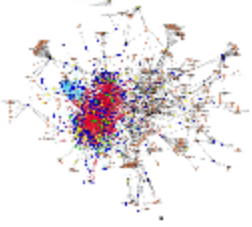




Local Convergence for Sparse Graphs

Christian Borgs, UC Berkeley

Simons Institute for the Theory of Computing
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Introduction

Questions: Given a sequence G_n of graphs with $|V(G_n)| = n \rightarrow \infty$, what is the “right” notion of convergence? **What is the limit?**

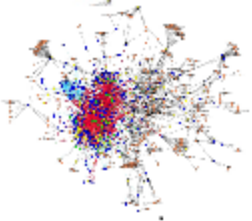
Equivalent notions for dense graphs:

- **Convergence of sampled subgraphs**
- **Convergence of subgraph counts**
- **Convergence of multi-way cuts**
- **Convergence of micro-canonical free energies**

What about Sparse graphs, with

$$\bar{d}_n = \frac{2}{n} |E(G_n)|,$$

uniformly bounded for all n



Introduction

Problem: The dense notions are not suitable for bounded degree graphs.

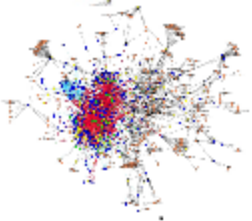
Example: If we sample x_1, x_2 uniformly at random

$$\Pr(x_1 x_2 \in E) = \frac{1}{n^2} 2|E| = \frac{1}{n} \bar{d}_n \rightarrow 0$$

Thus sampling gives empty graphs if we sample k vertices at random (with or without replacement)

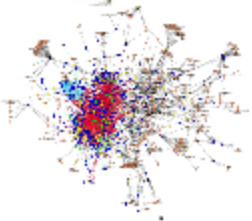
Solution: Sample only one vertex, and look at local neighborhoods

Def [BS'01]: A sequence G_n is called **locally convergent**, or **Benjamini-Schramm** convergent if for all $R < \infty$, the distribution of the R -neighborhood around a uniformly randomly vertex $x \in V(G_n)$ is convergent



Continue on White Board

- Examples
- random vs. deterministic graphs
- unimodularity,
- Existence questions

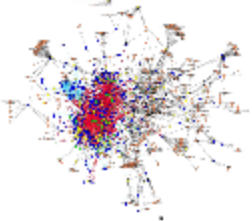


Examples

Ex1: The sequences $\{1, 2, \dots, n\}^d$ and $(\mathbb{Z}/n\mathbb{Z})^d$ converge to the rooted graph $(0, \mathbb{Z}^d)$

Ex2: Let $G_{n,d}$ be the d -regular random graph and $B_{n,d}$ be the d -regular bipartite random graph. Both sequences are **BS-convergence**, and converge to the infinite d -regular tree, but have **very different global properties**:

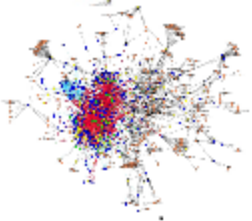
- $\text{MaxCut}(B_{n,d}) = \frac{dn}{2}$
- $\text{MaxCut}(G_{n,d}) \approx \frac{dn}{4}$



Relation to Notions from Dense Convergence

- Subgraph Convergence
- Convergence of weighted multi-way cuts
- Convergence of free energies

For simplicity, I will assume that the maximal degree of G_n is uniformly bounded by some $D < \infty$



2a) Subgraph counts

Def: **Subgraph frequencies:** Given a graph $G = (V, E)$ with adjacency matrix A and a connected graph H on k nodes, define

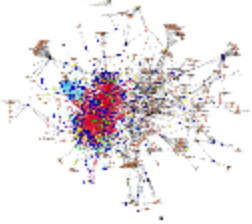
$$\nu(H, G) = \frac{1}{|V|} \sum_{v_1, \dots, v_k \in V} \prod_{ij \in E(H)} A_{v_i v_j} \prod_{ij \notin E(H)} (1 - A_{v_i v_j})$$

where the sum goes over distinct sets of vertices

Def: **Subgraph Count Convergence:**

- For all finite graphs H , $\nu(H, G_n)$ converges to some $\nu(H) \in \mathbb{R}$

Rem: It is easy to see that for bounded degree graphs, this is equivalent to **BS-convergence**. We call these two notions **left-convergence**



2c) Multiway Cuts

Multiway-cuts: Given $J \in \mathbb{R}^{k \times k}$ and $\sigma: V \rightarrow [k]$ define*

$$E_{G,J}(\sigma) = \frac{1}{n} \sum_{x,y:\{x,y\} \in E} J_{\sigma(x)\sigma(y)}$$

and for $\alpha \in \Delta_k$, set

$$\text{MinCut}_{J,\alpha}(G) = \min_{\sigma} E_{G,J}(\sigma)$$

where the minimum goes over all maps $\sigma: V \rightarrow [k]$ such that

$$| |\sigma^{-1}(\{i\})| - n\alpha_i | \leq 1 \text{ for all } i \in [k]$$

*) Note the different normalization by $\frac{1}{n}$



2c) Multiway Cuts

Q: Does **left-convergence** imply **convergence of multi-way cuts**?

