,\alpha_i)}\right) \cdot f(\alpha + (b_{i,1}, \dots, b_{i,k}))$

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Variables: $X_{(S,\alpha)}$ for all $|S| \leq t$ and $\alpha \in [q]^S$. Represent $\widetilde{\mathbb{E}}$ as

 $\begin{array}{ll} X_{(S,\alpha)} &=& \widetilde{\mathbb{E}} \left[\prod_{i \in S} Z_{(i,\alpha_i)} \right] \approx \mbox{ Prob. vars in } S \mbox{ assigned according to } \alpha \\ X_{(S,\alpha)} &\geq & 0 \end{array}$

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Consistency: For all $j \notin S$, $\sum_{b \in [q]} X_{(S \cup \{j\}, \alpha \circ b)} = X_{(S, \alpha)}$ $X_{\emptyset, \emptyset} = 1$
The Sherali-Adams LP hierarchy (*t* levels)

Variables: $X_{(S,\alpha)}$ for all $|S| \leq t$ and $\alpha \in [q]^S$. Represent $\widetilde{\mathbb{E}}$ as

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$$j \notin S$$
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 $X_{\emptyset, \emptyset} = 1$

Linear Program: For variables $X_{(S,\alpha)} \in [0,1]$ satisfying consistency

Maximize
$$\frac{1}{m} \cdot \sum_{C} \sum_{\alpha \in [q]^{S_C}} X_{(S_C, \alpha)} \cdot f(\alpha + (b_{i,1}, \dots, b_{i,k}))$$

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- Solution to LP defines local distributions consistent on intersections.

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- $n^{O(t)} \cdot q^t$ variables.

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- $O(q^k \cdot m + q \cdot n)$ variables.

Inaccurate pictorial representations





Extended Formulations

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A more precise version

- [Ghosh T 17]: For all q, for all f, if basic LP cannot distinguish (s, c) for Max-k-CSP_q(f), then for all $\epsilon > 0$, no LP given by $t = O_{\epsilon} \left(\frac{\log n}{\log \log n}\right)$ levels of the Sherali-Adams hierarchy can distinguish $(s + \epsilon, c - \epsilon)$.

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- Using [CLRS 13, KMR 17]: For all $\epsilon > 0$, no extended formulation of size exp $\left(O_{\epsilon}\left(\frac{(\log n)^2}{(\log \log n)^2}\right)\right)$ can distinguish $(s + \epsilon, c - \epsilon)$.

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- Using [CLRS 13, KMR 17]: For all $\epsilon > 0$, no extended formulation of size exp $\left(O_{\epsilon}\left(\frac{(\log n)^2}{(\log \log n)^2}\right)\right)$ can distinguish $(s + \epsilon, c \epsilon)$.
- "Escalate" a hard instance for basic LP to a hard instance for Sherali-Adams.

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 - Distribution on *S* only supported on assignments satisfying (almost) all constraints in *S*.

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- Trees are easy.

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- Similar constructions used by [GL 15], [KTW 14]

Bounding $OPT(\Phi)$



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- Concentration and union bound.

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- Cut only few edges.

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$$(\rho(u, v))^2 \approx 1 - (1 - \mu)^{d_H(u, v)}$$

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- [CCGGP 98]: Low-diameter decomposition of ℓ_2 embedding.
- Easy to check partitioning is consistent on subsets (ℓ_2 distances determine configuration).

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- [JL 84]: Random Gaussian projection in $O(\log t)$ dimensions approximately preserves all distances with high probability.
- For sets *S* and *T*, can one consistently discard bad Gaussian projections?

- Extend the result to $n^{\Omega(1)}$ levels of the SA hierarchy. Will give a size bound of $\exp(n^{\Omega(1)})$ on extended formulation size using [KMR17].

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- Can one avoid loss of ϵ in c when c = 1 (relevant for refutation)? Exact refutation addressed by [TZ 16].

Thank You

Questions?

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