

# Fundamental Techniques in Pseudorandomness

Part 1

Motivations and goals

(and previews of future  
lectures)

## Goals:

- Introduce fundamental problems
- Introduce basic techniques
- Show how basic techniques can be composed to provide solutions

## Message:

- everything follows from
- elementary algebra
  - good definitions
  - composition

## How elementary is the linear algebra:

In every field:

- $k$  linearly independent linear equations in  $n$  variables have a solution space of dimension  $n - k$
- A non-zero degree- $d$  polynomial in one variable has  $\leq d$  roots

What problems do we want to solve?

- ① Construct efficiently and deterministically objects whose existence is guaranteed by proofs based on the probabilistic method
- ② Convert a randomized algorithm for a problem of interest into a deterministic algorithm of comparable complexity
- ③ Efficiently construct deterministically (or with little randomness) objects having many of the useful properties of random objects

## Probabilistic Method: Example 1

Ramsey Theorem (Erdős, Szekeres)

Every  $n$ -vertex graph has either a clique or an independent set of size  $\geq \frac{1}{2} \log n$

Erdős

There is an  $n$ -vertex graph in which  $\max \text{clique} \leq 2 \log n$  and  $\max \text{i.s.} \leq 2 \log n$

80-year old problem: match Erdős existence proof with an explicit (say, polynomial time) construction

Recent breakthrough (Chattopadhyay, Zuckerman, Cohen):

$\max \text{clique}, \max \text{i.s.} \leq \exp((\log \log n)^c)$

$c$  absolute constant

## Probabilistic Method: Example 2

### Shannon's Second Theorem



Pick  $C: \{0,1\}^n \rightarrow \{0,1\}^m$ , known to both A and B

A computes and send  $C(x)$

B receives corrupted transmission  $y$

B guesses message as

$$x' = \operatorname{argmin}_z d_H(C(z), y)$$

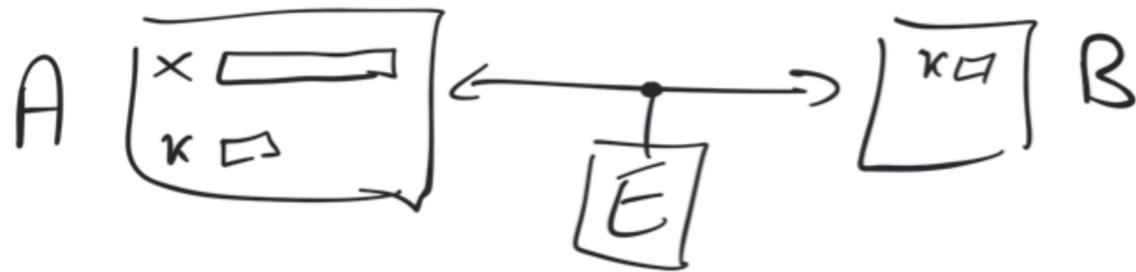
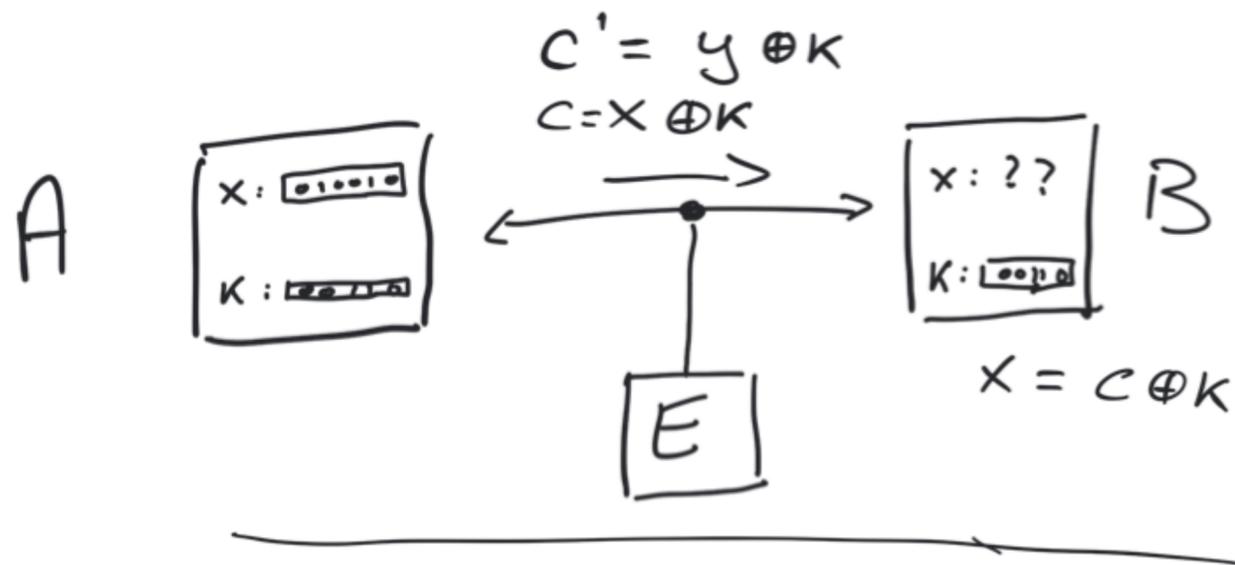
Thm (very informally)

