

# Spotting Trees with Few Leaves

Andreas Björklund<sup>1</sup>, Vikram Kamat<sup>2</sup>, Łukasz Kowalik<sup>2</sup>, Meirav Zehavi<sup>3</sup>

(speaker)



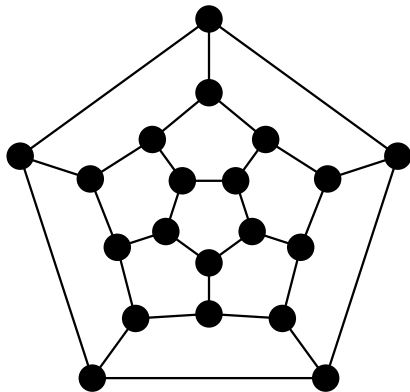
Satisfiability Lower Bounds and Tight Results for Parameterized and Exponential-Time Algorithms  
Simons Institute, Berkeley  
4th Nov 2015

# HAMILTONIAN CYCLE in undirected graphs

## Problem

INPUT: **undirected** graph  $G$ .

GOAL: Find a Hamiltonian cycle.

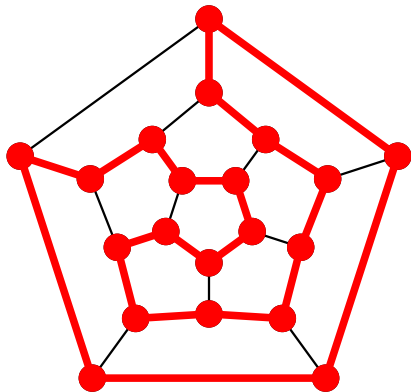


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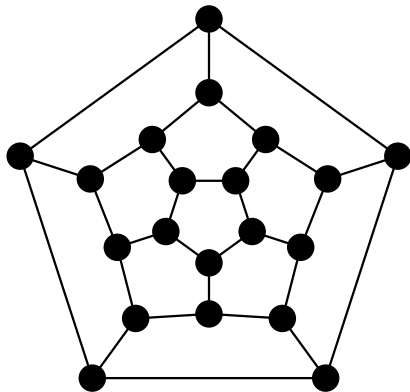


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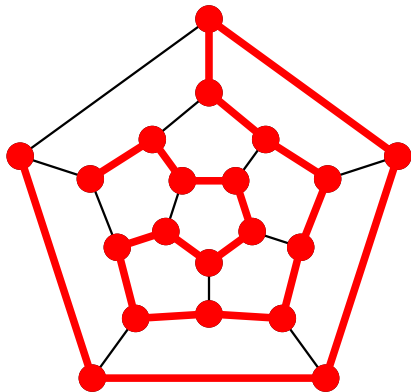


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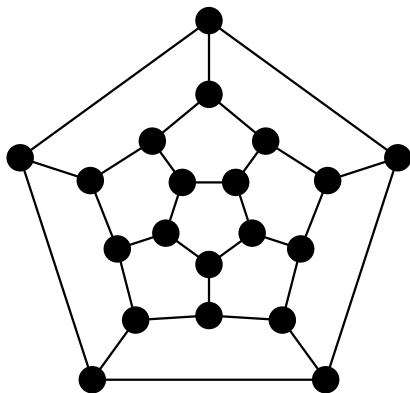


# $k$ -PATH in undirected graphs

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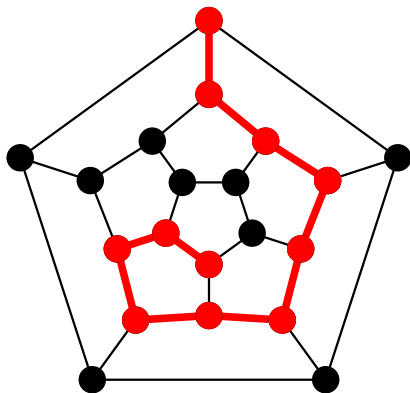


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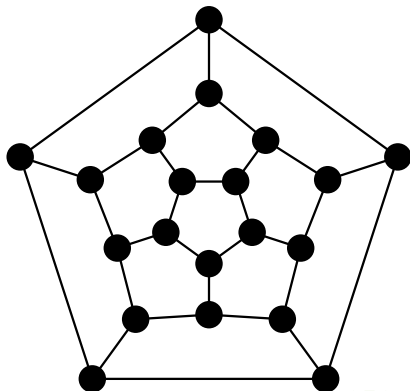


# $(k, \ell)$ -TREE in undirected graphs

## Problem

INPUT: **undirected** graph  $G$ , integers  $k, \ell$ .

