

Analyzing **Average-Case Complexity** by **Meta-Complexity**

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Outline

1. Toward Excluding Heuristica
2. Limits of Black-Box Reductions
3. Our Results, Meta-Complexity, and Proof Techniques

The $P \neq NP$ Conjecture and Cryptography

$P = NP$

or

$P \neq NP$

😊 Any problem in **NP** can be solved efficiently.

😊 Automated theorem proving can be done efficiently.

😞 Any public-key cryptosystem can be broken.

😞 Bitcoin loses its value.
👉 

😞 There is a problem in **NP** that can't be solved efficiently.

😊 There might be a secure cryptosystem (?)

Using a public-key cryptosystem, Bitcoin prevents those who do not own a secret key from spending a coin.

Impagliazzo's Five Possible Worlds

[Impagliazzo '95] classified five possible worlds consistent with our current knowledge.

Cryptomania

Minicrypt

Pessiland

Heuristica

$P \neq NP$

Algorithmica

$P = NP$

Impagliazzo's Five Possible Worlds

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Cryptomania

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Heuristica

$P \neq NP$

😊 Any problem in **NP** can be solved efficiently.

Automated theorem proving is possible.

😞 Impossible to construct a secure cryptosystem.

Algorithmica

$P = NP$

Impagliazzo's Five Possible Worlds

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Cryptomania

\exists public-key crypto

 Impossible to construct a public-key cryptosystem.

Minicrypt

 Possible to construct a secret-key cryptosystem.

em.

\exists secret-key crypto

&

\nexists public-key crypto

Pessiland

The "worst" possible world (a pessimistic world)

 Impossible to construct a secret-key cryptosystem.

 **NP** can't be solved efficiently (on average).

DistNP

$\neq \text{AvgP}$

&

\nexists secret-key crypto

("P \neq NP")

A world where heuristics are efficient

Heuristica

 There are efficient heuristics that solve **NP** on average.

 Impossible to construct a cryptosystem.

P \neq NP

&

DistNP \subseteq AvgP

("P = NP on average")

Algorithmica

P = NP

Impagliazzo's Five Possible Worlds

Cryptomania

\exists public-key crypto.

[Impagliazzo '95] classified five possible worlds consistent with our current knowledge.

Minicrypt

The Ultimate Goal of Complexity Theory

is to decide which world corresponds to our world.

(In particular, we would like to resolve the conjecture that our world is Cryptomania.)

Heuristica

$P \neq NP$

&

$\text{DistNP} \subseteq \text{AvgP}$

("P = NP on average")

Algorithmica

$P = NP$

Known Facts and Open Questions

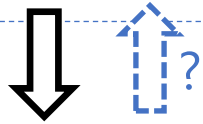
 : Known facts

 : Open questions

Cryptomania

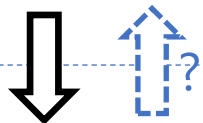
\exists public-key crypto.

Minicrypt



\exists secret-key crypto.

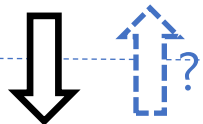
Pessiland



$\text{DistNP} \not\subseteq \text{AvgP}$

("P \neq NP on average")

Heuristica



$P \neq \text{NP}$

Algorithmica



Toward Public-key Crypto.

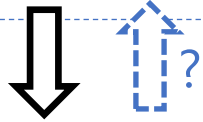
\Rightarrow : Known facts

$\Rightarrow?$: Open questions

Cryptomania

\exists public-key crypto.

Minicrypt

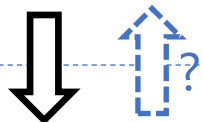


Important Open Question

Can we exclude Minicrypt?

\exists secret-key crypto.

Pessiland

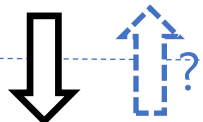


Important Open Question

Can we exclude Pessiland?

$\text{DistNP} \not\subseteq \text{AvgP}$
("P \neq NP on average")

Heuristica



Important Open Question

Can we exclude Heuristica?

P \neq NP

Algorithmica



Important Open Question

P \neq NP (Can we exclude Algorithmica?)

Proving the four implications

\Leftrightarrow

Our world is Cryptomania!

Proving one implication

\Leftrightarrow

Excluding one world

Limits of Current Proof Techniques

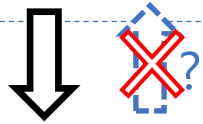
⇒ : Known facts

⇨ : Open questions

Cryptomania

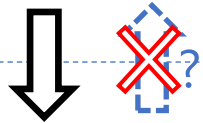
∃ public-key crypto.

Minicrypt



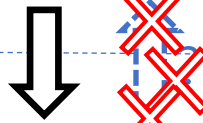
∃ secret-key crypto.

Pessiland



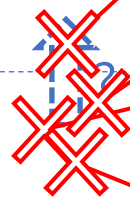
DistNP $\not\subseteq$ AvgP
("P \neq NP on average")

Heuristica



P \neq NP

Algorithmica



✗ : Barrier results

Several types of proof techniques are insufficient to resolve the open question.

Relativization barrier

[Baker-Gill-Solovay'75]

Algebrization barrier

[Aaronson-Wigderson'09]

Natural proof barrier

[Razborov-Rudich'97]

Locality barrier

[Chen-H.-Oliveira-Pich-Rajgopal-Santhanam (ITCS'20)]

Limits of Current Proof Techniques

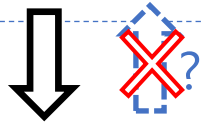
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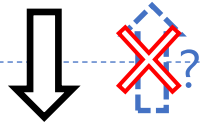
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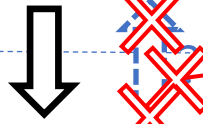
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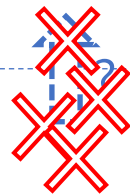
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P \neq NP

Algorithmica



✗ : Barrier results

Several types of proof techniques are insufficient to resolve the open question.