Either this works whp, or it is impossible for any coding scheme to reliably send an  $n$ -bits message by transmitting  $m$  bits over channel

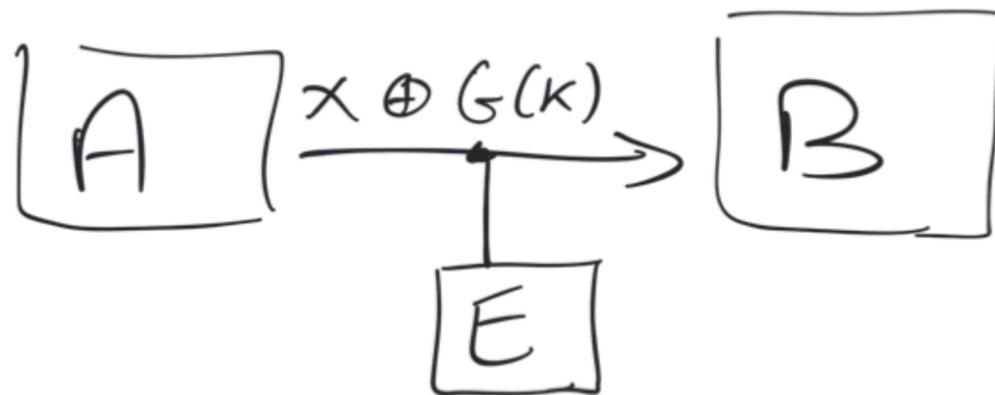
Note: -  $C$  has doubly exponential size  
- Brute force decoding takes exponential time

Lots of work in past 70 years toward making code explicit and encoding and decoding polynomial (or even linear) time

# Example 3: One Time Pad



G:  $\square \rightarrow \square$



# Derandomization of Algorithms

## Example 1: Primality testing

Idea of Miller-Rabin and Solovay-Strassen randomized algorithms:

If  $N$  is composite, it has 'certificates of compositeness' (e.g. violations of Fermat's Little Theorem, or of Quadratic Reciprocity) that exist and can be found with high probability using randomness

To find a deterministic algorithm: construct certificates in polynomial time

AKS: - new randomized algorithm.

- given  $N$ , define polynomial  $P_N$  that is efficiently evaluable and non-zero iff  $N$  is composite
- find non-zero point of  $P_N$  randomly if one exists
- derandomization: show how to construct a non-zero point of  $P_N$  if one exists

# Derandomization of Algorithms

## Example 2: Undirected Reachability

Problem: given undirected graph  $G$ , nodes  $s, t$ , is there a path from  $s$  to  $t$ ?

Aleliunas, Karp, Lipton, Lovász, Rackoff:

start at  $s$

do a  $100 \cdot n^3$ -step random walk

if  $t$  is encountered, output YES

else output NO

Memory use:  $O(1)$  variables,  $O(\log n)$  bits

Reingold: same performance, deterministically

## Derandomization of Algorithms

### Example 3: Finding Large Primes

Problem: given  $N$ , find a prime between  
 $N$  and  $2N$

Randomized algorithm: pick  $O(\log N)$   
random integers

Deterministic algorithm: ??

# Derandomization of Algorithms

## Example 4: Polynomial Identity Testing

Problem: given two multivariate polynomials  $p, q$ , e.g. as formulas or as arithmetic circuits, is  $p = q$ ?

Randomized Algorithm: check a random point in a suitably large discrete range

Deterministic Algorithm: ??  
(c.f. AKS)

## Desandomization of Algorithms

### Example 5: Approximating the Permanent

Problem: given square 0/1 matrix  $M$ , approximate

$$\text{perm}(M) := \sum_{\pi} \prod_i M_{i, \pi(i)}$$

Equivalently: given bipartite graph, approximate the number of perfect matchings

(Approximate: achieve, say, 1% multiplicative approximation)

Randomized Algorithm: long story  
(Jerrum, Sinclair, Vigoda)

Deterministic Algorithm: ??

# Derandomization of Algorithms

## Example 6: Circuit acceptance

Problem: given boolean circuit  $C$  with one bit output, find a number in the range

$$\mathbb{P}_{x \sim U_n} [C(x) = 1] \pm \frac{1}{10}$$

Randomized algorithm: evaluate  $C$  at 1,000 random inputs, output fraction of times you see 1

Deterministic algorithm: ??

Note: a derandomization of this algorithm implies a derandomization of all algorithms

## Complexity-Theoretic Questions

### $P = BPP?$

Can we solve in polynomial time, deterministically, all problems that we can solve in polynomial time probabilistically whp?

- Note:
- implied by derandomization of circuit approx problem
  - also implied by plausible circuit-complexity conjectures
  - it implies unproven (and hard) circuit complexity conjectures

### $L = BPL?$

Is every problem solvable in polynomial time and logarithmic space prob. whp also solvable in poly time and log space deterministically?