GOAL: Find a tree  $T$  with  $k$  vertices including exactly  $\ell$  leaves, (shortly:  $(k, \ell)$ -tree).



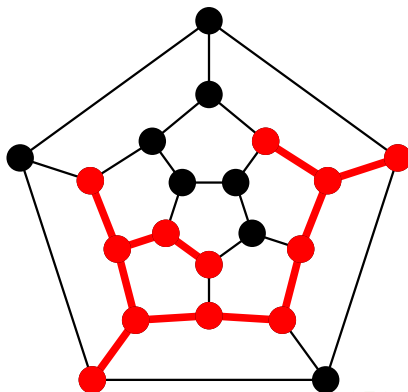


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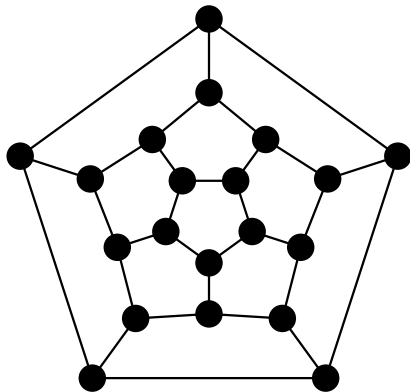


# $k$ -INTERNAL SPANNING TREE ( $k$ -IST)

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INPUT: **undirected** graph  $G$ , integer  $k$ .

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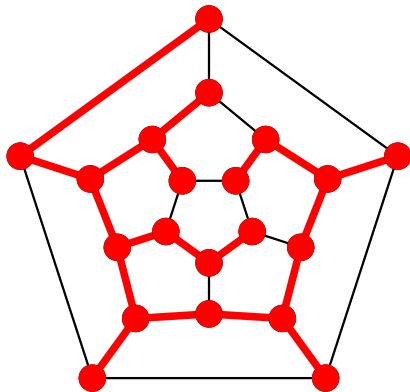


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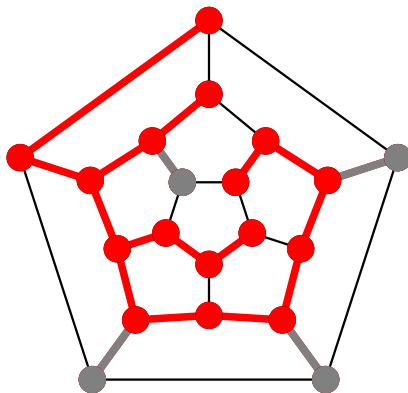


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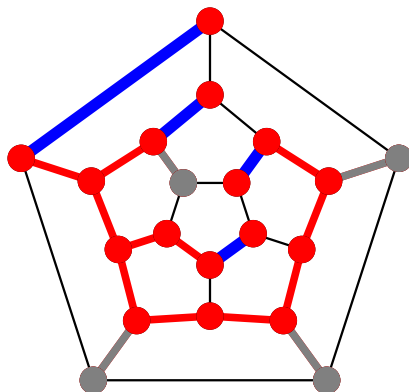


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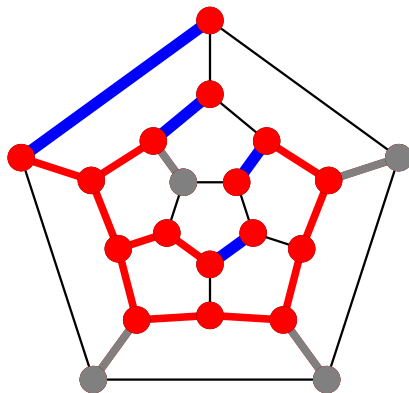


# $k$ -INTERNAL SPANNING TREE ( $k$ -IST)

## Problem

INPUT: **undirected** graph  $G$ , integer  $k$ .