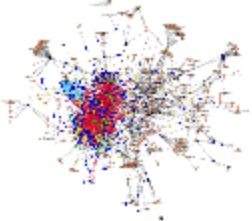
Ex: Take G_n to be $G_{n,d}$ for odd n and $B_{n,d}$ for even n . Both sequences are **BS-convergent to** the infinite d -regular tree.

But for d large, we have that

$$\text{MaxCut}(B_{n,d}) = \frac{dn}{2}$$

$$\text{MaxCut}(G_{n,d}) \approx \frac{dn}{4}$$

As a consequence, the **multi-way cuts** of G_n are not convergent. Thus **left convergence** does NOT imply **convergence of multi-way cuts**



2c) Multiway Cuts

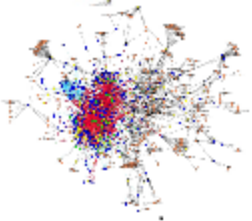
Q: Does convergence of multi-way cuts imply left convergence?

Ex: Take G_n to be

- a union of $\lceil \frac{n}{4} \rceil$ 4-cycles for odd n and
- a union of $\lceil \frac{n}{6} \rceil$ 6-cycles for even n .

Then $\text{MaxCut}(G_n) = \frac{1}{2} |V(G_n)|$

More general, it is not hard to show that all multi-way cuts of G_n are convergent. But G_n is clearly not left convergent, so convergence of multi-way cuts does NOT imply left convergence either.



2d) Statistical Physics

Def: Free energy

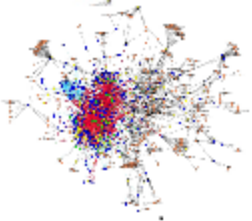
$$F_J(G) = -\frac{1}{n} \log Z_J(G)$$

where $Z_J(G)$ is the partition function

$$Z_J(G) = \sum_{\sigma: V \rightarrow [k]} e^{-nE_{G,J}(\sigma)} = \sum_{\sigma: V \rightarrow [k]} e^{-\sum_{x,y:\{x,y\} \in E} J \sigma(x)\sigma(y)}$$

and the sum is over all $\sigma: V \rightarrow [k]$

Rem: We call this right convergence



2c) Statistical Physics

Q: Does **left convergence** imply **right convergence**?

A: **NO**. Take $k = 2$; $J_{11} = J_{22} = 0$ and $J_{12} = -1$. Then $-nE_{G,J}(\sigma)$ is just the cut between sets of vertices of different color, and

$$e^{\text{MaxCut}(G)} \leq Z_J(G) = \sum_{\sigma: V \rightarrow [k]} e^{-nE_{G,J}(\sigma)} \leq 2^n e^{\text{MaxCut}(G)}$$

Take the **left convergent** sequence G_n that is $G_{n,d}$ for odd n and $B_{n,d}$ for even n . For large d ,

$$\text{MaxCut}(B_{n,d}) = \frac{dn}{2} \text{ and } \text{MaxCut}(G_{n,d}) \approx \frac{dn}{4}.$$

Thus the free energy, $F_J(G) = -\frac{1}{n} \log Z_J(G)$ will not converge if d is large

Thm [BCKL'13]: **Right Convergence** implies **left convergence**



2d) Statistical Physics

Thm [BCKL'13]: Right Convergence implies left convergence

Proof Idea: Recall that $F_J(G) = -\frac{1}{n} \log Z_J(G)$ where we can write $Z_J(G)$ as

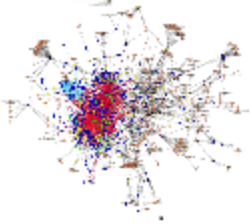
$$Z_J(G) = \sum_{\sigma: V \rightarrow [k]} e^{-\sum_{x,y:\{x,y\} \in E} J \sigma(x)\sigma(y)}$$

For small J , we can expand $F_J(G)$ into a convergent power series in the J_{ij} 's which converges uniformly in G as long as G has a uniform bound on the maximal degree.