Relativization barrier

[Impagliazzo (2011)]

Limits of
black-box reductions

[Feigenbaum & Fortnow (1993)]

[Bogdanov & Trevisan (2006)]

"Impossibility" of
hardness amplification

[Viola (2005)]

A New Paradigm: Meta-Complexity

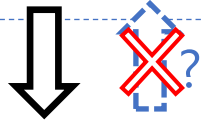
⇒ : Known facts

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Cryptomania

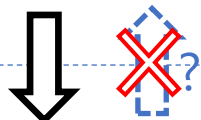
∃ public-key crypto.

Minicrypt



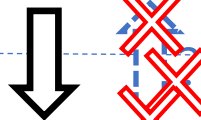
∃ secret-key crypto.

Pessiland



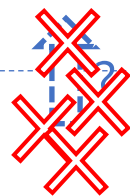
DistNP $\not\subseteq$ AvgP
("P \neq NP on average")

Heuristica



P \neq NP

Algorithmica



The **complexity** of problems asking for **complexity**

MINKT (Minimum Time-Bounded Kolmogorov Complexity Problem)
The problem of **computing** the minimum program to **compute** x efficiently

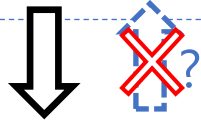
MINKT

Overcoming Limits of Black-box Reductions

Cryptomania

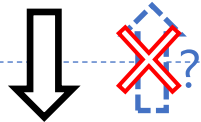
\exists public-key crypto.

Minicrypt



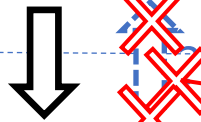
\exists secret-key crypto.

Pessiland



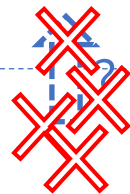
$\text{DistNP} \not\subseteq \text{AvgP}$
("P \neq NP on average")

Heuristica



$P \neq NP$

Algorithmica



$(\text{MINKT}, \mathcal{U}) \notin \text{AvgP} \iff \text{GapMINKT} \notin P$

Theorem [H. (FOCS 2018)]
Worst- and average-case complexities of MINKT are equivalent.

Limits of black-box reductions

[Bogdanov & Trevisan (2006)]

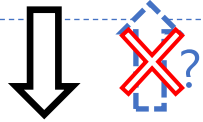
- Limits: $\text{NP/poly} \cap \text{coNP/poly}$
- Conjecture [Rudich'97]: $\text{GapMINKT} \notin \text{coNP/poly}$
- This is the first result that goes beyond the limits!

A Long-Standing Open Question

Cryptomania

\exists public-key crypto.

Minicrypt



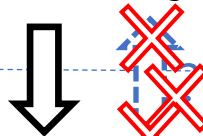
NP is hard **on average**

Pessiland



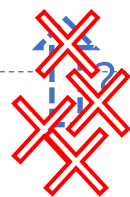
DistNP $\not\subseteq$ AvgP
("P \neq NP on average")

Heuristica



P \neq NP

Algorithmica



A long-standing open question
on **worst-** versus **average-case**

UP is exponentially hard
in the **worst case**



UP $\not\subseteq$ DTIME($2^{o(n)}$)

UP (\subseteq NP)

A class that contains
integer factorization

Limits of
black-box reductions

[Bogdanov & Trevisan (2006)]

"Impossibility" of
hardness amplification

[Viola (2005)]

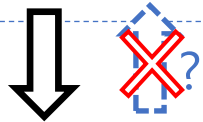
Relativization barrier (?)

Overcoming two barriers simultaneously

Cryptomania

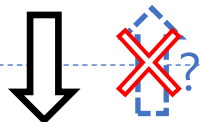
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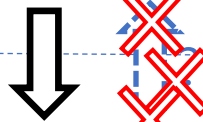
\exists secret-key crypto.

Pessiland



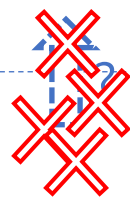
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Heuristica



$P \neq NP$

Algorithmica



Theorem [H. STOC 2021]

If UP is exponentially hard
in the worst case, then
NP is hard **on average**.

Proof Techniques: Meta-complexity

FOCS'18, ITCS'20, CCC'20,
STOC'20, FOCS'20 + α

$UP \not\subseteq \text{DTIME}(2^{o(n)})$

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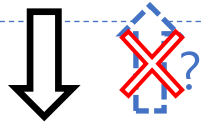
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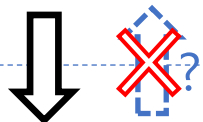
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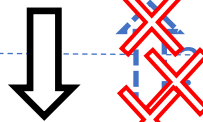
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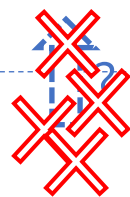
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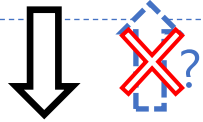
Relativization barrier (?)