GOAL: Find a  $(k + l, l)$ -tree for some  $l = 2, \dots, k$



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In this talk we are interested in

- **Parameterized** (FPT) algorithms: running time of  $O^*(c^k)$
- **Exponential-time** algorithms: running time of  $O^*(c^n)$

and we want  $c$  to be small.



## HAMILTONIAN CYCLE / PATH

- Held, Karp 1962,  $O^*(2^n)$  time,  $O(2^n)$  space.
- Kohn et al 1969  $O^*(2^n)$  time, poly space.
- Björklund 2010  $O^*(1.66^n)$  time, poly space

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## $k$ -PATH

- Alon, Yuster, Zwick 1994:  $O^*((2e)^k)$ , poly space
- Kneis, Mölle, Richter, Rossmanith 2006:  $O^*(4^k)$ , poly space
- Chen, Lu, She, Zhang 2007:  $O^*(4^k)$ , poly space
- Koutis 2008:  $O^*(2^{3/2k})$ , poly space
- Williams 2009:  $O^*(2^k)$ , poly space
- Björklund, Husfeldt, Kaski, Koivisto 2010:  $O^*(1.66^k)$ , poly space

# Previous results

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## $(k, \ell)$ -TREE

- Cohen et al. 2010:  $O^*(6.14^k)$ , poly space
- Dauligault 2011, Zehavi 2013:  $O^*(2^k)$ , poly space

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## $k$ -INTERNAL SPANING TREE: FPT algorithms

- Prieto, Sloper 2005:  $O^*(2^{k \log k})$ , poly space
- Cohen et al. 2010:  $O^*(49.4^k)$ , poly space
- Fomin et al. 2012:  $O^*(16^k)$ , poly space
- Fomin et al. 2013:  $O^*(8^k)$ , poly space
- Daligault 2011; Zehavi 2013; Li et al. 2014:  $O^*(4^k)$ , poly space
- Zehavi 2015:  $O^*(3.62^k)$ , exp space

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## $k$ -INTERNAL SPANING TREE: exponential time algorithms

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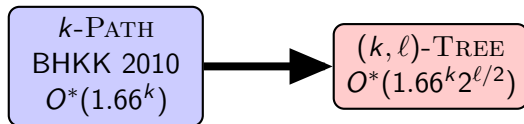
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**Open question (Raible et al):** Can the approach of Björklund (2010) be extended from finding paths to finding trees?

