

BREAKTHROUGHS

# Irit Dinur

Weizmann Institute of Science

Breakthroughs — Locally  
Testable Codes with Constant  
Rate, Distance, and Locality

Monday, Oct 6, 10:00 – 11:00 am

4:00 pm – 5:00 pm



Berkeley  
University of California

Joint with

Shai Evra

Ron Livne

Alexander Lubotzky

Shahar Mozes

# Locally Testable Codes

A linear error-correcting code is a linear subspace  $C \subseteq \{0,1\}^n$

$$\text{Rate} = \frac{\dim(C)}{n}, \quad \text{Distance} = \min_{w \in C \setminus \{0\}} \frac{|\{i : w_i \neq 0\}|}{n}$$

A code  $C$  is *locally testable with  $q$  queries* if there is a tester  $T$  that has query access to a given word  $w$ , reads  $q$  randomized bits from  $w$  and accepts / rejects, such that

- If  $w \in C$  then  $\Pr[T \text{ accepts}] = 1$
- If  $w \notin C$  then  $\Pr[T \text{ rejects}] \geq \text{const} \cdot \text{dist}(w, C)$

$q$  = the *locality* of the tester

# Historical background

- LTCs were studied implicitly in early PCP works [BlumLubyRubinfeld 1990, BabaiFortnowLund 1990, ..]
- Formally defined in works on low degree tests [Friedl-Sudan, Rubinfeld-Sudan] ~ 1995
- Spielman in his PhD thesis (1996), writes:

“A checker would be able to read only a constant number of bits of a received signal and then estimate the chance that a decoder will be able to correct the errors, then the checker can instantly request a retransmission of that block, before the decoder has wasted its time trying to decode the message. Unfortunately all known codes with local-checkers have rate approaching zero.”
- A systematic study of LTCs was initiated by Goldreich and Sudan in 2002.

“what is the highest possible rate of an LTC?”

# Historical background

- Sequence of works (BenSasson-Sudan-Vadhan-Wigderson2003, BenSasson-Goldreich-Harsha-Sudan-Vadhan2004, Ben-Sasson-Sudan2005, Dinur2005) achieved rate =  $1/\text{polylog}$  & constant locality+distance
- “ $c^3$  LTCs” (constant rate, constant distance, constant locality) - experts doubt existence. Restricted lower bounds are shown [BenSasson-Harsha-Rashkhodnikova2005, Babai-Shpilka-Stefankovic2005, BenSasson-Guruswami-Kaufman-Sudan-Viderman2010, D.-Kaufman2011]
- Fix rate to constant, get locality  $(\log n)^{\log \log n}$ : [Kopparty-Meir-RonZewi-Saraf2017, Gopi-Kopparty-OliveiraRonZewi-Saraf2018] (forget about PCPs, inject expanders)
- Affine invariance [Kaufman-Sudan2007,...]: what makes properties testable?
- High dimensional expansion: local to global features [Garland 1973, Kaufman-Kazhdan-Lubotzky 2014, Evra-Kaufman 2016, Oppenheim 2017, D.-Kaufman 2017, D.-Harsha-Kaufman-LivniNavon-TaShma 2019, Dikstein-D.-Harsha-Kaufman-RonZewi 2019, Anari-Liu-OveisGharan-Vinzant2019]

We even had a summer cluster at the Simons Institute in 2019



# Main Result

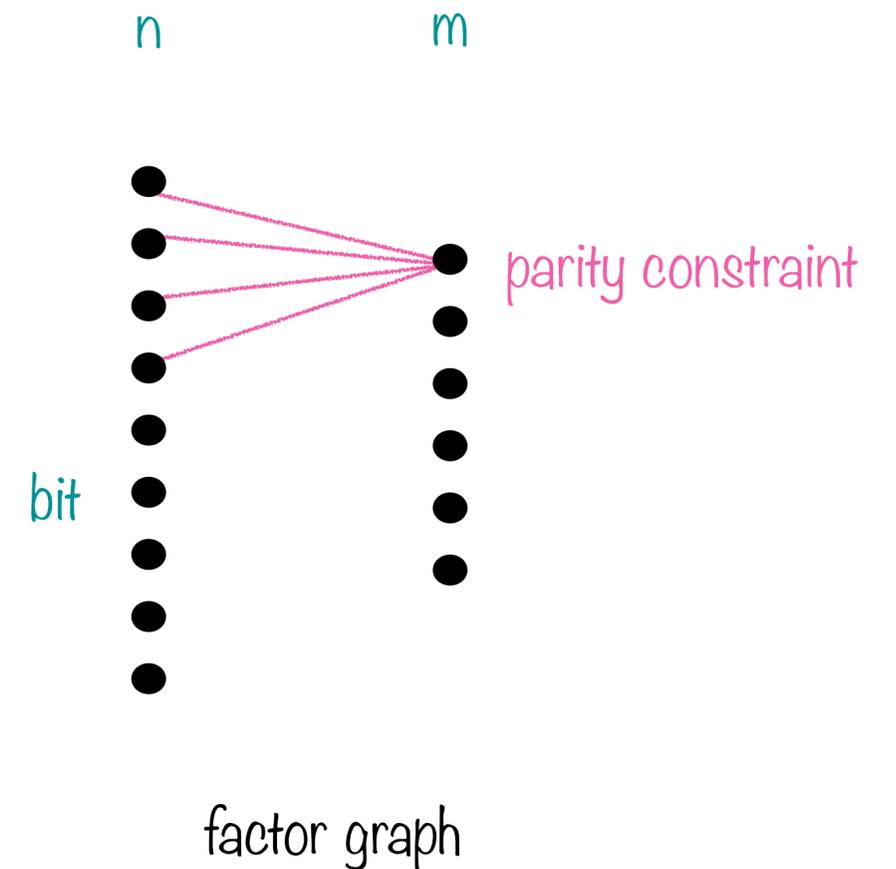
There exist  $r, \delta > 0$  and  $q \in \mathbb{N}$  and an explicit construction of an infinite family of error-correcting codes  $\{C_n\}_n$  with rate  $\geq r$ , distance  $\geq \delta$  and locally testable with  $q$  queries.