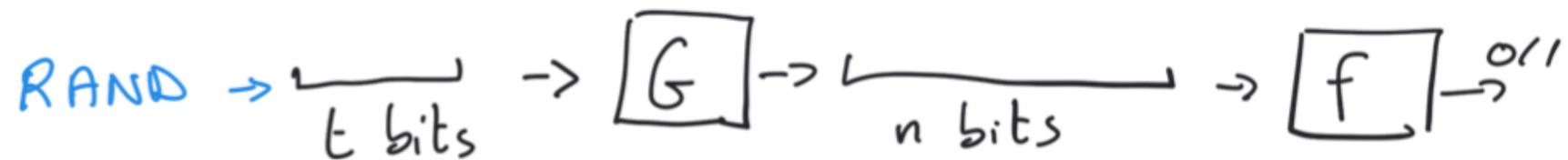
- Non-trivial result: can do in space  $O((\log n)^{1.5})$ , time  $n^{O(\sqrt{\log n})}$
- No known barriers

# Unifying Notion: Pseudorandom Generator

$$G: \{0,1\}^t \rightarrow \{0,1\}^n \quad \varepsilon\text{-fools}$$

a family of tests  $\mathcal{F}$ ,

where each  $f \in \mathcal{F}$  is  $f: \{0,1\}^n \rightarrow \{0,1\}$



$$\forall f \in \mathcal{F}. \left| \mathbb{P}_{x \sim U_n} [f(x) = 1] - \mathbb{P}_{z \sim U_t} [f(G(z)) = 1] \right| \leq \varepsilon$$

Ideal Case of Existence of PRGs

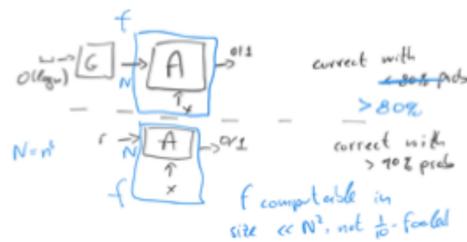
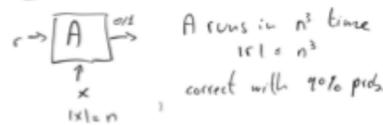
Suppose we have poly(n)-time computable

$$G_n: \{0,1\}^{O(\log n)} \rightarrow \{0,1\}^n$$

that  $\frac{1}{10}$ -fools all  $f$  computable by circuits of size  $\leq n^2$

Then:

- $P = BPP$
- All applications of prob method to construct objects with poly(n)-time checkable properties can be made constructive
- All randomized approximation algorithms can be derandomized



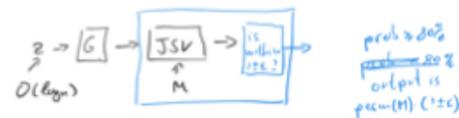
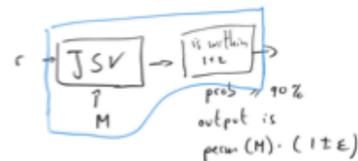
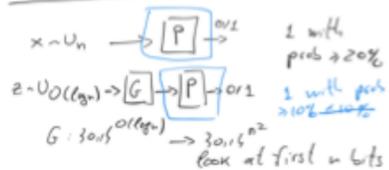
Interested in constructing

$\in \{0,1\}^n$  that satisfies property P

P is checkable in  $n^2$  time

$P[P(x) \text{ true}] \geq 20\%$

$x \sim U_n$



Part 2

Simple constructions of  
Pseudorandom Generators

Tests that look at only one bit

construct:

$$G: \{0,1\}^t \rightarrow \{0,1\}^N$$

such that, for uniform  $x$ ,

each bit of  $G(x)$  is uniformly

distributed

Tests that look at 2 bits

construct

$$G: \{0,1\}^t \rightarrow \{0,1\}^N$$

such that, for uniform  $x$ , the bits of  $G(x)$  are uniformly distributed and pairwise independent

---

$$N = 2^t - 1$$

$$z \rightarrow \langle z, a_1 \rangle, \langle z, a_2 \rangle, \dots, \langle z, a_{2^t-1} \rangle$$

$z \in \mathbb{F}_2^t$  where  $a_1, \dots, a_t$  is an enumeration of  $\mathbb{F}_2^t - \{0\}$

$$\langle z, a \rangle = 0 \wedge \langle z, a' \rangle = 0$$

$$z_1, z_2, z_3 \rightarrow z_1, z_2, z_3, z_1+z_2, z_1+z_3,$$

$$z_2+z_3, z_1+z_2+z_3$$

Tests that look at two values  
construct

$$G: \{0,1\}^t \rightarrow (\{0,1\}^n \rightarrow \{0,1\}^m)$$

such that, for uniform  $x$ , the  
outputs of  $h_x := G(x)$  are pairwise  
independent

---

$$\mathbb{F}_{2^n} \quad n=m$$

$$(\mathbb{Z}_2)^n$$

input of generator  $a, b \in \mathbb{F}_{2^n}$

$$t = 2 \cdot n$$

$$h_{a,b}(x) = ax + b$$

---

For every  $x \neq y$

over the rand. of  $a, b$

$ax + b$   
 $ay + b$  are uniform  
and indep.