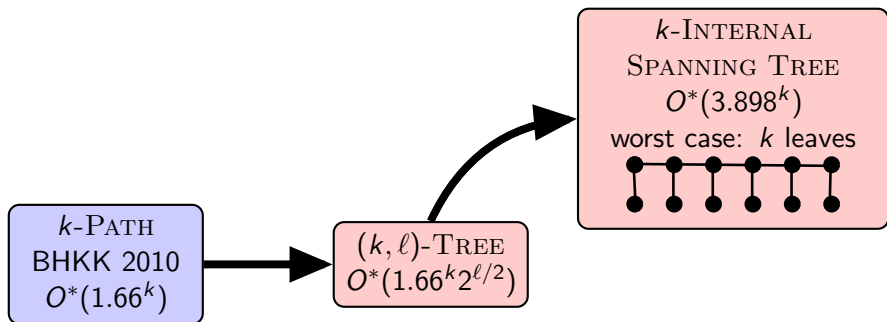
# Our results (1): from paths to trees

$k$ -PATH  
BHKK 2010  
 $O^*(1.66^k)$

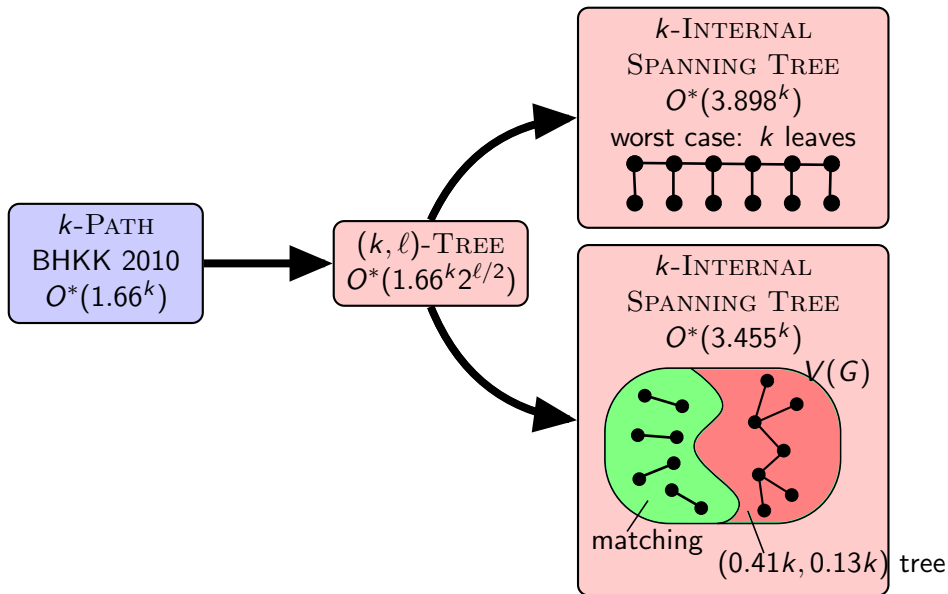
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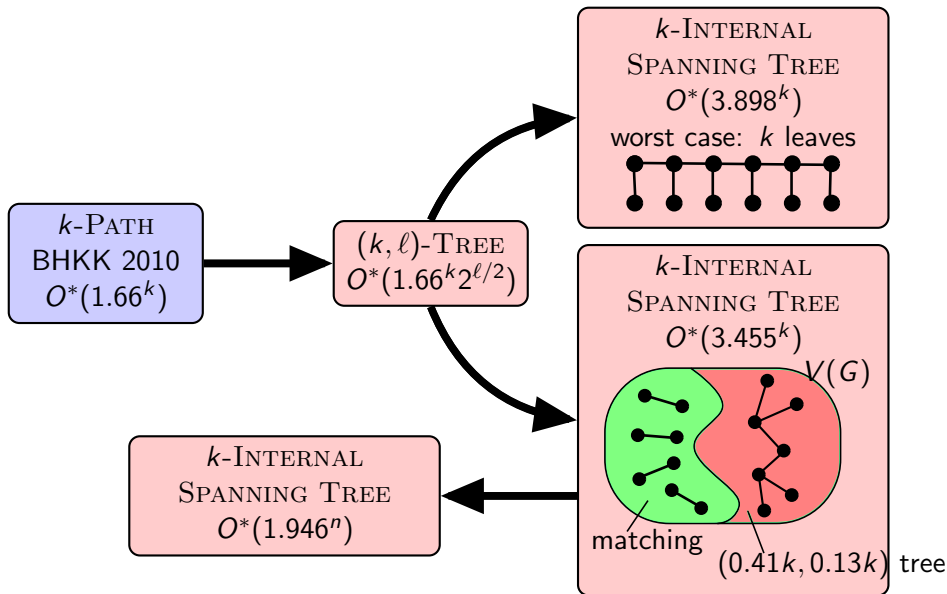
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# Our results (1): from paths to trees



**Note:** All our algorithms

- use polynomial space,
- are randomized Monte-Carlo with one sided error, i.e.,
  - No solution  $\rightarrow$  correct answer
  - Solution Exists  $\rightarrow$  correct answer with probability 99%.