# Plan of talk

1. Expander codes
2. New: left-right Cayley complex, “a graph-with-squares”
3. Define the code on the complex / graph-with-squares
4. Properties of the code

# Expander Codes

- Gallager (1963): A random LDPC code has good rate & distance
- Tanner (1981): Place a small base-code  $C_0 \subseteq \{0,1\}^d$  on each constraint node. Consider various bipartite graph structures
- Sipser & Spielman (1996): Explicit expander-codes: Tanner codes using edges of an (explicit) expander



$$C = \left\{ w \in \{0,1\}^n : \forall v \in [m] \sum_{i \sim v} w_i = 0 \pmod{2} \right\}$$

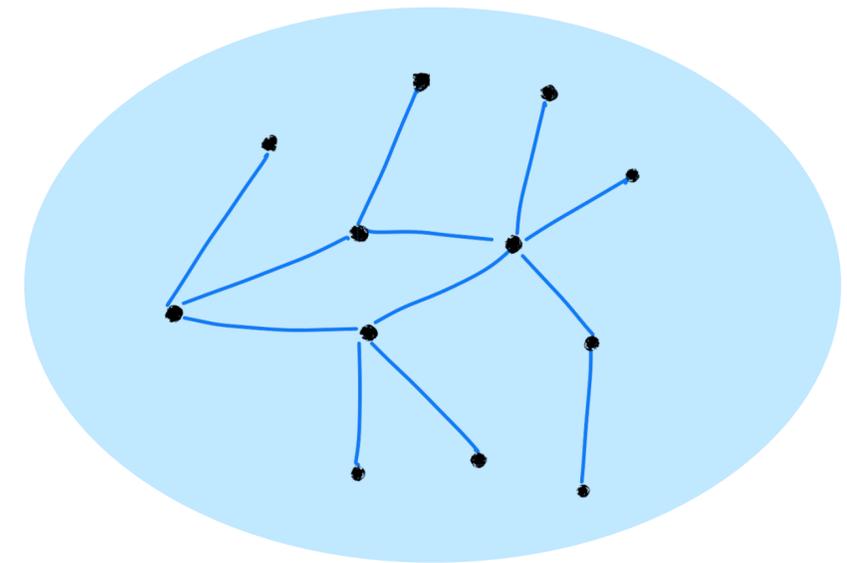
$$C = \left\{ w \in \{0,1\}^n : \forall v \in [m] w|_{\text{nbrs}(v)} \in C_0 \right\}$$

# Expander Codes [SS'96]

Given

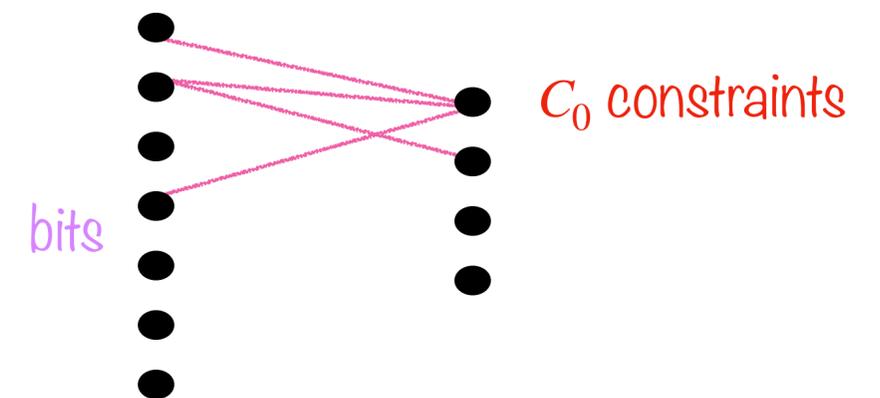
1. A  $d$ -regular  $\lambda$ -expander graph  $G$  on  $n$  vertices
2. A base code  $C_0 \subseteq \{0,1\}^d$  with rate  $r_0$ , distance  $\delta_0$

Let  $C[G, C_0] = \{w : E \rightarrow \{0,1\} : \forall v, w|_{edges(v)} \in C_0\}$