A New Relativization Barrier

Cryptomania

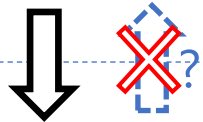
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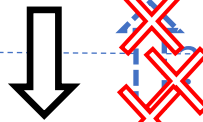
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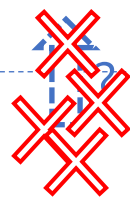
DistNP $\not\subseteq$ AvgP
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Heuristica



P \neq NP

Algorithmica



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FOCS'18, ITCS'20, CCC'20,
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UP $\not\subseteq$ DTIME($2^{O(n/\log n)}$)

UP $\not\subseteq$ DTIME($2^{o(n/\log n)}$)

Limits of
black-box reductions

[Bogdanov & Trevisan (2006)]

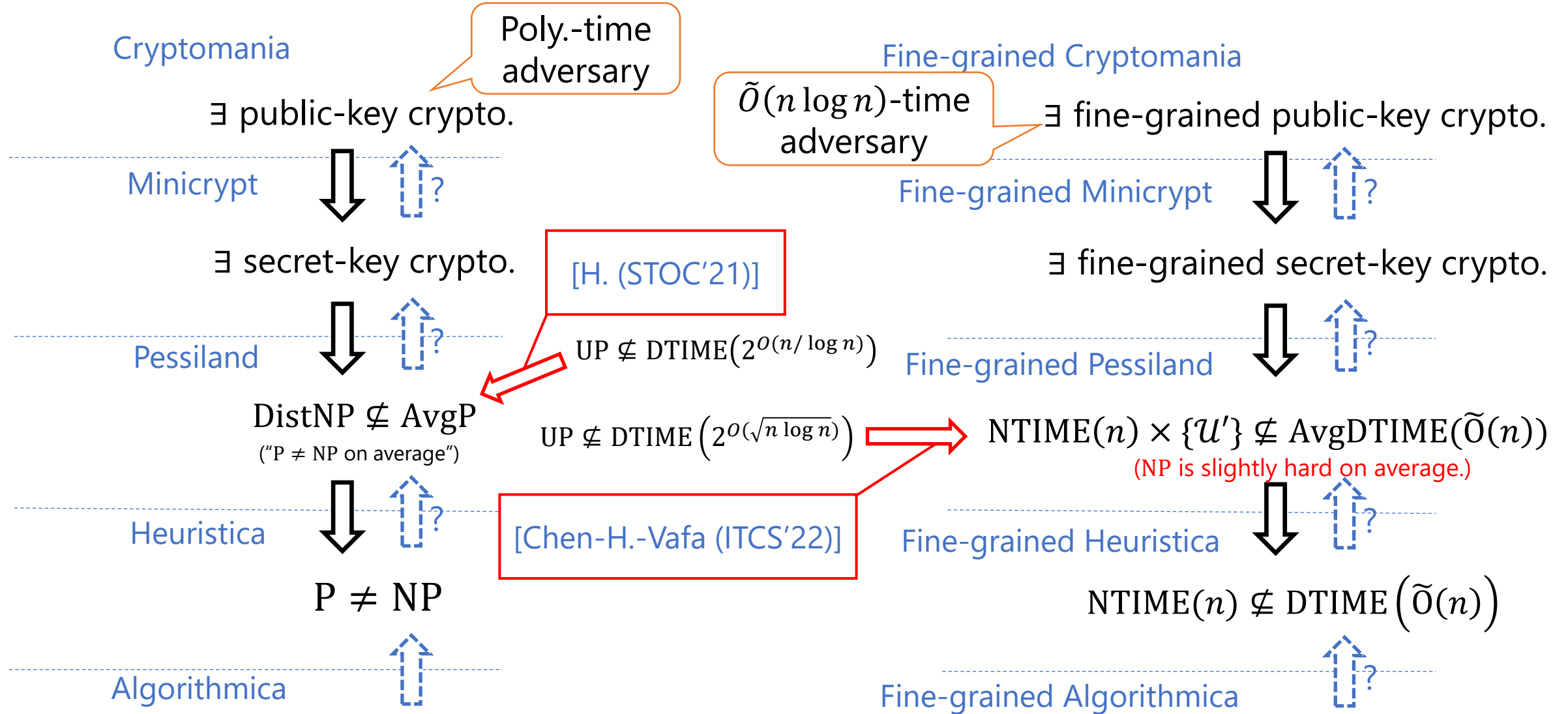
"Impossibility" of
hardness amplification

[Viola (2005)]

Relativization barrier (?)

Relativization barrier [H. & Nanashima (FOCS'21)]

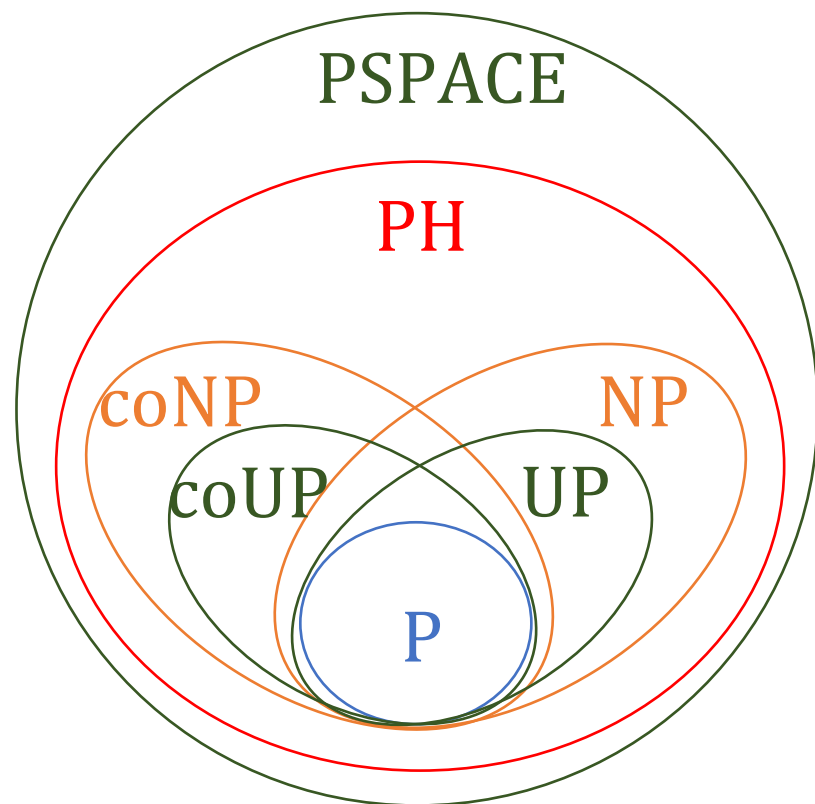
"Fine-Grained" Five Worlds [Chen-H.-Vafa (ITCS'22)]



