Canonical Functions and Constraint Satisfaction

Antoine Mottet Workshop {Symmetry, Logic, Computation}  Finding general conditions for tractability of infinite-domain CSPs, akin to the finite case

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#### Theorem

Assume that the finite-domain tractability conjecture holds. If the relations of  $\mathbb{A}$  are definable in a unary language, then  $CSP(\mathbb{A})$  is in P or NP-complete.

#### Computation: Constraint Satisfaction

Symmetry: Canonical Functions

Logic Computation

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Let  $\mathbbm{A}$  be a relational structure, in a fixed finite signature  $\tau.$ 

## Definition $(CSP(\mathbb{A}))$

**Input:** a finite  $\tau$ -structure  $\mathbb{B}$ **Question:**  $\exists$  homomorphism  $h: \mathbb{B} \to \mathbb{A}$ ?

#### **Input:** a finite graph $\mathbb{B}$ **Question:**

**Input:** a finite graph **B Question:** Is **B** 3-colourable?

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## Example (CSP( $\mathbb{Z}, <$ ))

**Input:** a finite directed graph  $\mathbb{B}$ **Question:** 

Input: a finite graph B Question: Is B 3-colourable? Complexity: NP-complete

## Example (CSP( $\mathbb{Z}, <$ ))

**Input:** a finite directed graph  $\mathbb{B}$ **Question:** Is  $\mathbb{B}$  acyclic?

### Example $(\overline{\text{CSP}(K_3)})$

Input: a finite graph B Question: Is B 3-colourable? Complexity: NP-complete

## Example (CSP( $\mathbb{Z}, <$ ))

Input: a finite directed graph B Question: Is B acyclic? Complexity: linear time

# Example (CSP( $\mathbb{Z}, +, \times$ ))

**Input:** a hypergraph with vertices V and hyperedges  $E_+(x, y, z)$ and  $E_{\times}(x, y, z)$ **Question:** 

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$$\begin{cases} s(x) + s(y) = s(z) & (x, y, z) \in E_+ \\ s(x) \times s(y) = s(z) & (x, y, z) \in E_\times \end{cases}$$

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Complexity: undecidable.

Theorem (Matiyasevich-Davis-Robinson-Putnam)

Every recursively enumerable set  $S \subseteq \mathbb{Z}$  is the projection on one variable of the set of solutions of some instance of  $CSP(\mathbb{Z}, +, \times)$ .

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- Confirmed in many cases (graphs, smooth digraphs, small<sup>†</sup> structures, conservative structures, ...)
- ► Tractability conjecture: if A has a cyclic polymorphism then CSP(A) is in P.
- Transition to infinite domains:
  - ► Find a reasonable class *A* of infinite structures,
  - ► Classify the complexity of CSP(A) for all A ∈ A, assuming the tractability conjecture.

 $\mathbb{B}$  is finitely bounded if there exists a finite family  $\mathcal{F}$  of finite structures such that for all finite  $\mathbb{C}$ ,

 $\mathbb{C}$  substructure of  $\mathbb{B} \Leftrightarrow \forall F \in \mathcal{F}, F$  not a substructure of  $\mathbb{C}$ 

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#### Definition

 ${\mathbb B}$  is homogeneous if every partial isomorphism with finite domain can be extended to an automorphism.

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Why "reduct of finitely bounded homogeneous structure":

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Question: How to prove it, assuming the finite-domain conjecture?

BP conjecture is confirmed for:

- ▶ Reducts of (ℕ,=) (Bodirsky, Kára, '06)
- ▶ Reducts of the Rado graph (Bodirsky, Pinsker, JACM'15)
- Reducts of a homogeneous graph (Bodirsky, Martin, Pinsker, Pongrácz, ICALP'16)
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In the first 3 cases, the classification is of the form:

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Not true for  $(\mathbb{Q}, <)$ .
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Symmetry: Canonical Functions

Logic Computation

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Canonical Functions, and Constraint Satisfaction

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- ▶ Natural topology:  $(f_i) \rightarrow f$  iff for arbitrarily large finite sets  $X' \subset X$ , there is  $i_0$  such that  $f_i|_{X'} = f|_{X'}$  for  $j \ge i_0$ .

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- φ: C → P is continuous iff for every g ∈ C, there is a finite set X' ⊂ X such that g|<sub>X'</sub> = h|<sub>X'</sub> ⇒ φ(g) = φ(h).

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# Definition

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$$\forall \alpha_1, \ldots, \alpha_n \in G, f \circ (\alpha_1, \ldots, \alpha_n) \in \overline{G \cdot f}$$

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### Theorem (Bodirsky-Pinsker-Tsankov)

Suppose G is nice. For all  $f: X^n \to X$ , there exists  $g \in \overline{GfG}$  which is G-canonical.