---

what is prob. for fixed  $v, w$

$$\begin{aligned} ax + b &= v \\ ay + b &= w \end{aligned} \quad \begin{array}{l} \text{prob over} \\ a, b \end{array}$$

---

We can generate

$$h: \{0,1\}^n \rightarrow \{0,1\}^m \quad m < n$$

pairwise indep.

input is  $2 \cdot n$  bits  $m > n$

$2 \cdot \max\{m, n\}$  bits

Tests that look at  $k$  values  
construct

$$G: \{0,1\}^t \rightarrow (\{0,1\}^n \rightarrow \{0,1\}^m)$$

such that, for uniform  $z$ ,  $h_z := G(z)$   
has  $k$ -wise independent outputs

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$$\begin{aligned} n &= m \\ k &= 3 \end{aligned}$$

$$t = 3 \cdot n$$

input of  $G$   $a, b, c \in \mathbb{F}_2^n$

$$h_{a,b,c}(x) = ax^2 + bx + c$$

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Fix  $x, y, z$

Fix  $u, v, w$

$$\prod_{a,b,c} \left[ \begin{array}{l} h_{a,b,c}(x) = u \\ h_{a,b,c}(y) = v \\ h_{a,b,c}(z) = w \end{array} \right] \stackrel{?}{=} \left(\frac{1}{2^n}\right)^3$$

$$\# a,b,c: \frac{\begin{cases} ax^2 + bx + c = u \\ ay^2 + by + c = v \\ az^2 + bz + c = w \end{cases}}{(2^n)^3} = \frac{1}{(2^n)^3}$$

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In general

$$\{0,1\}^{kn} \rightarrow (\{0,1\}^n \rightarrow \{0,1\}^m)$$

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$k$ -wise  $\{0,1\}^n \rightarrow \{0,1\}^m$

$$t = k \cdot \max\{n, m\}$$

### Applications

Suppose  $G: \{0,1\}^k \rightarrow \{0,1\}^n$  is  $\kappa$ -wise indep.  
 [Recall: we can have  $k \ll \log n$ ]  
 What functions  $f: \{0,1\}^n \rightarrow \{0,1\}$  are  
 guaranteed to be  $\epsilon$ -foolled by  $G$ ? For what  $\epsilon$ ?

①  $f: \{0,1\}^n \rightarrow \mathbb{R}$  is a polynomial of degree  $\leq k$

Then

$$\mathbb{E}_{x \sim U_n} f(x) = \mathbb{E}_{z \sim U_k} f(G(z))$$

So if  $f: \{0,1\}^n \rightarrow \mathbb{R}$  has Fourier transform  
 in which only coefficients of degree  $\leq k$  are  
 non-zero,  
 $\mathbb{P}[f(x)=1] = \mathbb{P}[f(G(z))=1]$

Example: decision tree of depth  $\leq k$



A depth- $k$  decision tree is  $\epsilon$ -foolled  
 by a  $\kappa$ -wise indep. distribution

②  $f(x_1, x_2, \dots, x_n) = x_1 \cdot x_2 \cdot \dots \cdot x_n$   
 Fourier degree is  $n$

$$\mathbb{P}_{x \sim U_n} [f(x) = 1] = \frac{1}{2^n}$$

if  $G$  is  $\kappa$ -wise indep.  
 $\mathbb{P}_{z \sim U_k} [f(G(z)) = 1] < \frac{1}{2^k}$

A  $\kappa$ -wise independent distribution fools  
 AND with  $\epsilon \leq \frac{1}{2^k}$

③  $f: \{0,1\}^n \rightarrow \{0,1\}$  such that  
 $g: \{0,1\}^n \rightarrow \{0,1\}$  has degree  $\leq d$  and  
 $\mathbb{P}_{x \sim U_n} [f(x) \neq g(x)] \leq \epsilon$

$$G: \{0,1\}^k \rightarrow \{0,1\}^n \quad f(x) = \begin{cases} 1 & x \in \text{Im}(G) \\ 0 & x \notin \text{Im}(G) \end{cases}$$

④  $f, u, \ell: \{0,1\}^n \rightarrow \mathbb{R}$  [Bazzi]

where:

- $u, \ell$ , have degree  $\leq k$
- $\forall x, \ell(x) \leq f(x) \leq u(x)$
- $\mathbb{E}_{x \sim U_n} u(x) - \ell(x) \leq \epsilon$
- suppose  $G: \{0,1\}^k \rightarrow \{0,1\}^n$   $\kappa$ -wise indep.