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Complexity Classes



PSPACE : polynomial space

PH : polynomial(-time) hierarchy

NP : non-deterministic polynomial-time

UP : unambiguous polynomial-time
(solvable by a non-deterministic polynomial-time machine with at most one accepting path for each input.)

P : polynomial time

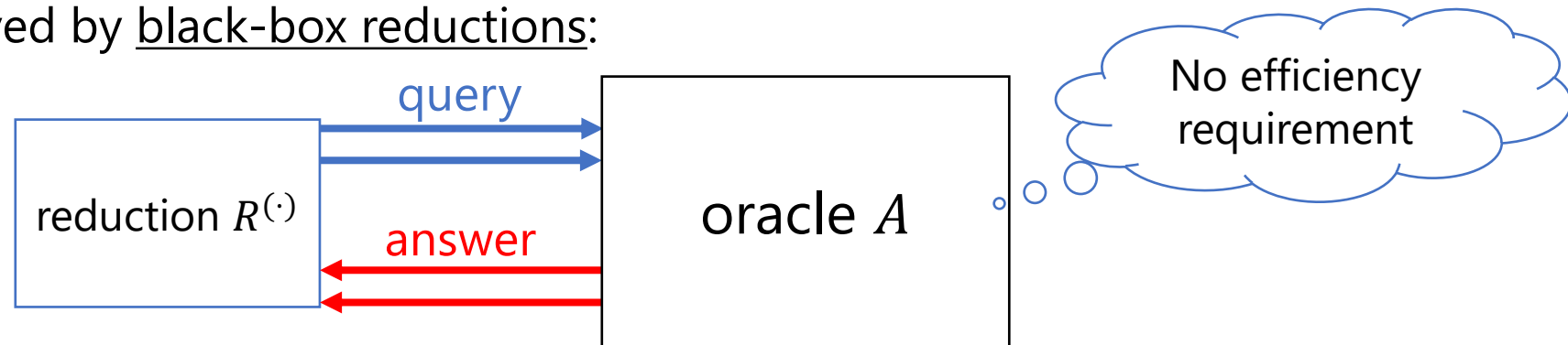
[Ko'85, Grollmann & Selman'88]

$UP \neq P \Leftrightarrow$ There is a one-to-one one-way function that is hard to invert in the worst case.

(Black-Box) Reductions

- Theorems:
- $\text{GapSVP} \notin \text{BPP} \implies \text{DistNP} \not\subseteq \text{HeurBPP}$ [Ajtai'96,...]
 - $\text{SZK} \neq \text{P} \implies \text{DistNP} \not\subseteq \text{AvgP}$ [Ostrovsky'91,Hastad-Impagliazzo-Levin-Luby'99,...,H.'18]
 - $\text{NP} \not\subseteq \text{DTIME}(2^{O(n)}) \implies \text{DistNP} \not\subseteq \text{AvgP}$ [Ben-David, Chor, Goldreich & Luby '92]

These are proved by black-box reductions:



$\forall L \in \text{SZK}$, there is a reduction $R^{(\cdot)}$ such that for **any oracle A** that solves some $(L', \mathcal{D}) \in \text{DistNP}$, $R^A(x)$ outputs the correct answer $L(x)$ for every input x .

A "non-black-box" reduction \iff The reduction might fail if the oracle is inefficient.

Limits of Black-Box Reductions

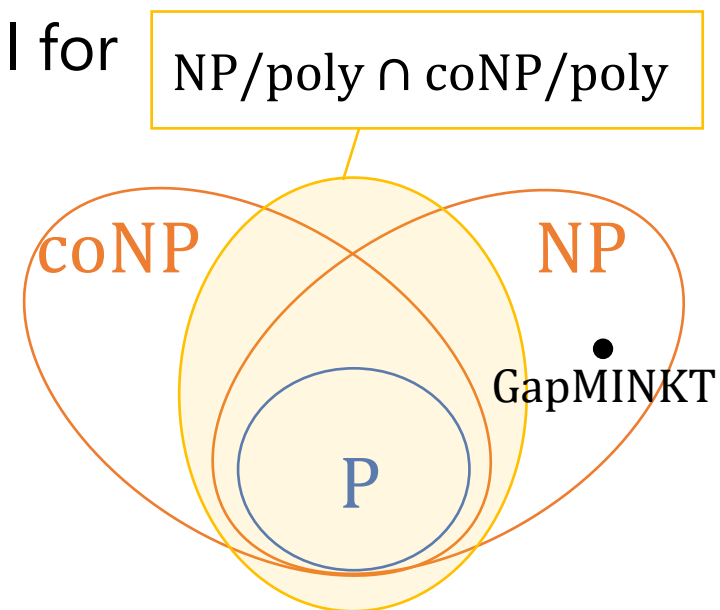
Theorem [Feigenbaum & Fortnow'93, Bogdanov & Trevisan'06]

There is no nonadaptive black-box reduction from L to DistNP , for any $L \notin \text{NP/poly} \cap \text{coNP/poly}$.