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Remark: G-canonical functions form a clone.

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Canonical Functions, and Constraint Satisfaction



• unary functions: canonical  $\leftrightarrow$  monotone

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| orbit $\mathcal{O}$ | $f(\mathcal{O})$ | orbit ${\mathcal O}$ | $f(\mathcal{O})$ | orbit ${\mathcal O}$ | $f(\mathcal{O})$ |
|---------------------|------------------|----------------------|------------------|----------------------|------------------|
| <                   |                  | <                    |                  | <                    |                  |
| >                   |                  | >                    |                  | >                    |                  |
| =                   |                  | =                    |                  | =                    |                  |

▶ unary functions: canonical ↔ monotone

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|---------------------|------------------|---|---------------------|------------------|----------------------|------------------|
| <                   | <                |   | <                   |                  | <                    |                  |
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| <                   | $^{\prime}$      | <                | >                | <                |                  |
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example of binary function: the lexicographic order



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example of binary function: the lexicographic order

non-example: the maximum function

## Definition (Mash-up)

G-canonical functions g, h, O, O' G-orbits of *m*-tuples.  $\omega$  is a mash-up of g, h if it is G-canonical and

$$\begin{aligned} \omega(\mathcal{O},\mathcal{O}') &= g(\mathcal{O},\mathcal{O}') \\ \omega(\mathcal{O}',\mathcal{O}) &= h(\mathcal{O}',\mathcal{O}). \end{aligned}$$

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| ω              | <br>$\mathcal{O}$ | $\mathcal{O}'$ |  |
|----------------|-------------------|----------------|--|
| :              |                   |                |  |
| $\mathcal{O}$  |                   |                |  |
| $\mathcal{O}'$ |                   |                |  |
|                |                   |                |  |
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$$\omega(\mathcal{O}, \mathcal{O}') = g(\mathcal{O}, \mathcal{O}') \omega(\mathcal{O}', \mathcal{O}) = h(\mathcal{O}', \mathcal{O}).$$

| $\omega$          | <br>$\mathcal{O}$             | $\mathcal{O}'$                |  |
|-------------------|-------------------------------|-------------------------------|--|
| :<br>0<br>0'<br>: | $h(\mathcal{O}',\mathcal{O})$ | $g(\mathcal{O},\mathcal{O}')$ |  |

 $\mathscr{C}, \mathscr{D}$  clones.  $\phi: \mathscr{C} \to \mathscr{D}$  is a clone homomorphism if  $\phi(pr_i^n) = pr_i^n$ and  $\phi(f \circ (g_1, \ldots, g_n)) = \phi(f) \circ (\phi(g_1), \ldots, \phi(g_n)).$ 

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h1 homomorphisms preserve equations of height 1.

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f pseudo-cyclic iff there are  $e_1$ ,  $e_2$  such that

$$e_1 f(x_1, ..., x_n) = e_2 f(x_2, ..., x_n, x_1)$$

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- 4. there is no pseudo-cyclic operation in  $\mathscr{C}$ ;
- 5. there is no pseudo-cyclic operation in  $\mathscr{C}^{can}$ .

f pseudo-cyclic iff there are  $e_1, e_2$  such that

$$e_1 f(x_1, ..., x_n) = e_2 f(x_2, ..., x_n, x_1)$$

Any of these properties is decidable!

### Computation: Constraint Satisfaction

Symmetry: Canonical Functions

Logic Computation

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Canonical Functions, and Constraint Satisfaction

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Assume the tractability conjecture, and let  $\mathbb{A}$  be a reduct of a finitely bounded homogeneous structure  $\mathbb{B}$ . If  $\mathbb{A}$  has a pseudo-cyclic polymorphism that is Aut( $\mathbb{B}$ )-canonical, then CSP( $\mathbb{A}$ ) is in P.

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Assume the tractability conjecture. If  $Pol(\mathbb{A})$  has mash-ups, then:

- ▶  $\mathsf{Pol}(\mathbb{A}) \to \mathscr{P}$ , and  $\mathsf{CSP}(\mathbb{A})$  is NP-complete, or
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### Consequence: membership in P is decidable!

if  $\mathsf{P}{=}\mathsf{N}\mathsf{P}$  or the tractability conjecture is true

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# Where are canonical functions enough?



Antoine Mottet

- Fix relational signature  $\tau$
- MMSNP  $\tau$ -sentences are of the form

$$\exists M_1 \cdots \exists M_k \forall \overline{x} \bigwedge \neg (\bigwedge \ldots)$$

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$$\exists Red \exists Blue \forall x, y, z \ (\neg(\checkmark) \land \neg(\checkmark))$$

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### Theorem

If all obstructions are monochromatic, then tractability is witnessed by canonical functions.

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