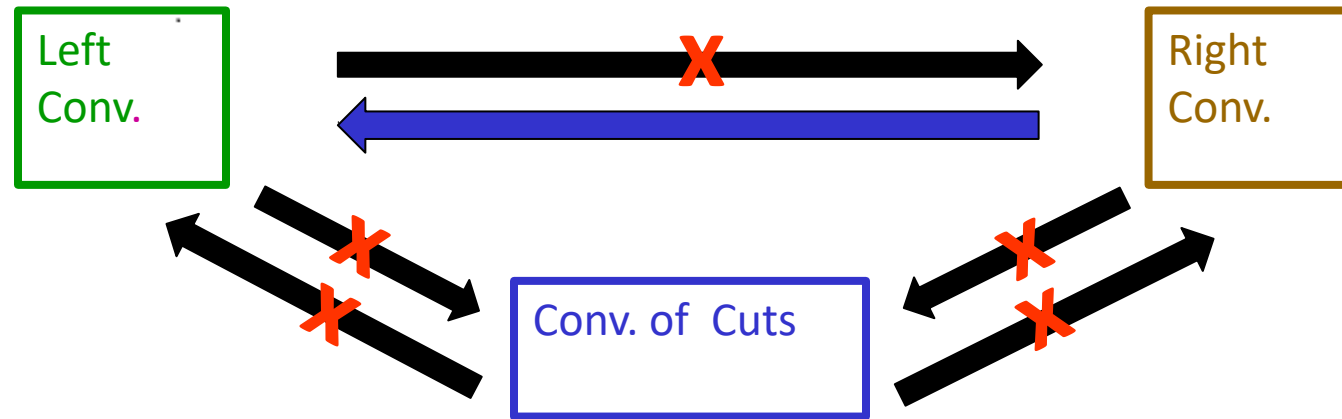
Using this, one can prove that for a left-convergent sequence

- the limiting free energies F_J exists, and
- can be expressed write it in terms of the limiting subgraph counts $\nu(H)$
- Finally, by comparing terms in the power series in J , one can invert this series, and determine $\nu(H)$ in terms of the limiting free energies F_J

But this implies the statement. Indeed, if it were false, we could find two subsequences converging to different subgraph frequencies $\nu(H)$ with the same limiting free energies F_J , a contradiction.



Other implications?



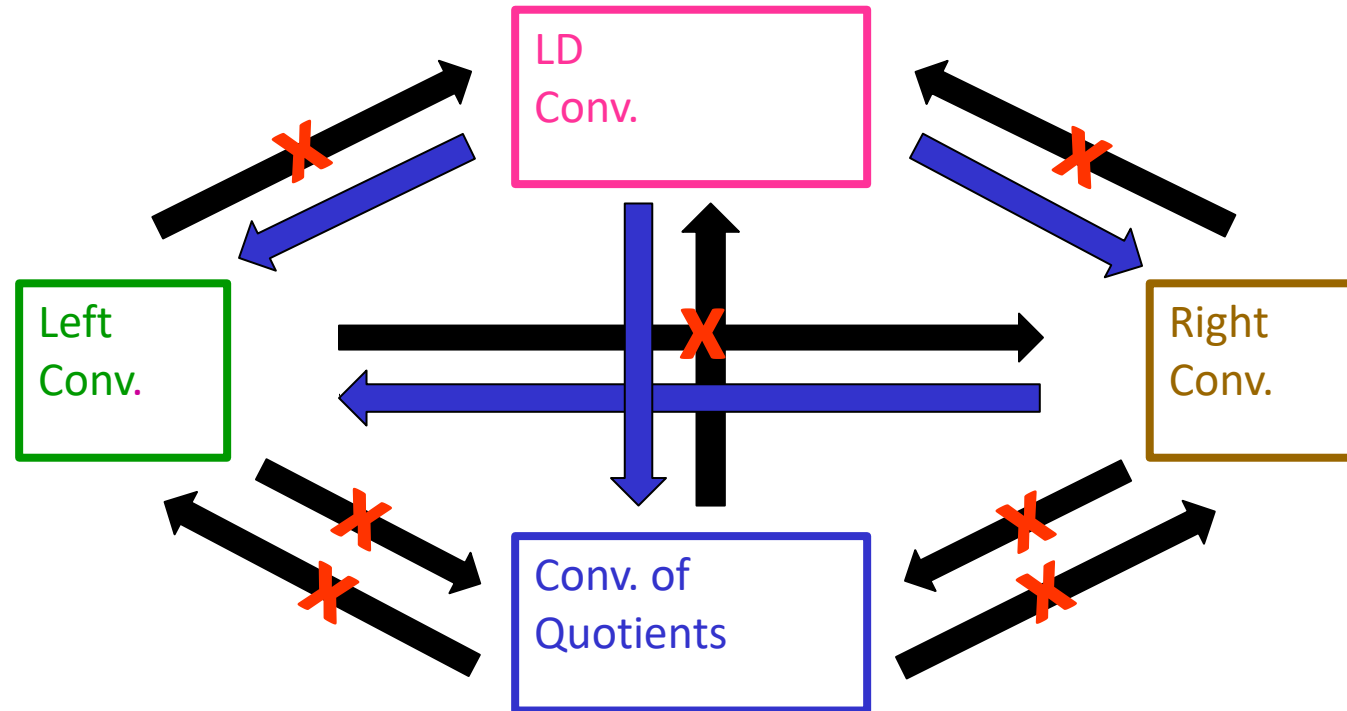
Question: is there a convergence notion which implies all of these?

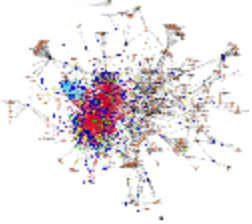
Answer: Yes. **Study random colorings**, look at the **distribution of all cuts**, and study **large deviations** of these.



Summary

bounded degree convergence





Thank you!