# Previous results: restricted graph classes

## HAMILTONIAN CYCLE / PATH in cubic graphs

- Eppstein WADS 2003  $O^*(1.26^n)$ , poly space.
- Iwama and Nakashima 2007  $O^*(1.251^n)$ , poly space.
- Cygan et al. 2011  $O^*(1.201^n)$ , exp space

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## HAMILTONIAN CYCLE / PATH in max degree 4 graphs

- Eppstein 2003  $O^*(1.89^n)$ , poly space.
- Gebauer 2008  $O^*(1.733^n)$ , exp space.
- Xiao, Namagochi 2012  $O^*(1.716^n)$ , poly space.
- Björklund 2010  $O^*(1.66^n)$ , poly space
- Cygan et al. 2011  $O^*(1.588^n)$ , exp space

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## $k$ -PATH in bipartite graphs

- Björklund, Husfeldt, Kaski, Koivisto 2010:  $O^*(1.41^k)$ , poly space

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**Question:** Is there anything interesting going on between bipartite and general graphs?

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**Question:** Is there anything interesting going on between bipartite and general graphs?

What about  $d$ -colorable graphs?

## Our results (2): colored graphs

$k$ -PATH  
BHKK 2010  
 $O^*(1.66^k)$



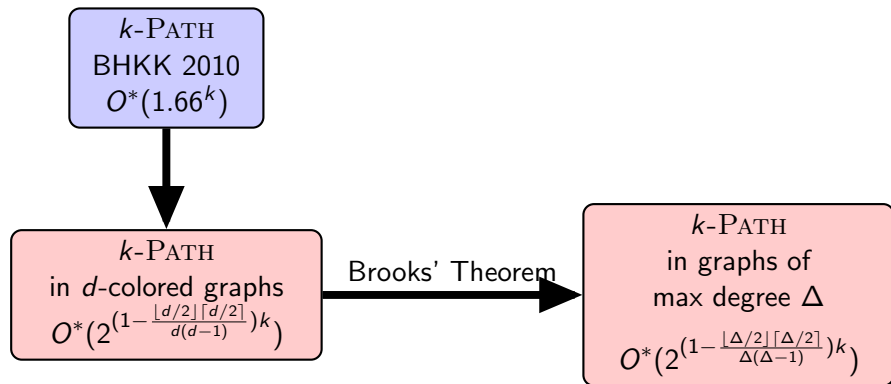
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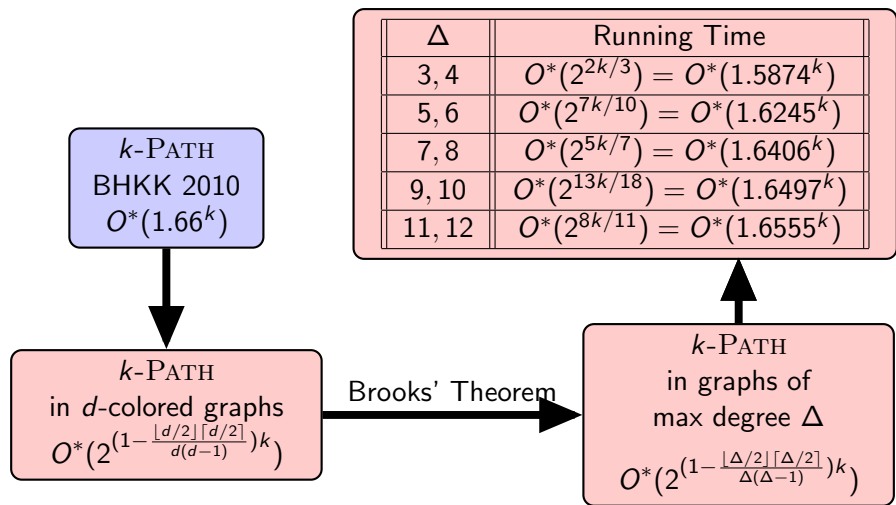


$k$ -PATH  
in  $d$ -colored graphs  
 $O^*(2^{(1 - \frac{\lfloor d/2 \rfloor \lceil d/2 \rceil}{d(d-1)})k})$