Then  $|\mathbb{E}_{x \sim U_n} f(x) - \mathbb{E}_{z \sim U_k} f(G(z))| \leq \epsilon$

$$\mathbb{E} f = \mathbb{E} f(G(z))$$

$$\leq \mathbb{E}_{x \sim U_n} u(x) - \mathbb{E}_{z \sim U_k} \ell(G(z))$$

$$= \mathbb{E}_{x \sim U_n} u(x) - \mathbb{E}_{x \sim U_n} \ell(x) \leq \epsilon$$

## Pseudorandomness for bounded-depth circuits

Suppose  $f: \{0,1\}^n \rightarrow \{0,1\}$   
is computed by a Boolean circuit with:

- AND, OR, NOT gates
- AND, OR gates have no bound on fan-in, fan-out
- depth  $\leq d$
- size =  $s$

Then [Braverman]

There are  $l, v: \{0,1\}^n \rightarrow \mathbb{R}$  of degree  
 $\leq (\log \frac{s}{\epsilon})^{O_d(1)}$  such that

$$\forall x. \quad l(x) \leq f(x) \leq v(x)$$

$$\mathbb{E} v(x) - l(x) \leq \epsilon$$

## Constructing Optimal Ramsey Graphs in $n^{\text{polylog}} n$ time

Erdős: if  $c = 2 \log n$ , then with positive probability a  $G_{n, \frac{1}{2}}$  graph has no clique of size  $c$  and no ind. set of size  $c$

$$\begin{aligned} \text{Proof: } & \mathbb{E}(\# \text{ cliques of size } c) \\ & + \mathbb{E}(\# \text{ ind. set of size } c) \\ & < 1 \end{aligned}$$

Fix  $G_{en}: \{0,1\}^t \rightarrow \{0,1\}^{\binom{n}{2}}$   
 $\binom{n}{2}$ -wise independent

$$\begin{aligned} \text{Enough to have } t &= \binom{2 \log n}{2} \cdot \log \binom{n}{2} \\ &= O(\log^3 n) \end{aligned}$$

Interpret output of  $G_{en}$  as  $n$ -vertex graph,  
call resulting distribution  $G_{n, \frac{1}{2}}$

$$\begin{aligned} & \mathbb{E}[\# \text{ clique of size } c] \\ & + \mathbb{E}[\# \text{ ind. of size } c] \\ & < 1 \end{aligned}$$

$$\begin{aligned} \text{Find one: } & 2^{O(\log^3 n)} \cdot n^{2 \log n} = \\ & n^{O(\log^2 n)} \end{aligned}$$

## Tests that take XORs

construct

$$G: \{0,1\}^t \rightarrow \{0,1\}^N$$

such that, for every  $a \in \{0,1\}^N$ ,

$$\mathbb{P}_{x \sim U_t} [\langle G(x), a \rangle = 1] \in \frac{1}{2} \pm \epsilon$$

where operations are in  $\mathbb{F}_2$

$$\text{Input } \overline{a} \in \mathbb{F}_2^t \quad b \in (\mathbb{Z}_2)^N$$

$$a, b \rightarrow \langle a, b \rangle, \langle a^2, b \rangle, \dots, \langle a^N, b \rangle$$

take

$$\sum_{i \in S} \langle a^i, b \rangle$$

$$= \langle \sum_{i \in S} a^i, b \rangle$$

$$\mathbb{P}_{a, b \in \{0,1\}^t} [\langle \sum_{i \in S} a^i, b \rangle = 0]$$

$$= \mathbb{P}_a [\sum_{i \in S} a^i = 0] + \frac{1}{2} (1 - \mathbb{P}[\sum = 0])$$

$$= \frac{1}{2} + \frac{1}{2} \mathbb{P}_a [\sum_{i \in S} a^i = 0]$$

$$\leq \frac{1}{2} + \frac{1}{2} \frac{N}{2^t}$$

$$\{0,1\}^{2t} \rightarrow \{0,1\}^N$$

$\epsilon$ -foul lin. test

$$\epsilon = N/2^t$$

$$t = O(\log \frac{N}{\epsilon})$$

## Applications

Take  $f: \{0,1\}^n \rightarrow \mathbb{R}$

write as

$$f(x) = \sum_s \hat{f}(s) \cdot (-1)^{\langle a, x \rangle}$$

Suppose  $G: \{0,1\}^k \rightarrow \{0,1\}^n$  is  $\epsilon$ -biased

Then

$$\forall a. \left| \mathbb{E}_{U_n} (-1)^{\langle a, x \rangle} - \mathbb{E}_{U_k} (-1)^{\langle a, G(z) \rangle} \right| \leq 2\epsilon$$

So

$$\left| \mathbb{E}_{U_n} f(x) - \mathbb{E}_{U_k} f(G(z)) \right| \leq 2\epsilon \cdot \sum_s |\hat{f}(s)|$$

---

$k$ -wise independence: fools  $f$  of degree  $k$  (no error)

$\epsilon$ -biased: fools  $f$  of small  $L_1$  (error  $\epsilon \cdot \|\hat{f}\|_1$ )

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Cauchy-Schwarz

If  $f: \{0,1\}^n \rightarrow \mathbb{R}$  depends on  $\leq k$  vars

$$\sum_s |\hat{f}(s)| \leq \sqrt{2^k} \cdot \sqrt{\sum_s \hat{f}^2(s)} \leq \sqrt{2^k}$$