Edges

Vertices

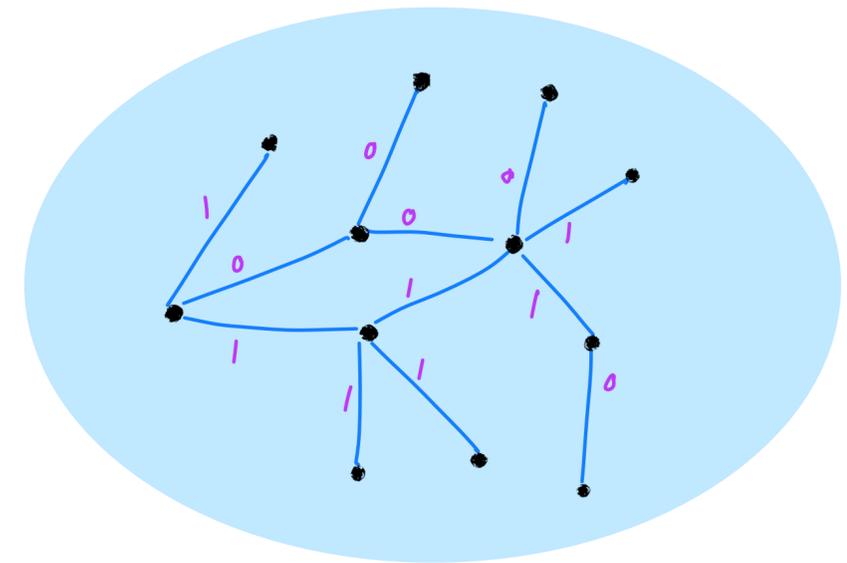


# Expander Codes [SS'96]

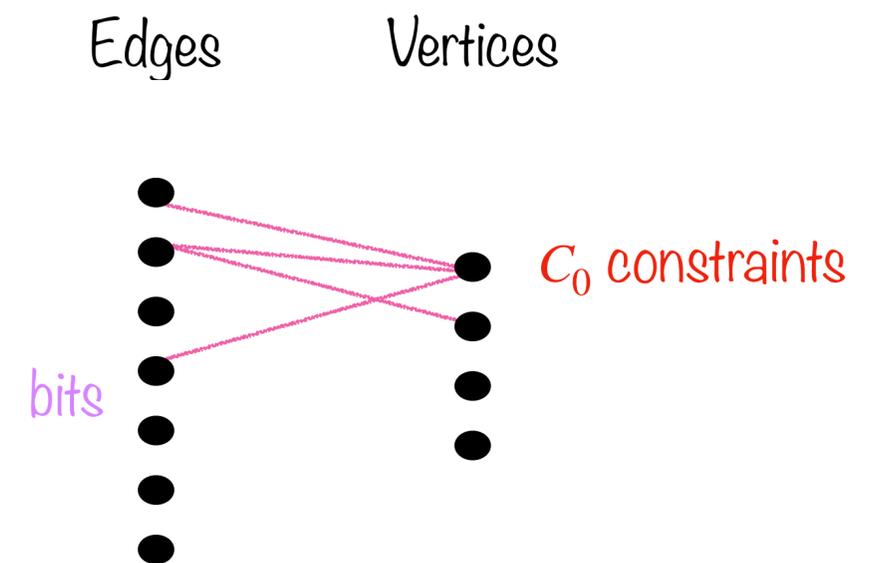
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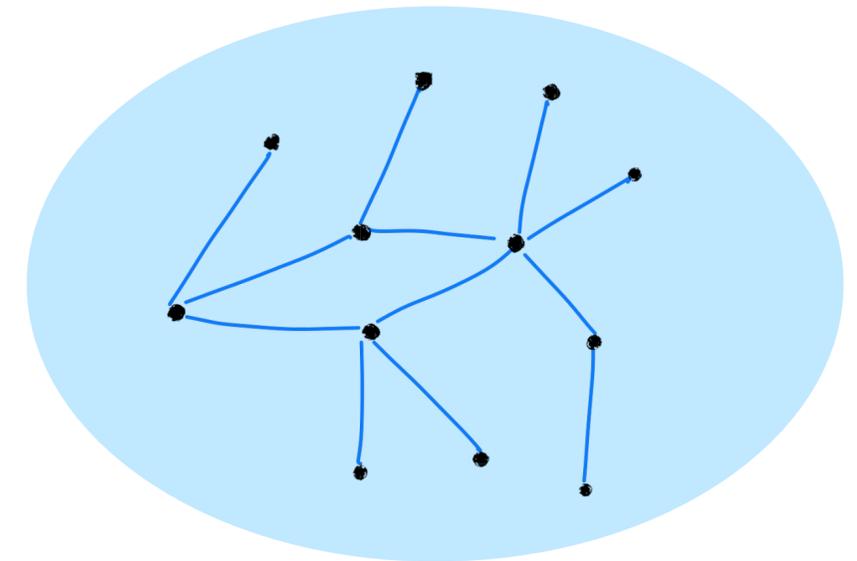
- $\text{Dim}(C) \geq \#bits - \#constraints =$   
 $|E| - |V| \cdot (1 - r_0)d = |E|(2r_0 - 1)$  rate positive if  $r_0 > 1/2$
- Distance  $\geq \delta_0(\delta_0 - \lambda)$
- Linear time decoding!
- Locally testable?



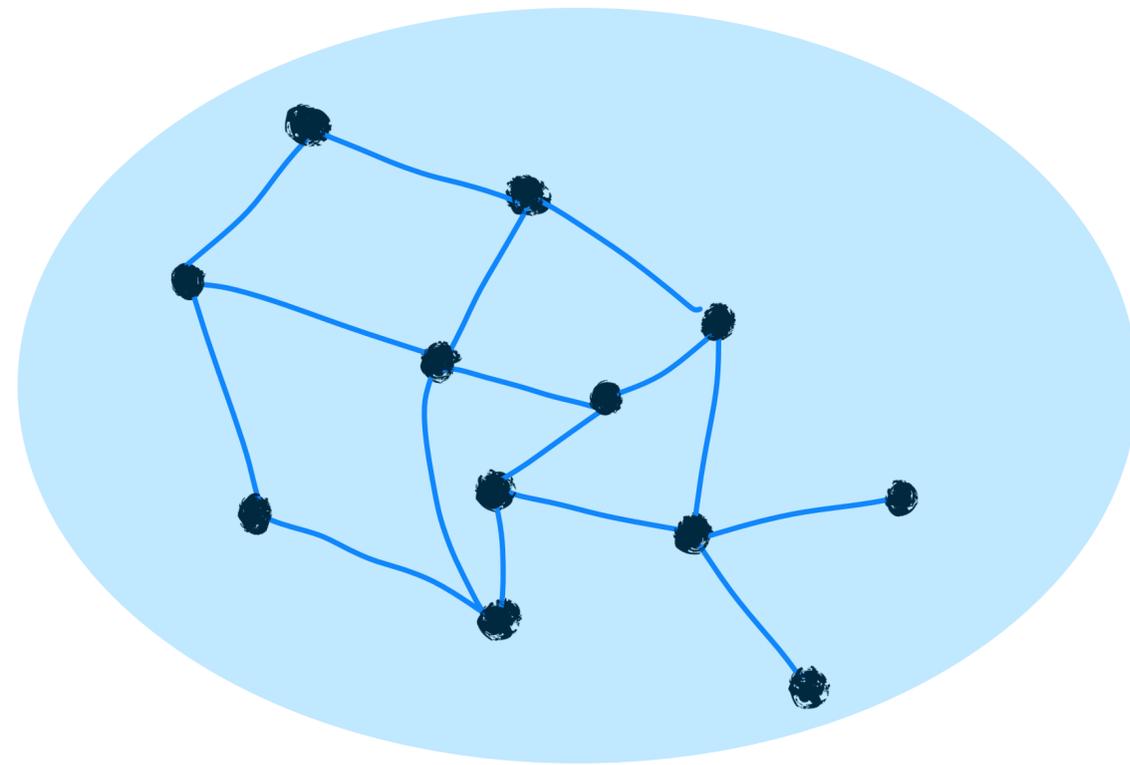
# Expander Codes [SS'96]

are typically not locally testable

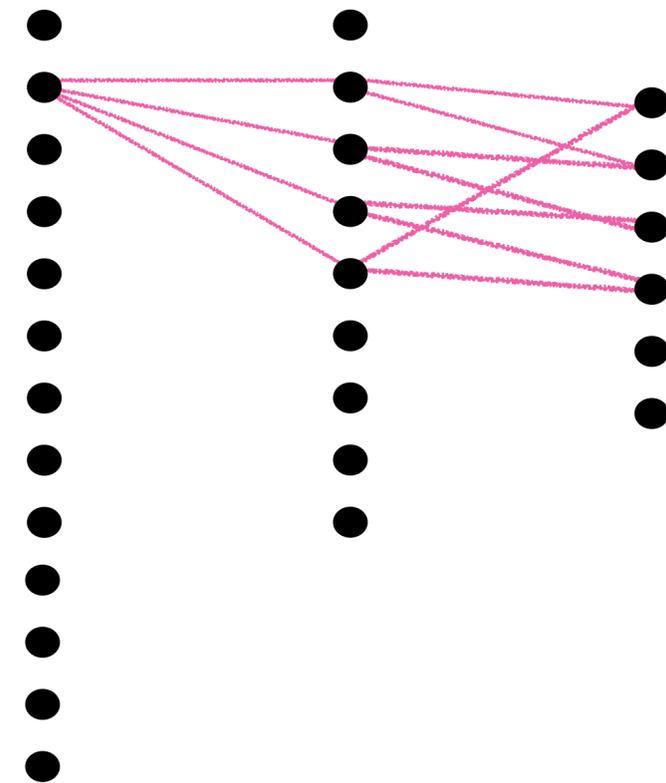
- No need to put same base code at each vertex
- Remove one constraint from the base-code of  $v_0$
- New codewords are far from old code, but **violate only one constraint**