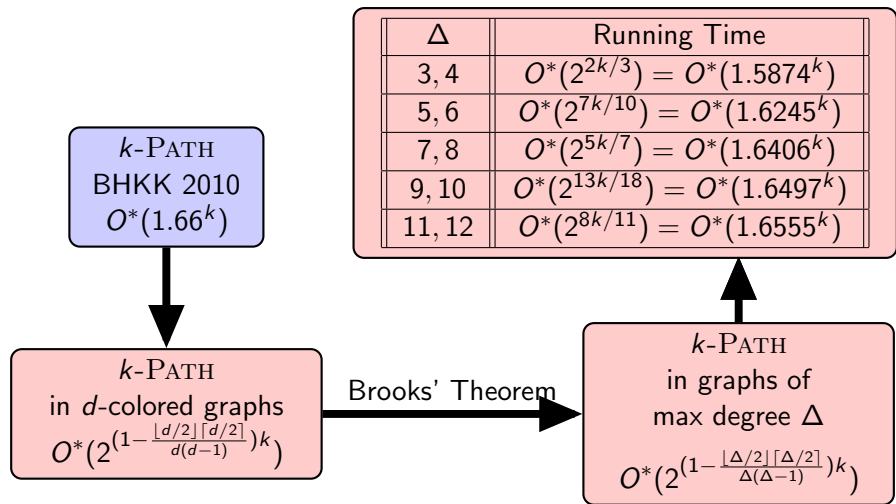
## Our results (2): colored graphs



# Our results (2): colored graphs



## Our results (2): colored graphs



**Note:** These results generalize to  $(k, l)$ -TREE (for  $l = O(1)$  we get the same bounds) and to  $k$ -IST.

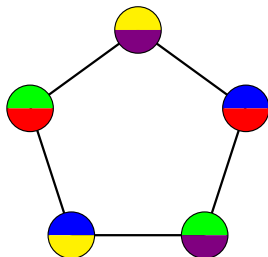
# Fractional coloring

## $(a : b)$ -coloring

- Assign  $b$ -element subsets of  $\{1, \dots, a\}$
- Adjacent vertices get disjoint sets.

**Fractional chromatic number**  $\chi_f(G) = \inf\{\frac{a}{b} \mid G \text{ is } (a : b)\text{-colorable}\}$ .

**Example:**  $C_5$  is  $(5 : 2)$ -colorable, and  $\chi_f(G) = \frac{5}{2}$ .



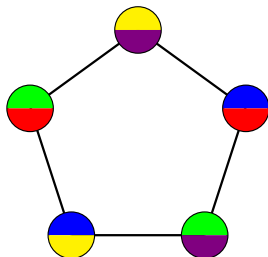
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**Note:**  $\chi_f(G) \leq \chi(G)$ .

# Our results (3): fractionally-colored graphs

## Theorem

Given a proper  $(a : b)$ -coloring of the input graph, for any  $t = 1, \dots, b$  one can solve  $(k, \ell)$ -TREE in time

$$2^{\left(1 - \frac{\binom{a-b}{t} - \binom{a-2b}{t}}{\binom{a}{t}}\right)k + \left(1 - \frac{\binom{a-b}{t}}{\binom{a}{t}}\right)\ell} n^{O(1)}.$$

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Main consequences:

- $1.571^k 1.274^\ell n^{O(1)}$ -time algorithm for  $(k, \ell)$ -TREE in **triangle-free** graphs of maximum degree 3,  
     $\Downarrow \quad \Downarrow \quad \Downarrow \quad \Downarrow$
- $1.571^k n^{O(1)}$ -time algorithm for  $k$ -PATH in **general** graphs of maximum degree 3



# Our results: updated bounded degree table

Updated table:

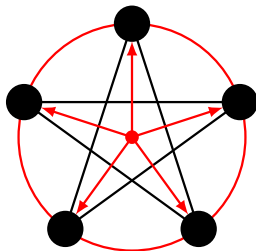
$\Delta$	Running Time
3	$O^*(1.571^k)$
4	$O^*(2^{2k/3}) = O^*(1.5874^k)$
5, 6	$O^*(2^{7k/10}) = O^*(1.6245^k)$
7, 8	$O^*(2^{5k/7}) = O^*(1.6406^k)$
9, 10	$O^*(2^{13k/18}) = O^*(1.6497^k)$
11, 12	$O^*(2^{8k/11}) = O^*(1.6555^k)$

# Vector coloring

An  $r$ -**vector coloring** of a graph  $G$  is an assignment  $c : V(G) \rightarrow \mathbb{R}^n$  s.t.