Often, if  $f$  is fooled by  $k$ -wise independence, it is also fooled by  $\epsilon$ -biased generators with  $\epsilon \approx 1/2^k$

Better: seed goes from  $k \log n$  to  $2^k + \log n$

$f =$  depth  $k$  decision tree

$k$ -wise ind. fool  $f$

$$t = k \cdot \log n$$

---

$f =$  decision tree of size  $S$

$$\| \hat{f} \|_1 \leq S$$

$f =$  depth  $k$  d.t.  
size  $\leq 2^k$

$\epsilon/2^k$ -bias generator,  $\epsilon$ -fool  $f$

$$t = \log \frac{n \cdot 2^k}{\epsilon} = k + \log n / \epsilon$$

---

$f$  comp. d.t. of depth  $O(\log n)$   
size  $\text{poly}(n)$

$O(\log n)$ -wise indep.

$1/\text{poly}(n)$ -bias

$$t = O(\log^2 n)$$

$$t = O(\log n)$$

Optimal Ramsey graphs in time  $n^{O(\log n)}$

Take Gen:  $\{0,1\}^t \rightarrow \{0,1\}^{\binom{t}{2}}$

to be  $\epsilon$ -biased with  $\epsilon = \exp(-100 \log^2 n)$

Interpret output of Gen as graph,  
call  $\tilde{G}_{n, \frac{1}{2}}$  resulting distribution

$$\mathbb{E}_{G \sim \tilde{G}_{n, \frac{1}{2}}} \#(2 \log n)\text{-cliques in } G$$

$$= \sum_{\substack{S \subseteq V \\ |S| \leq 2 \log n}} \mathbb{E}_G \mathbb{I}_{S \text{ is a clique}}(G)$$

$$\leq \sum_{\substack{S \subseteq V \\ |S| \leq 2 \log n}} \left( \mathbb{E}_{G_{n, \frac{1}{2}}} \mathbb{I}_{S \text{ is a clique}} + \epsilon \cdot 2^{2 \log^2 n} \right)$$

$$\leq \underbrace{\text{Erdős}}_{G_{n, \frac{1}{2}}} + \epsilon \cdot n^{2 \log n} \cdot n^{2 \log n}$$

$$\leq \boxed{\text{Erdős}} + 2^{-98 \log^2 n}$$

---


$$f : \{0,1\}^n \rightarrow \{0,1\}$$

$$f(x) = \sum_S c_S x^S$$

$\epsilon$ -bias distrib

$$\epsilon \cdot \sum_S |c_S| - \text{fool } f$$

## Error-correcting codes

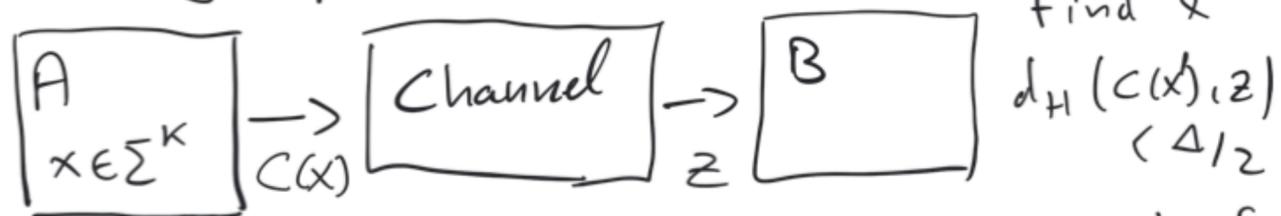
$$C: \Sigma^k \rightarrow \Sigma^n$$

is an error-correcting code  
of minimum distance  $\geq \Delta$  if

$$\forall x \neq y \in \Sigma^k$$

$$d_H(C(x), C(y)) \geq \Delta$$

Motivating application



Channel: • can be used to transmit  $n$  elements of  $\Sigma$   
• is guaranteed to make  $< \frac{\Delta}{2}$  errors

## Linear Error-Correcting Codes

$$C: \mathbb{F}^k \rightarrow \mathbb{F}^n$$

- is linear
- is an error-correcting code of minimum distance  $\geq \Delta$

Possible to use linear algebra to reason about encoding, decoding

E.g.

call  $|y| = \# \text{ non-zero entries of } y$

Then

$$\textcircled{1} d_H(y, z) = |y - z|$$

$$\textcircled{2} C \text{ has min distance } \geq \Delta \\ \text{iff} \\ \forall x \neq 0, |C(x)| \geq \Delta$$

Proof

$$\begin{aligned} \min_{x \neq y} |C(x) - C(y)| &= \min_{x \neq y} |C(x - y)| \\ &= \min_{x \neq 0} |C(x)| \end{aligned}$$

- $\textcircled{3}$  There is matrix  $M$  such that  $C(x) = M \cdot x$   
Also, there is matrix  $P$  such that  $y \in \{C(x) : x \in \mathbb{F}^k\} \iff P \cdot y = \mathbf{0}$   
(either  $P$  or  $M$  determines  $C$ )