➤ Nonadaptive black-box reductions are too strong to be useful for worst-case-to-average-case connections outside coNP/poly .

➤ We need to use either **non-black-box** or **adaptive** reductions!

We exploit the efficiency of an oracle using "meta-complexity".



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Our Results

Main Theorems [H. STOC'21]

$$(1) \text{UP} \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \implies \text{DistNP} \not\subseteq \text{AvgP}$$

$$(2) \text{PH} \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \implies \text{DistPH} \not\subseteq \text{AvgP}$$

$$(3) \text{NP} \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \implies \text{DistNP} \not\subseteq \text{Avg}_{\text{P}}\text{P}$$

P-computable
average-case
polynomial-time

- n denotes the length of inputs (encoded as binary strings).
- $\text{Avg}_{\text{P}}\text{P} (\subseteq \text{AvgP})$: the class of (L, \mathcal{D}) solvable by average-case polynomial-time algorithms whose running time can be “estimated.”

Our Results

Inverting a *size-verifiable* one-way function in the worst-case

in Theorems ([H. STOC'21], a

The hard distribution is the uniform distribution \mathcal{U} or the tally distribution \mathcal{T} .

every constant $\delta > 0$ and $c \in \mathbb{N}$,

$$(1) \text{NTIME}_{\text{sv}}(2^{n^{1-\delta}}) \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \Rightarrow \text{coNP} \times \{\mathcal{U}, \mathcal{T}\} \not\subseteq \text{Avg}_{1-n^{-c}}^1 \text{P}$$

$$(2) \text{PHTIME}(2^{n^{1-\delta}}) \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \Rightarrow \text{PH} \times \{\mathcal{U}, \mathcal{T}\} \not\subseteq \text{Avg}_{1-n^{-c}}^1 \text{P}$$

$$(3) \text{NTIME}(2^{n^{1-\delta}}) \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \Rightarrow \text{NP} \times \{\mathcal{U}, \mathcal{T}\} \not\subseteq \text{Avg}_{\text{P}} \text{P}$$

$2^{n^{1-\delta}}$ -time version of NP

One-sided-error heuristics with success probability n^{-c} . (Refutation)

$\mathcal{T} := \{\mathcal{T}_n\}_{n \in \mathbb{N}}$; \mathcal{T}_n is the singleton distribution on 1^n .

Time-Bounded Kolmogorov Complexity

- t -time-bounded Kolmogorov complexity of x

$K^t(x) :=$ (the length of a shortest program that prints x in t steps)

Examples

$$K^t(\underbrace{00 \dots 0}_{n \text{ times}}) = \log n + O(1) \quad \text{for } t \gg n. \quad \leftarrow \text{print "0" } \times n$$

$$K^t(x) \leq n + O(1) \quad \text{for } t \gg n \text{ and for every } x \in \{0,1\}^n. \quad \leftarrow \text{print "x"}$$

$$K^\infty(x) \geq n - 2 \quad \text{with probability } \geq \frac{3}{4} \text{ over a random } x \sim \{0,1\}^n.$$

\leftarrow a simple counting argument

Meta-Complexity – Complexity of Complexity

➤ Examples of meta-computational problems: MCSP, MKTP, MINKT, ...

MINKT [Ko'91] = "Compute the time-bounded Kolmogorov complexity"

- t -time-bounded Kolmogorov complexity of x

$K^t(x) :=$ (the length of a shortest program that prints x in t steps)

- $\text{MINKT} = \{(x, 1^t, 1^s) \mid K^t(x) \leq s\}$.
- $\text{GapMINKT} = (\Pi_{\text{Yes}}, \Pi_{\text{No}})$ An " $O(\log n)$ -additive approximation" version

$\Pi_{\text{Yes}} = \{(x, 1^t, 1^s) \mid K^t(x) \leq s\}$. p : some polynomial

$\Pi_{\text{No}} = \{(x, 1^t, 1^s) \mid K^{p(|x|+t)}(x) > s + \log p(|x| + t)\}$.

Meta-Complexity – Complexity of Complexity

➤ Examples of meta-computational problems: MCSP, MKTP, MINKT, ...

MINKT^A [Ko'91] = "Compute the A -oracle time-bounded Kolmogorov complexity"

- A -oracle t -time-bounded Kolmogorov complexity of x

$K^{t,A}(x) :=$ (the length of a shortest program M^A that prints x in t steps)

- $\text{MINKT}^A = \{(x, 1^t, 1^s) \mid K^{t,A}(x) \leq s\}$.

Remark: In general, we may have $A \not\leq_m^p \text{MINKT}^A$.

It is easy to see $\text{MINKT}^A \in \text{NP}^A$.

Open: $\text{NP} \leq \text{MINKT}$? $\text{NP} \leq \text{MINKT}^{\text{PH}}$?

