Extremely Deep Proofs

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Several other size/space tradeoffs for various proof systems [R17, BN20, R18]





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- Resolution
- *k*-DNF Resolution
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- Resolution Focus on for today
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For any $P \in \{\text{Resolution}, \text{Res}(k), \text{Cutting Planes}\}$

There is a CNF *F* on *n* variables such that

- There is a polynomial size P-proof of F
- Any subexponential-size P-proof of F must have poly(n) > n depth



For any $P \in \{\text{Resolution}, \text{Res}(k), \text{Cutting Planes}\}$

There is a CNF F on n variables such that

- There is a weakly exponential size P-proof of F
- Any subexponential-size P-proof of F has weakly exponential depth



Main Theorem (Res): There is a CNF F on n variables s.t.

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A tradeoff between runtime and parallelizability for CDCL * Caveat: F has $n^{O(c)}$ many clauses — we'll come back to this!

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How do we do compression? Lifting!

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The composed function is $F \circ g := F(g(\vec{x}_1), ..., g(\vec{x}_N))$

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Let P, Q be two proof systems

A lifting theorem relates the complexity of

- P-proofs of F
- Q-proofs of $F \circ g$







Simple Example: $g = XOR_2$ then $F \circ XOR_2 := F(x_1 \oplus x'_1, \dots, x_N \oplus x'_N)$

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Width-to-Size Lifting Theorem: Let F be any unsatisfiable formula. If Π is a resolution proof of $F \circ XOR_2$ then

 $size(\Pi) \ge 2^{\Omega(width_{Res}(F))}$



Lifting (Composition) Simple Example: $g = XOR_2$ then $F \circ XOR_2 := F(x_1 \oplus x'_1, \dots, x_N \oplus x'_N)$ Width-to-Size Lifting Theorem: Let F be any unsatisfiable formula. If Π is a resolution proof of $F \circ XOR_2$ then $\operatorname{size}(\Pi) \geq 2^{\Omega(\operatorname{width}_{\operatorname{Res}}(F))}$ A width lower bound on *F* implies a size lower bound on $F \circ XOR_2!$



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• P = Resolution (width), Q = Resolution (size)





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 \rightarrow Locally simulate the XOR in every step of the proof of F





 \implies Naive simulation is essentially the best! (A theme of lifting theorems)

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Typically in a Lifting Theorem...

- $\rightarrow P$ is a weak proof system
- $\rightarrow Q$ is a strong proof system

most efficient *P*-proof of *F* (with extra overhead to handle g)

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i.e., it "lifts" lower bounds on weak proof systems to strong proof systems

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Proof Idea:

Find a gadget g such that

- 1. The number of variables *n* of $F \circ g$ will be much smaller than N

2. Any small-size Resolution proof of $F \circ g$ will require the same depth as proving F



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... In fact, we will compose with the Nisan-Wigderson generator!

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E.g.
$$((z_1 \lor \neg z_2) \land z_5) \circ \mathsf{XOR}_G$$

 $((x_1 \oplus x_3) \lor \neg (x_1 \oplus x_2)) \land x_1$



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with $|U| \leq r$ the number of unique



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 \rightarrow Our gadget g will be XOR_G for expanding G



Main workhorse behind our tradeoff:

Depth Condensation Theorem: ([Razborov16] stated for tree-resolution) Let G be r-expanding, F any unsatisfiable formula. If Π is a Resolution proof of $F \circ XOR_G$ with width $(\Pi) \leq r/4$ then

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- Width-to-Size Lifting Theorem: If Π is a resolution proof of $F \circ XOR_2$ then $size(\Pi) \ge 2^{\Omega(width_{Res}(F))}$
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If Π is a Resolution proof of $Peb \circ XOR_G \circ XOR_2$ with $\log size(\Pi) \leq r/4$ then $depth(\Pi) \log size(\Pi) = \Omega(N/\log N) = \Omega(n^c/c \log n)$



Main Tradeoff (For Resolution)

- Let $\varepsilon > 0$, let $c \ge 1$ be real-valued parameter
- **Main Theorem:** There is a CNF formula F on n variables such that 1. There is a *P*-proof of *F* of size $n^c \cdot 2^{O(c)}$ 2. If Π is a *P*-proof of *F* with size(Π) $\leq \exp(o(n^{1-\varepsilon}/c))$ then
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- For Res(k) we prove a Resolution width \rightarrow Res(k) size lifting theorem with g =XOR₂, which uses the switching lemma of [SBI04]

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(New) Proof of Depth Condensation

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If Π is a resolution proof of $F \circ XOR_G$ with width $(\Pi) \leq r/4$ then

- $depth(\Pi)width(\Pi) = \Omega(depth_{Res}(F))$
- Our proof uses a characterization of resolution depth by Prover-Adversary games



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• Prover chooses $i \in [n]$ such that $\rho_i = *$





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for at least d rounds, then any resolution proof of F requires depth $\geq d$

Claim: If there is a strategy for the Adversary such that the game always continues



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- Otherwise, move to $B \vee \bar{x}_i$. Forget $A \setminus B$







(New) Proof of Depth Condensation

Depth Condensation Theorem:

Let G be an r-boundary expander, F any unsatisfiable formula.

If Π is a Resolution proof of $F \circ XOR_G$ with width $(\Pi) \leq r/4$ then

High Level of Proof:

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High Level of Proof: in the unbounded game on F \rightarrow Use A to construct an Adversary Strategy for the w-bounded game on $F \circ XOR_G$ to survive $\Omega(d/w)$ rounds, for any $w \leq r/4$.

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 \rightarrow To restore expansion, set the variables of $Cl(\rho') \setminus vars(\rho')!$

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Upshot: any width w proof of $F \circ XOR_G$ requires depth $\Omega(d/w)$

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One approach...

Can the Ben-Sasson Wigderson size-width relation be balanced?

Problem: Prove or disprove that for any k-CNF F on m clauses a size s Resolution proof \implies a depth O(m) and width $k + O(\sqrt{n \log s})$ proof

Win-win situation

Positive resolution: counter example to conjecture & surprising depth upper bound Negative resolution: (conditional) size/depth tradeoff for monotone circuits

Q. Supercritical size/depth tradeoffs for non-monotone circuits?