Note:  $C$  has min-distance  $\geq \Delta$   
iff

every  $\leq \Delta - 1$  rows of  $P$  are linearly independent

# Reed-Solomon Codes

$$C: \mathbb{F}^k \rightarrow \mathbb{F}^n \quad [n \leq |\mathbb{F}|]$$

choose  $a_1, \dots, a_n \in \mathbb{F}$

given  $x_0, \dots, x_{k-1}$  Let  $P_x(z) = z^{k-1}x_{k-1} + \dots + zx_1 + x_0$

$$C(x) = (P_x(a_1), P_x(a_2), \dots, P_x(a_n))$$

$$\text{min distance} \geq n - k + 1$$

'Hadamard' code

$$C: \mathbb{F}_2^t \rightarrow \mathbb{F}_2^{2^t}$$

Let  $a_1, \dots, a_{2^t}$  be the elements of  $\mathbb{F}_2^t$

$$C(x) = \langle x, a_1 \rangle, \dots, \langle x, a_{2^t} \rangle$$

$$\text{min distance} = \frac{1}{2} \cdot 2^t$$

$$C(x) - C(y)$$

$$= \langle x-y, a_1 \rangle, \dots, \langle x-y, a_{2^t} \rangle$$

## Concatenation

Suppose we have

$$C_1: \Sigma^K \rightarrow \Sigma^N$$

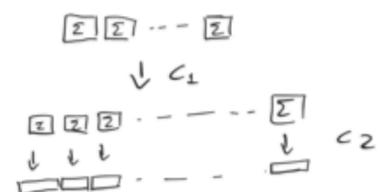
min distance  $\geq \Delta_1$

$$C_2: \Sigma \rightarrow \{0,1\}^n$$

min distance  $\geq \Delta_2$

Then

$$C: \Sigma^K \rightarrow \{0,1\}^{n \cdot N}$$



has min distance  $\geq \Delta_1 \cdot \Delta_2$

Es concatenate Reed-Solomon

$$RS: \mathbb{F}_{2^t}^K \rightarrow \mathbb{F}_{2^t}^n$$

$$\text{with } H: \mathbb{F}_{2^t} \rightarrow \mathbb{F}_2^{2^t}$$

we get

$$C: \{0,1\}^{t \cdot K} \rightarrow \{0,1\}^{2^t \cdot n}$$

with min distance  $\geq \frac{1}{2} \cdot (n - K) \cdot 2^t$

choose  $n = \frac{K}{\epsilon}$ ,  $2^t = 2n$

$$C: \{0,1\}^{K \log_2 \frac{K}{\epsilon}} \rightarrow \{0,1\}^{2K^2 / \epsilon^2}$$

$$C: \{0,1\}^K \rightarrow \{0,1\}^n \quad n \approx \frac{K^2}{\epsilon^2}$$

$$\text{min distance} \geq n \cdot \left(\frac{1}{2} - \epsilon\right)$$

$$\text{max distance} \leq \frac{n}{2}$$

# Linear Error-Correcting Codes vs $k$ -wise independence

Suppose

$C: \mathbb{F}^t \rightarrow \mathbb{F}^n$   
is linear e.c.c. of min dist  $\geq k+1$

Let  $P$  be  $(n-t) \times n$  matrix s.t.

$$Py = \underline{0} \text{ iff } y \in \text{Im}(C)$$

$\exists y$  s.t.  $|y| \leq k$ , then  $P \cdot y \neq \underline{0}$

Every  $\leq k$  columns of  $P$  are linearly ind.

Consider  $G: \mathbb{F}^{n-t} \rightarrow \mathbb{F}^n$  given by

$$x \rightarrow P^T x$$

$$x \rightarrow P_1^T \cdot x, P_2^T \cdot x, \dots, P_n^T \cdot x$$

This is  $k$ -wise indep. because  
every  $k$  output bits correspond  
to multiplying  $x$  by linearly indep. vectors

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Code of min distance 2

$$x_1, \dots, x_{n-1} \rightarrow x_1, \dots, x_{n-1}, \sum_{i=1}^{n-1} x_i$$

$$C: \{0,1\}^{n-1} \rightarrow \{0,1\}^n$$

$$P = (1, \dots, 1)$$

$$b \rightarrow b, b, \dots, b$$

# Linear Error-Correcting Codes vs $\epsilon$ -biased spaces

Suppose  $C: \mathbb{F}_2^k \rightarrow \mathbb{F}_2^n$   
is such that  $\forall y \in \text{Im}(C), y \neq 0$

$$\left(\frac{1}{2} - \epsilon\right) \cdot n \leq |y| \leq \left(\frac{1}{2} + \epsilon\right) \cdot n$$

if  $C(x) = M \cdot x$

$$M = \begin{pmatrix} -M_1- \\ \vdots \\ -M_n- \end{pmatrix}$$

$\underbrace{\hspace{10em}}_k$

picking at random a row of  $M$  gives an  
an  $\epsilon$ -biased ~~space~~ generator