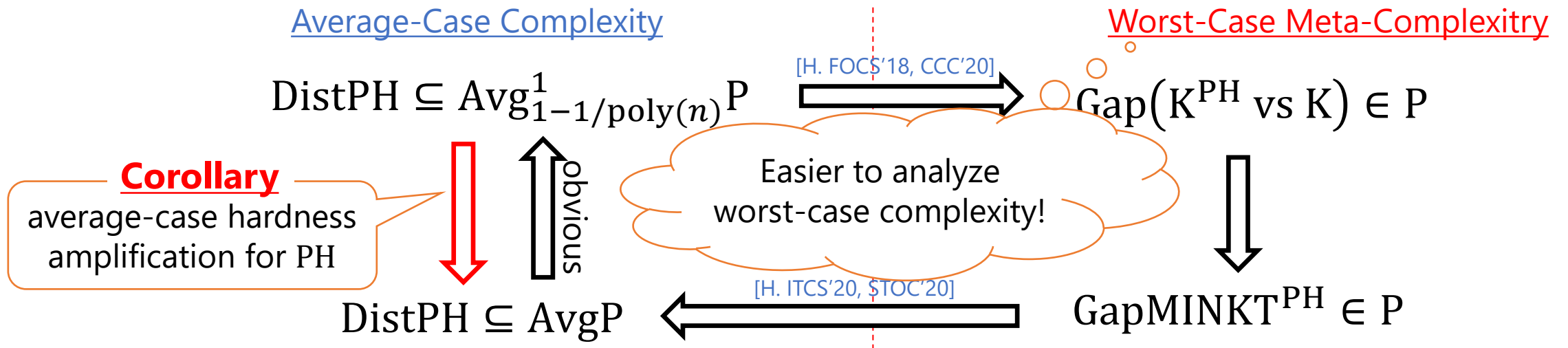
Average-Case Complexity = Meta-Complexity

Theorem [H. (FOCS'20)]

$$\text{DistPH} \subseteq \text{AvgP} \iff \text{GapMINKT}^{\text{PH}} \in \text{P}$$

For every $A \in \text{PH}$,
 $\text{GapMINKT}^A \in \text{P}$

- GapMINKT^A : an $O(\log n)$ -additive approximation version of MINKT^A .
- **Corollary:** A new technique of analyzing **average-case complexity** by **meta-complexity**.

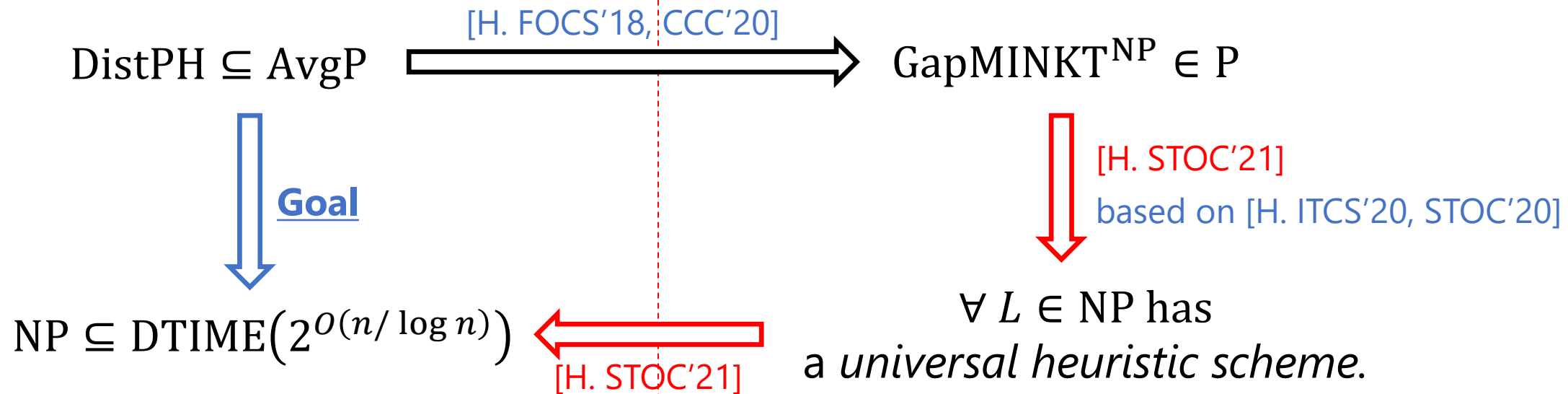


Theorem [H. STOC'21]

$$(2') \text{ NP } \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \implies \text{DistPH} \not\subseteq \text{AvgP}$$

Average-Case Complexity

Worst-Case Meta-Complexity



Universal Heuristic Scheme — A key notion in this work

➤ A universal heuristic scheme is “universal” in the following sense.

Proposition (universality of universal heuristic schemes)

Assume $\text{DistNP} \subseteq \text{AvgP}$.

For every $L: \{0,1\}^* \rightarrow \{0,1\}$, the following are equivalent.

1. There is a universal heuristic scheme for L .
2. $\{L\} \times \text{PSamp} \subseteq \text{Avg}_P P$.

P-computable
average-case
poly-time

The Definition of Universal Heuristic Scheme

- Computational Depth [Antunes, Fortnow, van Melkebeek, Vinodchandran'06]