# Expander Codes, one level up



Squares Edges Vertices



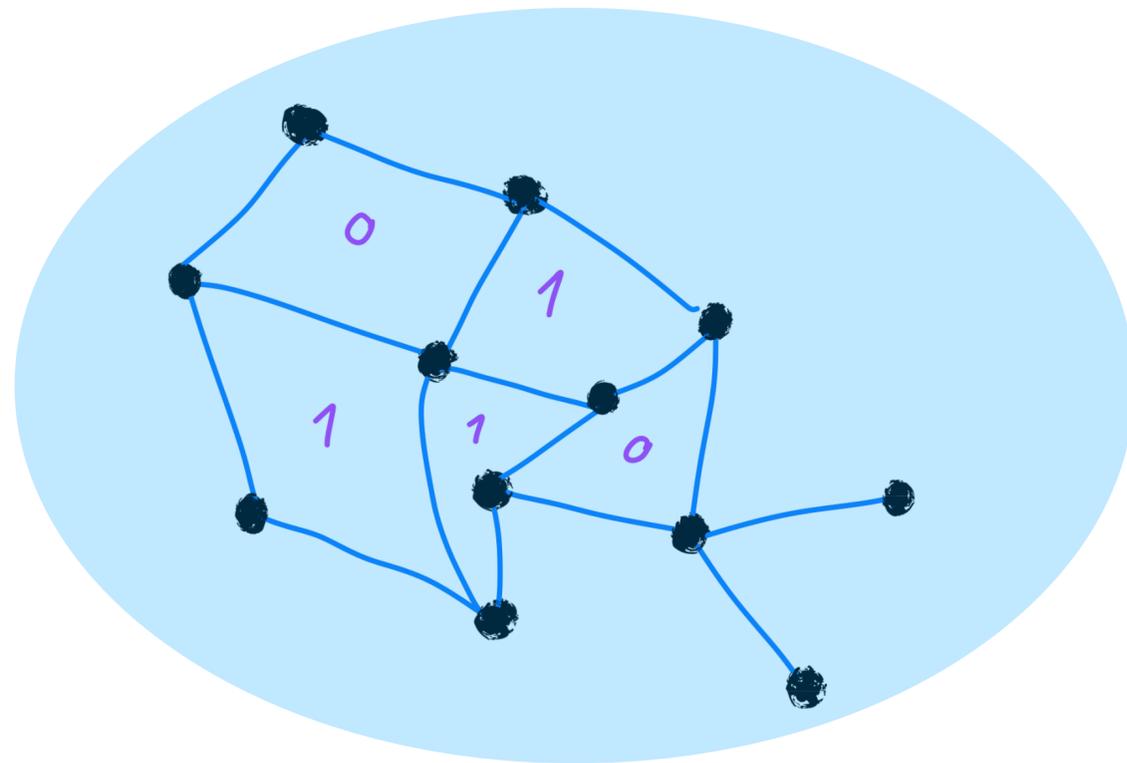
bits

$C_0$  constraints

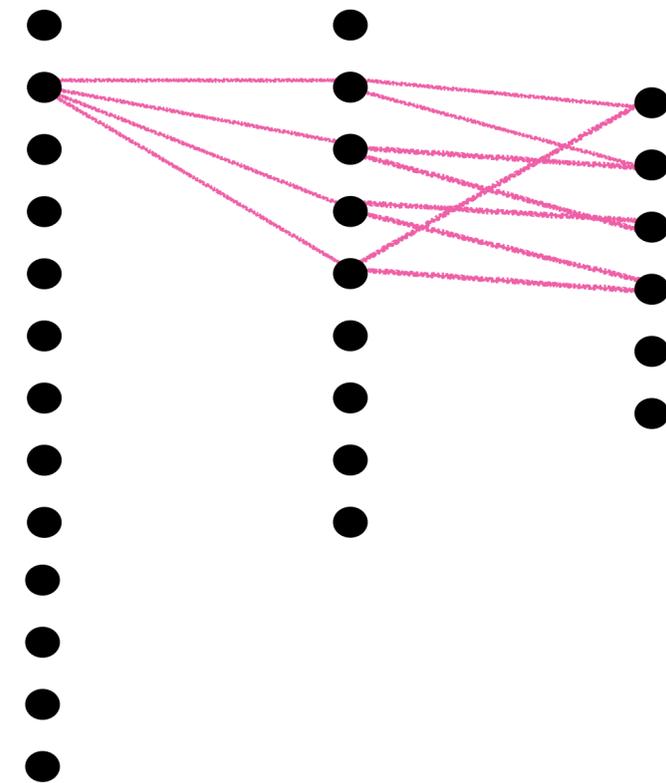
dependencies

factor graph

# Expander Codes, one level up



Squares Edges Vertices



bits

$C_0$  constraints

dependencies

factor graph

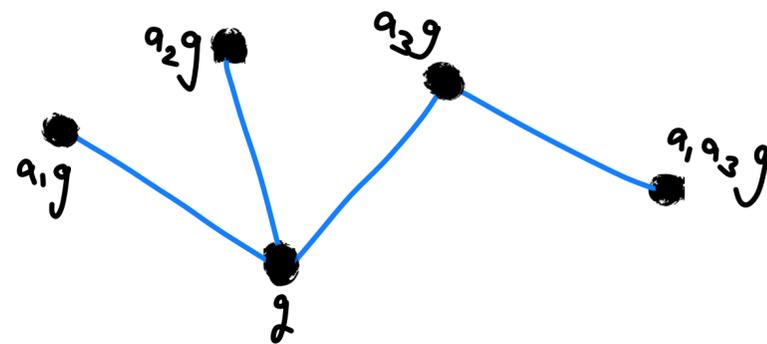
# Left-right Cayley Complex

“a graph with squares”

Let  $G$  be a finite group,

Let  $A \subset G$  be closed under taking inverses, i.e. such that  $a \in A \rightarrow a^{-1} \in A$

$\text{Cay}(G,A)$  is a graph with vertices  $G$ , and edges  $E_A = \{\{g, ag\} : g \in G, a \in A\}$