- $\|c(v)\| = 1$  for every  $v \in V(G)$  and
- for every edge  $uv$ ,  $c(u) \cdot c(v) \leq -1/(r-1)$ .

The **vector chromatic number**  $\chi_v(G)$  is the smallest such (real)  $r$ .



$$c(u) \cdot c(v) = \cos \angle(u, v) = \cos\left(\frac{4\pi}{5}\right) = -\frac{1}{\sqrt{5}-1}, \text{ so } \chi_v(C_5) \leq \sqrt{5}.$$

Let  $\omega(G)$  be the size of a maximum clique in  $G$ .

## Theorem (Folklore)

*For any graph  $G$*

$$\omega(G) \leq \chi_v(G) \leq \chi_f(G) \leq \chi(G)$$

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## Theorem (Folklore)

*For any graph  $G$*

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## Theorem (Karger, Motwani, Sudan)

*The vector chromatic number can be  $(1 + \epsilon)$ -approximated in polynomial time.*

# Our results (4): $r$ -vector-colorable graphs

## Theorem

For any  $\epsilon > 0$ ,  $(k, \ell)$ -TREE can be solved in time

$$O^*\left(2^{\left(\frac{k+\ell}{2} + \left(1 - \frac{\arccos(-1/(r-1))}{\pi}\right) \frac{k-1}{2} + \epsilon\right)}\right)$$

for  $r$ -vector colorable graphs (hence also for  $r$ -colorable or fractionally  $r$ -colorable).

Concrete running times for  $k$ -PATH:

$\chi_v(G)$	Running Time
3	$O^*(1.5875^k)$
4	$O^*(1.6199^k)$
5	$O^*(1.6356^k)$
6	$O^*(1.6448^k)$
7	$O^*(1.6510^k)$
8	$O^*(1.6554^k)$

# A glimpse of eye at our approach for $d$ -colored graphs

# BHKK's $k$ -Path algorithm as black box

partition  $V = V_1 \cup V_2$  such that for a solution  $k$ -path  $P$  we have  $|E(P) \cap V_1 \times V_2| \geq t$

Some algebraic magic...

$O^*(2^{k-t/2})$ -time algorithm

# partitions in colored graphs

## $d$ -colored graphs

- Idea: Pick  $\lfloor d/2 \rfloor$  colors as  $V_1$ .



## $d$ -colored graphs

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- Possibly, the saving  $|E(P) \cap V_1 \times V_2|$  is small.

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- $V_1$  is a subset of  $\binom{a}{t}$  colors ( $t = 1, \dots, \lfloor a/2 \rfloor$ ).

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## $(a : b)$ -colored graphs

- $V_1$  is a subset of  $\binom{a}{t}$  colors ( $t = 1, \dots, \lfloor a/2 \rfloor$ ).
- Test all choices

# partitions in colored graphs

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## $(a : b)$ -colored graphs

- $V_1$  is a subset of  $\binom{a}{t}$  colors ( $t = 1, \dots, \lfloor a/2 \rfloor$ ).
- Test all choices

## vector $r$ -colored graphs

- pick a random hyperplane through the origin: it divides the unit sphere into two half-spheres: green and red.

# partitions in colored graphs

## $d$ -colored graphs

- Idea: Pick  $\lfloor d/2 \rfloor$  colors as  $V_1$ .
- Possibly, the saving  $|E(P) \cap V_1 \times V_2|$  is small.
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- pick a random hyperplane through the origin: it divides the unit sphere into two half-spheres: green and red.
- $V_1$  are the vertices mapped to the green half-space.
- The expected value of  $|E(P) \cap V_1 \times V_2|$  is large.

- Some more interesting partitions (in other graph classes)?
- A better algorithm when many leaves?
- Lower bounds under SETH, Set Cover Conjecture, etc.?
- MAX LEAF problem (is there a spanning tree with at least  $k$  leaves?).  
State of the art: Zehavi'15:  $O^*(3.188^k)$ -time branching algorithm  
Can we use the algebraic approach to get a faster algorithm? Ideally,  $O^*(2^k)$ -time algorithm?



Thank you!