$$\text{cd}^t(x) := K^t(x) - K^\infty(x)$$

- (t, s) -Time-Bounded Computational Depth

$$\text{cd}^{t,s}(x) := K^t(x) - K^s(x)$$

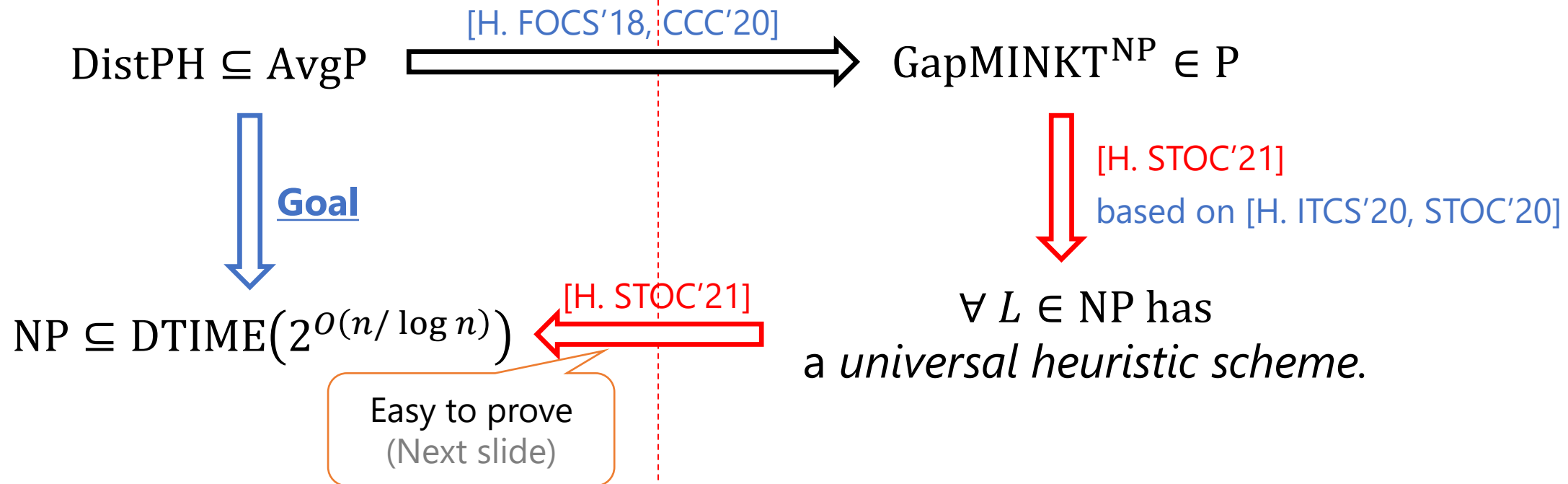
- An algorithm A is called a universal heuristic scheme for L if for some polynomial p , for every $x \in \{0,1\}^*$ and every $t \geq p(|x|)$,
 1. $A(x, t) = L(x)$ and
 2. $A(x, t)$ halts in time $2^{O(\text{cd}^{t,p(t)}(x) + \log t)}$.

Theorem [H. STOC'21]

$$(2') \text{ NP } \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \implies \text{DistPH} \not\subseteq \text{AvgP}$$

Average-Case Complexity

Worst-Case Meta-Complexity



Fast Algorithms from Universal Heuristic Schemes

Lemma

If there is some universal heuristic scheme A for L , then
 $L \in \text{DTIME}(2^{O(n/\log n)})$.

Proof Idea: Find a parameter t so that the input x is "**computationally shallow**" (i.e., $\text{cd}^{t,p(t)}(x) = O(n/\log n)$).

Proof: Consider the following telescoping sum for a parameter $I = \epsilon \log n$ ($\epsilon > 0$, constant):

$$\text{cd}^{t,p(t)}(x) + \text{cd}^{p(t),p^{\circ}p(t)}(x) + \dots + \text{cd}^{p^{I-1}(t),p^I(t)}(x) = K^t(x) - K^{p^I(t)}(x) \leq n + O(1)$$

Algorithm B : \implies for some $i \in \{1, 2, \dots, I\}$, we have $\text{cd}^{p^{i-1}(t),p^i(t)}(x) \leq \frac{n+O(1)}{I} = O\left(\frac{n}{\log n}\right)$.

Run $A(x, t), A(x, p(t)), A(x, p^2(t)), \dots, A(x, p^{I-1}(t))$ in parallel.

Take the first one that halts, and output what it outputs.

Correctness: $B(x) = L(x)$ for every input x .

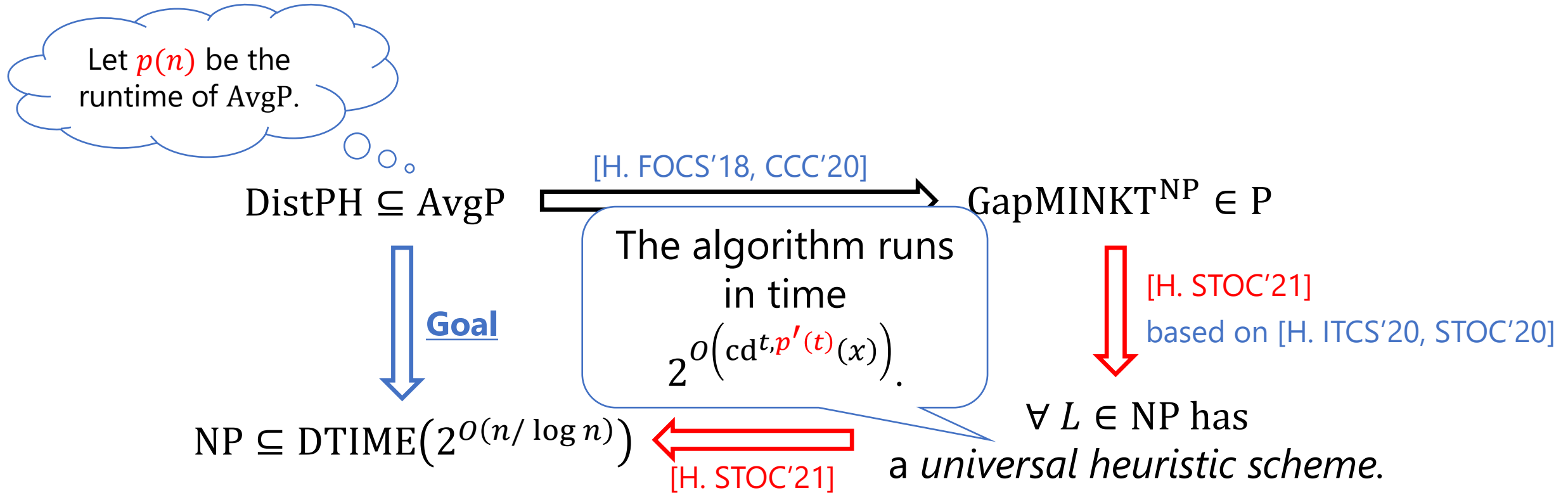
(The running time of B) $\lesssim \min_i \left\{ 2^{O(\text{cd}^{p^{i-1}(t),p^i(t)}(x) + \log p^i(t))} \right\} \leq 2^{O(n/\log n)}$

($p^I(t) \lesssim n^{c^I} \leq 2^{O(n/\log n)}$ for $I = \epsilon \log n$)

A universal heuristic scheme A for L : $\exists p(t) = t^{O(1)}$,

1. $A(x, t) = L(x)$
2. $A(x, t)$ runs in time $2^{O(\text{cd}^{t,p(t)}(x) + \log t)}$.