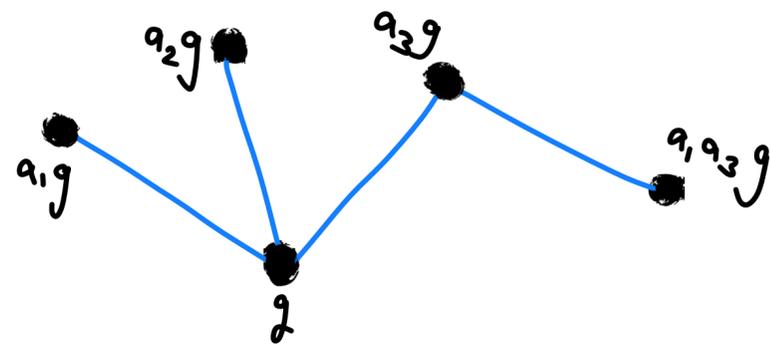


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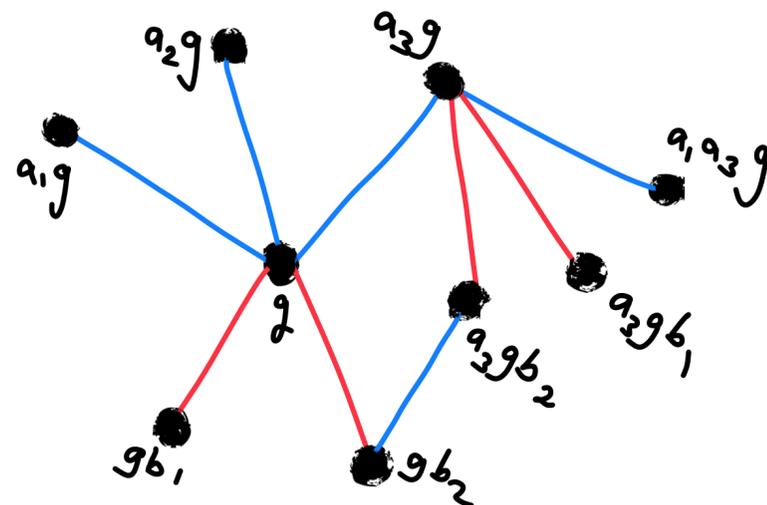
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$\text{Cay}(G, B)$  is a graph with vertices  $G$ , and edges  $E_B = \{\{g, gb\} : g \in G, b \in B\}$  (right \*)



# Left-right Cayley Complex

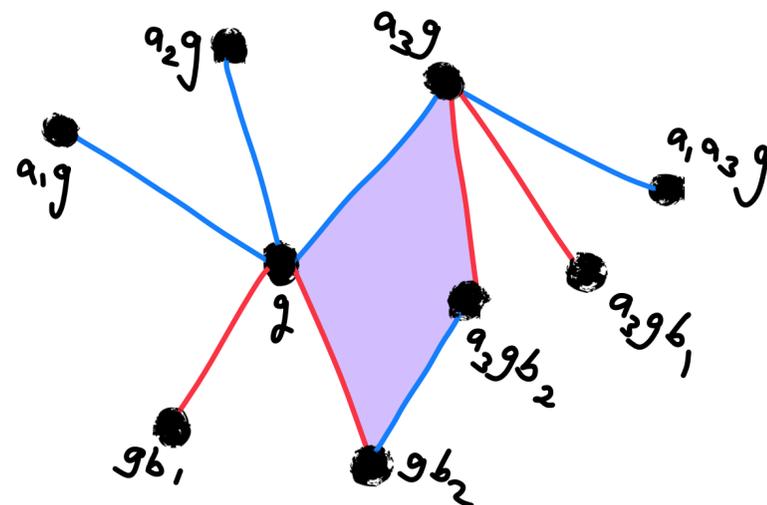
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# Left-right Cayley Complex

“a graph with squares”

Each triple  $a \in A, g \in G, b \in B$  define a rooted square  $(a, g, b)$

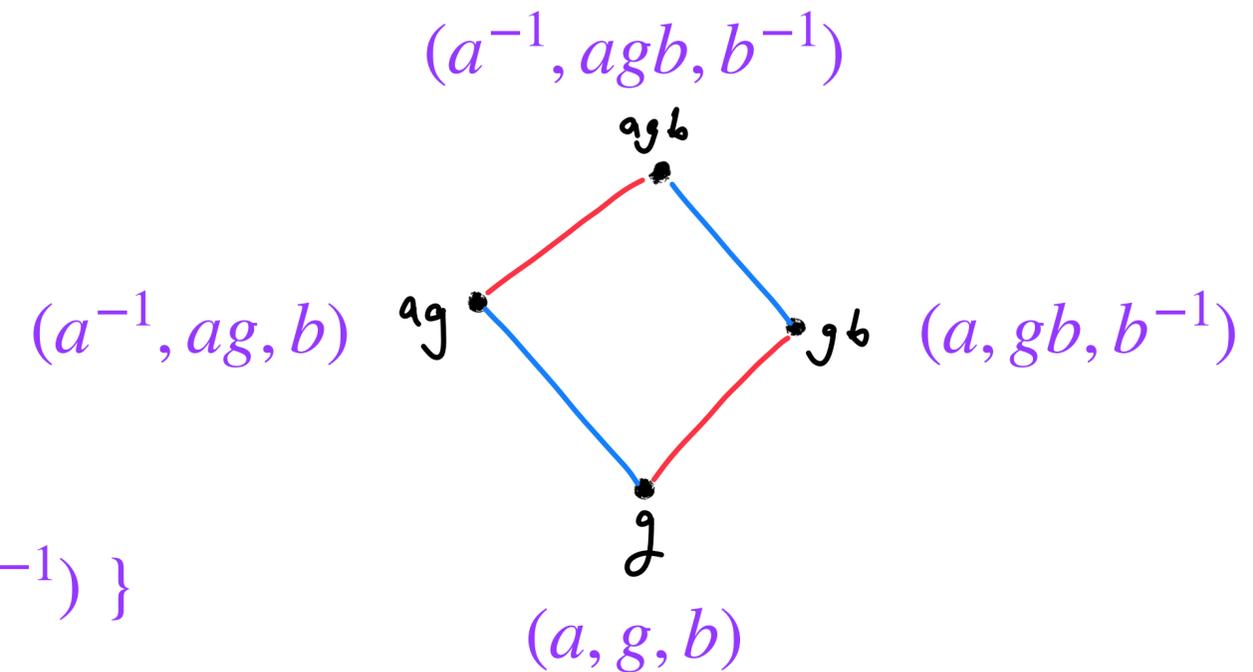
Each square can have 4 roots,

$$[a, g, b] = \{ (a, g, b), (a^{-1}, ag, b), (a^{-1}, agb, b^{-1}), (a, gb, b^{-1}) \}$$

This square naturally contains

- The edges  $\{g, ag\}, \{g, gb\}, \{gb, agb\}, \{ag, agb\},$
- The vertices  $g, ag, gb, agb$

The set of squares is  $X(2) = \{[a, g, b] : g \in G, a \in A, b \in B\} = A \times G \times B / \sim$



# Left-right Cayley Complex $\text{Cay}^2(A, G, B)$

Let  $G$  be a finite group, and let  $A, B \subset G$  be closed under taking inverses.

The left-right Cayley complex  $\text{Cay}^2(A, G, B)$  has

- Vertices  $G$

- Edges  $E_A \cup E_B$

$$E_A = \{\{g, ag\} : g \in G, a \in A\}, \quad E_B = \{\{g, gb\} : g \in G, b \in B\}$$

- Squares  $A \times G \times B / \sim$

We say that  $\text{Cay}^2(A, G, B)$  is a  $\lambda$ -expander if  $\text{Cay}(G, A)$  and  $\text{Cay}(G, B)$  are  $\lambda$ -expanders.