$$\{0,1\}^k \rightarrow \{0,1\}^k$$

Fix  $a \in \{0,1\}^k$

pick at random  $i \in \{1, \dots, n\}$

consider  $\langle M_i, a \rangle$

$\epsilon$ -close  
to unbiased

pick a random  $i$

consider  $i$ -th bit of  $M \cdot a$

$\epsilon$ -close  
to unbiased

consider vector  $M \cdot a = C(a)$

$n$ -dim vector

$$\gg \left(\frac{1}{2} - \epsilon\right) \cdot n \text{ ones}$$

$$\leq \left(\frac{1}{2} + \epsilon\right) \cdot n \text{ ones}$$

## Samples

Given oracle access to  $f: \{0,1\}^n \rightarrow [0,1]$

Output an estimate  $A$  of  $\mathbb{E}_{x \sim U_n} f(x)$

such that

$$\mathbb{P}_{\text{randomness of algorithm}} \left[ \left| A - \mathbb{E}_{x \sim U_n} f(x) \right| > \epsilon \right] \leq \delta$$

How many queries and how much randomness do we need as a function of  $n, \epsilon, \delta$ ?

## Solution 1: independent queries

Make  $t$  independent queries  $x_1, \dots, x_t$

output  $A := \frac{1}{t} \sum_i f(x_i)$

Chernoff bound:

$$\mathbb{P} \left[ |A - \mathbb{E}f(x)| > \varepsilon \right] \leq 2e^{-\varepsilon^2 t / 2}$$

$$\text{choose } t = \Theta\left(\frac{1}{\varepsilon^2} \log \frac{1}{\delta}\right)$$

Complexity [ignore constants]

$$\text{Queries: } \frac{1}{\varepsilon^2} \cdot \log \frac{1}{\delta}$$

$$\text{Randomness: } n \cdot \frac{1}{\varepsilon^2} \log \frac{1}{\delta}$$

## Solution 2: Pairwise Independent Queries

Generate  $t$  pairwise independent elements  
 $x_1, \dots, x_t$  of  $\{0,1\}^n$

Output  $A := \frac{1}{t} \sum_i x_i$

Chebyshev Inequality

$$\mathbb{P} \left[ \left| A - \mathbb{E}_{x \sim U_n} f(x) \right| > \varepsilon \right] \leq \frac{1}{\varepsilon^2 t}$$

Take  $t = \frac{1}{\varepsilon^2 \delta}$

Complexity

Method	Queries	Randomness
Independent	$\frac{1}{\varepsilon^2} \log \frac{1}{\delta}$	$n \cdot \frac{1}{\varepsilon^2} \cdot \log \frac{1}{\delta}$
Pairwise ind.	$\frac{1}{\varepsilon^2} \cdot \frac{1}{\delta}$	$n + \log \frac{1}{\varepsilon} + \log \frac{1}{\delta}$

## New tool: Random Walks on Expanders

Let  $H = (V, E)$  be a  $d$ -regular graph

$d = \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$  be e-values of adjacency matrix

call  $\lambda = \max \{ |\lambda_2|, |\lambda_3|, \dots, |\lambda_n| \}$

[ we can get  $\lambda = \Theta(\sqrt{d})$  ]

Let  $f: V \rightarrow [0, 1]$  be arbitrary

Let  $x_1, \dots, x_t$  be the sequence of vertices encountered by taking a  $(t-1)$ -step random walk in  $H$  ( $x_1$  is uniform)

[  $\log|V| + (t-1) \cdot \log d$  random bits used ]

THEN (Chernoff bound on expanders)

$$P \left[ \left| \frac{1}{t} \sum_{i=1}^t f(x_i) - \mathbb{E}_{x \sim V} f(x) \right| > \varepsilon + \frac{\lambda}{d} \right] \leq e^{-\Omega(\varepsilon^2 t)}$$

random walk

### Solution 3: Random Walk on Expanders

Construct  $H = (\{0,1\}^n, E)$  such that

$$\lambda/d \leq \frac{\epsilon}{2}. \text{ (Enough to take } d = \Theta(1/\epsilon^2)\text{)}$$

Pick a random walk  $x_1 \dots x_t$  in  $H$

$$\text{Output } A := \frac{1}{t} \sum_i f(x_i)$$

$$\mathbb{P}[|A - \mathbb{E}f| > \epsilon]$$

$$= \mathbb{P}[|A - \mathbb{E}f| > \frac{\epsilon}{2} + \frac{1}{d}] \leq e^{-\Omega(\epsilon^2 t)}$$

$$\text{enough to take } t = O\left(\frac{1}{\epsilon^2} \log \frac{1}{\delta}\right)$$

Method	Queries	Randomness
Independent	$\frac{1}{\epsilon^2} \log \frac{1}{\delta}$	$n \cdot \frac{1}{\epsilon^2} \log \frac{1}{\delta}$
Pairwise ind.	$\frac{1}{\epsilon^2} \cdot \frac{1}{\delta}$	$n + \log \frac{1}{\epsilon} + \log \frac{1}{\delta}$
Expander r.w.	$\frac{1}{\epsilon^2} \cdot \log \frac{1}{\delta}$	$n + \frac{1}{\epsilon^2} \cdot \log \frac{1}{\delta} \cdot \log \frac{1}{\epsilon}$

Solution 4 : composition