How we overcame limits of black-box reductions



- The reduction is non-black-box because we exploit **the efficiency** of AvgP. I.e., the proof is not subject to the barrier of [Bogdanov & Trevisan'06].

Theorem [H. STOC'21]

$$(2') \text{ NP } \not\subseteq \text{DTIME}(2^{O(n/\log n)}) \implies \text{DistPH} \not\subseteq \text{AvgP}$$

Average-Case Complexity

Direct product generator

Worst-Case Meta-Complexity

$\text{DistPH} \subseteq \text{AvgP}$

[H. FOCS'18, CCC'20]

$\text{GapMINKT}^{\text{NP}} \in \text{P}$



- Direct product generator [H. STOC'20]
- Weak symmetry of information [H. STOC'21]



[H. STOC'21]
based on [H. ITCS'20, STOC'20]

$\text{NP} \subseteq \text{DTIME}(2^{O(n/\log n)})$

[H. STOC'21]

$\forall L \in \text{NP}$ has
a *universal heuristic scheme*.

k -Wise Direct Product Generator [H. STOC'20]

$$\text{DP}_k: \{0,1\}^n \times (\{0,1\}^n)^k \rightarrow \{0,1\}^{nk+k}$$

$$\text{DP}_k(x; z_1, \dots, z_k) = (z_1, \dots, z_k, \langle z_1, x \rangle, \dots, \langle z_k, x \rangle)$$

$\langle z_i, x \rangle$: the inner product between z_i and x modulo 2.

A pseudorandom generator construction based on a "hard" truth table x that extends seed z by k bits.

A Reconstruction Property of DP_k : (under $\text{DistNP} \subseteq \text{AvgP}$ or a derandomization assumption)

For every oracle $D: \{0,1\}^{nk+k} \rightarrow \{0,1\}$ and every $x \in \{0,1\}^n$, if $K^{t,D}(x) \geq k + O(\log n)$, then $\text{DP}_k(x; -)$ is pseudorandom against D ; that is,

$$\Pr_{z \sim \{0,1\}^{nk}} [D(\mathbf{DP}_k(x; \mathbf{z})) = 1] \approx \Pr_{w \sim \{0,1\}^{nk+k}} [D(\mathbf{w}) = 1].$$

The Key Point: (The advice complexity of DP_k) = $k + O(\log n)$

This is nearly optimal [Trevisan & Vadhan '07].

Claim: $\text{DistNP} \subseteq \text{AvgP} \implies \text{GapMINKT} \in \text{P}$

- For simplicity, $t := n^2$.
- Consider the following distributional problem $(\text{MINKT}, \mathcal{U}')$ $\in \text{DistNP}$:
Given $x \sim \{0,1\}^n$ as input, decide whether $K^t(x) < n - 2$ or not.
- Let A be an errorless heuristic algorithm that solves $(\text{MINKT}, \mathcal{U}')$ with probability $\geq 1 - o(1)$.

$A(x)$ outputs the correct answer or \perp ("time out").

$$K^t(x) < n - 2 \implies A(x) \in \{1, \perp\} \quad \Pr_{x \sim \{0,1\}^n} [A(x) = \perp] \leq o(1)$$

- A randomized algorithm B for solving GapMINKT:

$$B(x, 1^s) := 1 \iff A(\text{DP}_k(x; z)) \in \{1, \perp\} \text{ for a random } z \sim \{0,1\}^{nk+k} \text{ and } k := s + O(\log n).$$

(YES case) $K^t(x) \leq s \implies K^{2t}(\text{DP}_k(x; z)) \leq K^t(x) + |z| + O(1) \leq s + nk + O(1) \ll k + nk - 2.$
 $\implies A(\text{DP}_k(x; z)) \in \{1, \perp\}$ with probability 1

(No case) $K^{t'}(x) \gg s + O(\log n) = k \implies \Pr_z [A(\text{DP}_k(x; z)) \in \{1, \perp\}] \approx \Pr_w [A(w) \in \{1, \perp\}] \leq \frac{1}{4} + o(1)$

This is a non-black-box reduction: $t' \approx$ (the running time of A) = $\text{poly}(t, n)$.

GapMINKT is a meta-computational problem!

Summary and Open Questions

- **Meta-complexity** is a powerful tool to analyze **average-case complexity**.
 - Especially because it allows us to overcome the limits of black-box reductions
- A lot of interesting questions remain open:
 - **Non-relativizing proof techniques in this context?**
 - NP-hardness of GapMINKT
 - Can we prove $\text{NP} \not\subseteq \text{DTIME}(2^{o(n)}) \implies \text{DistNP} \not\subseteq \text{AvgP}$?
 - Does the exponential-time hypothesis (ETH) imply $\text{DistPH} \not\subseteq \text{AvgP}$?
 - Can we prove $\text{PH} \not\subseteq \text{io-DTIME}(2^{o(n)}) \implies \text{DistPH} \not\subseteq \text{io-AvgP}$?
 - Viola's barrier comes into play in this setting!