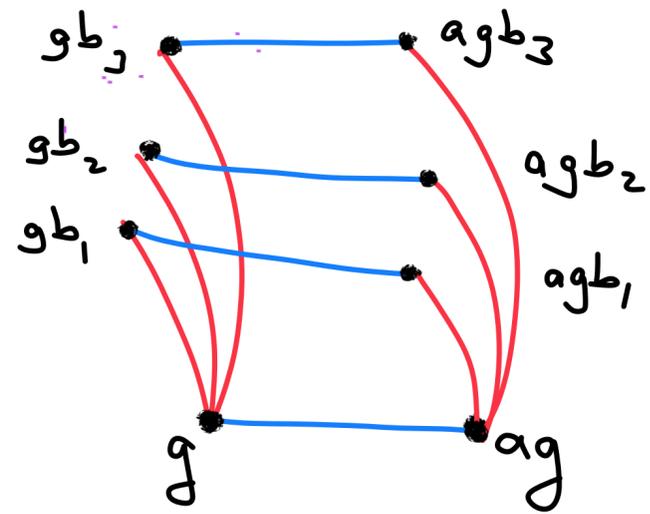
Lemma: For every  $\lambda > 0$  there are explicit infinite families of bounded-degree left-right Cayley complexes that are  $\lambda$ -expanders.

# Left-right Cayley Complex

“a graph with squares”

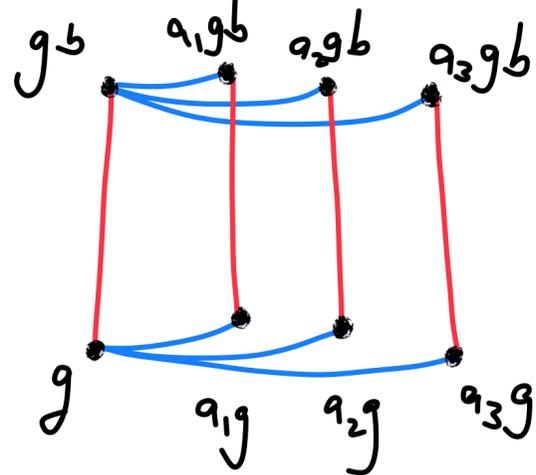
Squares touching the edge  $\{g, ag\}$   
are naturally identified with  $B$

$$b \mapsto [a, g, b]$$



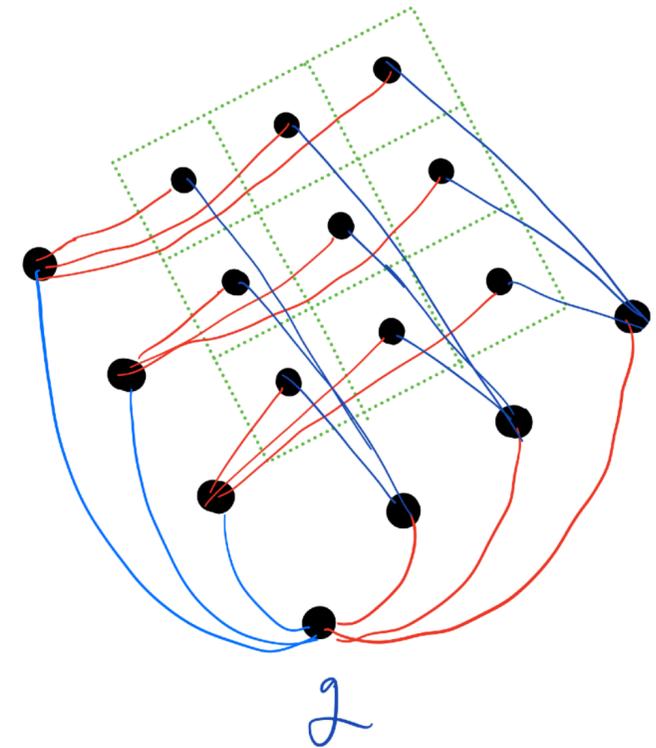
Squares touching the edge  $\{g, gb\}$   
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$$a \mapsto [a, g, b]$$



A vertex  $g$  has  $|A| + |B|$  neighbors

For each  $a \in A, b \in B$  there is one square touching  $g$ ,  
so there is a natural bijection\*  $(a, b) \mapsto [a, g, b]$



\* it is a bijection assuming  $\forall a, b, g, \quad g^{-1}ag \neq b$

# Left-right Cayley Complex

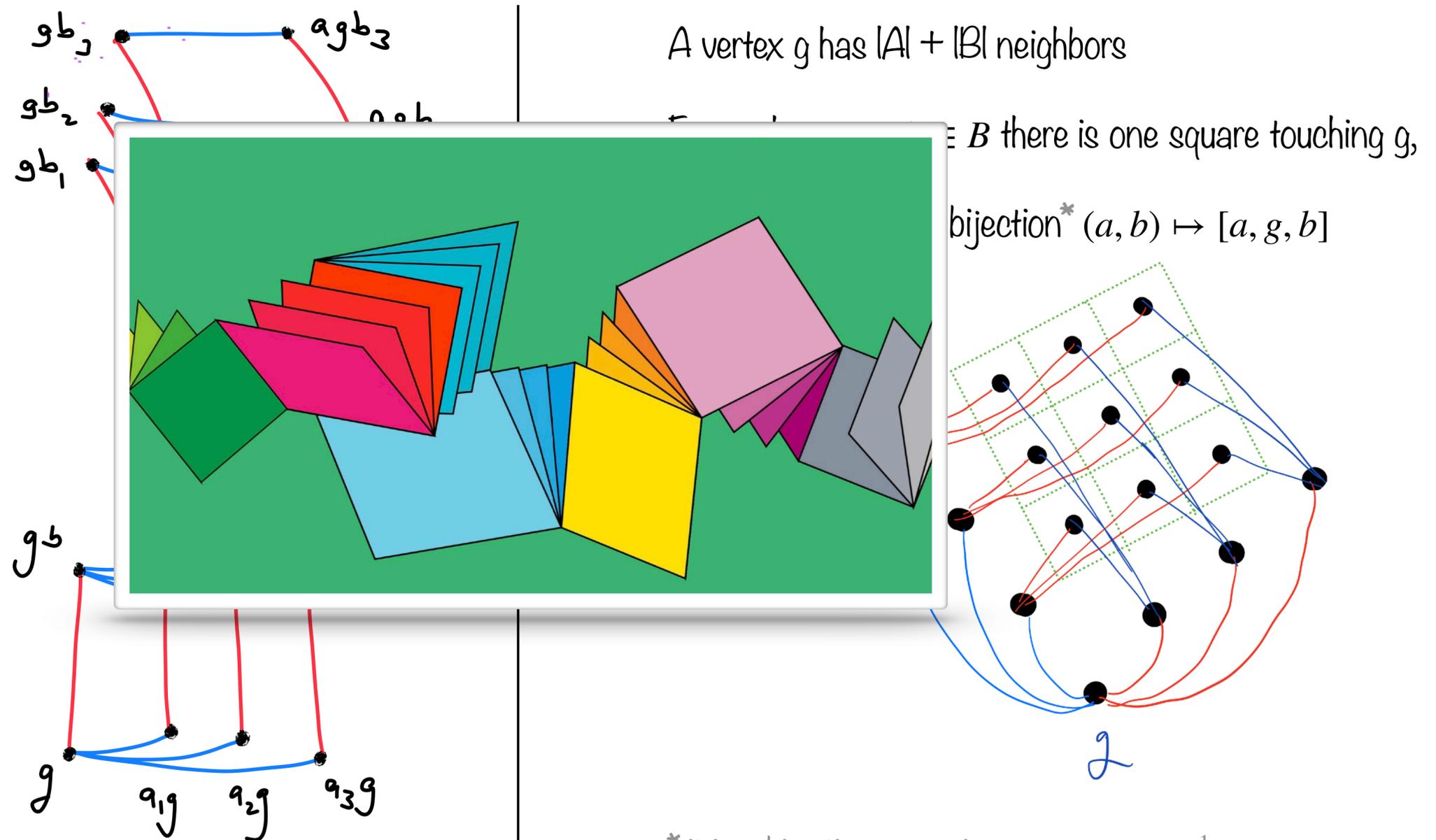
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$$a \mapsto [a, g, b]$$



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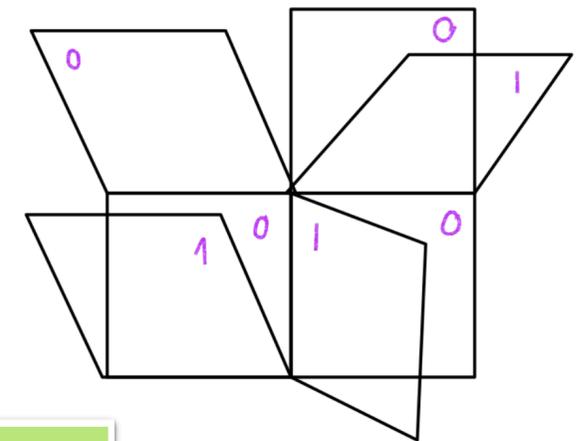
# The Code

Let  $\text{Cay}^2(A, G, B)$  be a left-right Cayley complex.

Fix base codes  $C_A \subseteq \{0,1\}^A$ ,  $C_B \subseteq \{0,1\}^B$  (assuming  $|A| = |B| = d$  we can take one base code  $C_0 \subseteq \{0,1\}^d$  and let  $C_A, C_B \simeq C_0$ )

Define a code  $\text{CODE} = C[G, A, B, C_A, C_B]$ :

- The **codeword bits** are placed on the squares
- Each edge requires that the bits on the squares around it are in the base code



$$\text{CODE} = \{f : \text{Squares} \rightarrow \{0,1\} : \forall a, g, b, \quad f([\cdot, g, b]) \in C_A, f([a, g, \cdot]) \in C_B\}$$

Rate:  $\geq 4r_0 - 3$  [ calc: #squares - #constraints ]

Distance:  $\geq \delta_0^2(\delta_0 - \lambda)$  [easy propagation argument]

# Local views are tensor codes

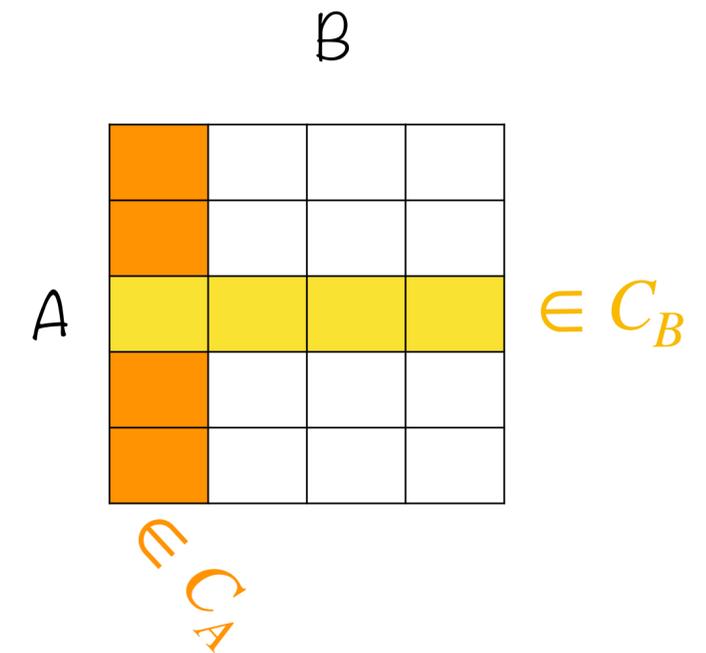
Claim: Fix  $f \in \text{CODE}$ . For each  $g \in G$ ,  $f([\cdot, g, \cdot]) \in C_A \otimes C_B$

Theorem: Assume  $\text{Cay}^2(A, G, B)$  is a  $\lambda$ -expander, and  $C_A \otimes C_B$  is  $\rho$ -robustly testable. If  $\lambda < \delta_0 \rho / 5$ , then  $C[G, A, B, C_A, C_B]$  is locally testable.

The tester is as follows:

1. Select a vertex  $g$  uniformly,
2. Read  $f$  on all  $|A| \cdot |B|$  squares touching  $g$ , namely  $f([\cdot, g, \cdot])$ .
3. Accept iff this belongs to  $C_A \otimes C_B$

Then  $\Pr_{g \in G} [f([\cdot, g, \cdot]) \notin C_A \otimes C_B] \geq \text{const} \cdot \text{dist}(f, C[G, A, B, C_A, C_B])$



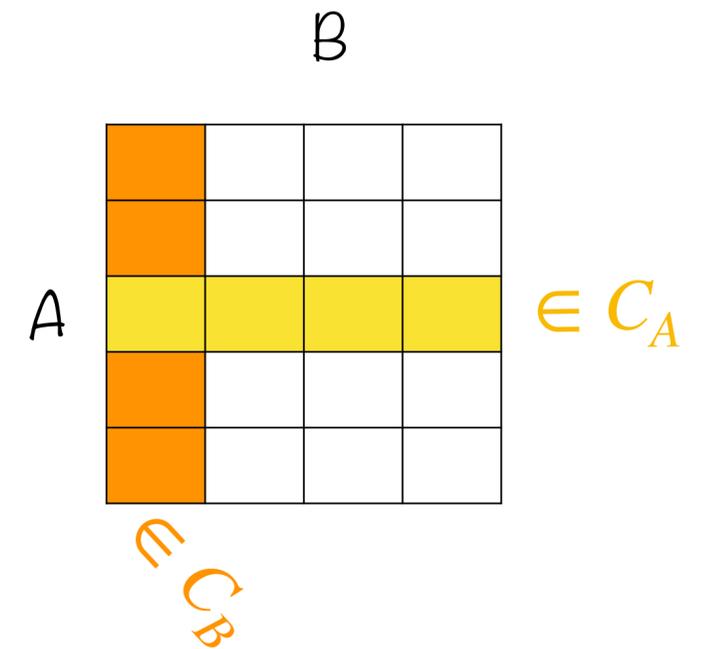
$$\text{CODE} = \{f : \text{Squares} \rightarrow \{0,1\} : \forall a, g, b, \quad f([\cdot, g, b]) \in C_A, f([a, g, \cdot]) \in C_B\}$$

# Robustly-testable tensor codes

Definition [Ben-Sasson-Sudan'05]:  $C_A \otimes C_B$  is  $\rho$ -robustly testable if for all  $w : A \times B \rightarrow \{0,1\}$ ,  $\rho \cdot \text{dist}(w, C_A \otimes C_B) \leq \text{row-distance} + \text{column-distance}$

Row-distance : average distance of each row to  $C_A$

Column-distance : average distance of each column to  $C_B$



Lemma [Ben-Sasson-Sudan'05, Dinur-Sudan-Wigderson2006, Ben-Sasson-Videman2009]:

For every  $r > 0$  there exist base codes with rate  $r$  and constant distance whose tensors are robustly-testable. (Random LDPC codes, LTCs)

# Proof of local-testability

Start with  $f: \text{Squares} \rightarrow \{0,1\}$  and find  $f' \in C$ ,  $\text{dist}(f, f') \cdot \text{const} \leq \text{rej}(f)$

## ALG “self-correct”:

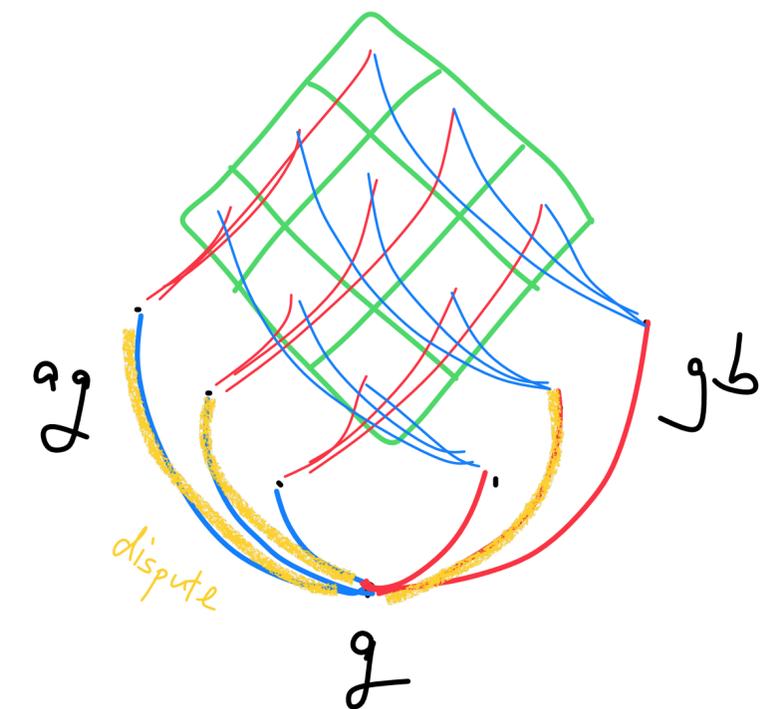
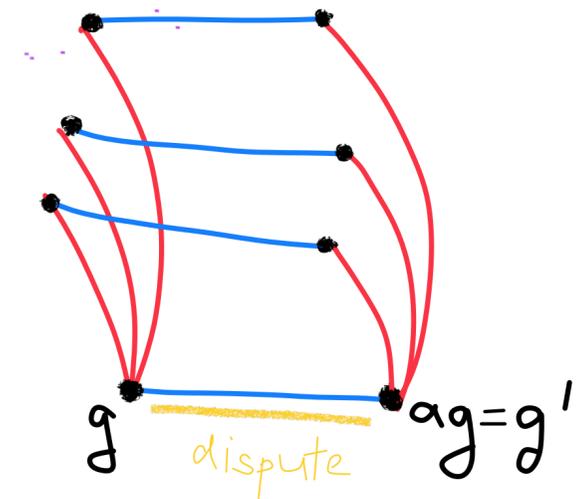
1. Init: Each  $g \in G$  finds  $T_g \in C_A \otimes C_B$  closest to  $f([\cdot, g, \cdot])$   
[ define a progress measure  $\Phi = \# \text{ dispute edges}$  ]
2. Loop: If  $g$  can change  $T_g$  and reduce  $\Phi$  then do it
3. End: If  $\Phi = 0$  let  $f'([a, g, b]) = T_g(a, b)$  and output  $f'$ , otherwise output “stuck”

- $\text{steps} \leq \Phi \approx \text{rej}(f)$
- If output  $f'$  then  $\text{dist}(f, f') \cdot \text{const} \leq \text{rej}(f)$
- If get stuck  $\rightarrow \text{rej}(f) > 0!$  so  $\text{dist}(f, f') \cdot 0.1 \leq \text{rej}(f)$

# Proof of local-testability

If ALG “self-correct” is stuck then  $\text{rej}(f) > 0!$

- If  $g, g'$  are in dispute, there must be many squares on  $\{g, g'\}$  with further dispute edges
- Can try to propagate, but, they all might be clumped around  $g$
- But then  $g$ 's neighbors all agree, so there must have been a better choice for  $T_g$  (using the LTCness of tensor codes)
- Random walk on the edges + expansion  $\implies$  dispute set is large



# Main Result

Theorem: There exist  $r, \delta > 0$  and  $q \in \mathbb{N}$  and an explicit construction of an infinite family of error-correcting codes  $\{C_n\}_n$  with rate  $\geq r$ , distance  $\geq \delta$  and locally testable with  $q$  queries.

Proof: Take

1. Family of base codes  $\{C_d\}_d$  with rate  $> 3/4$  and constant robustness  $\rho$  and distance  $\delta$
2. Set  $\lambda$  small enough wrt  $\delta$  and  $\rho$
3. Choose a family  $\{Cay^2(A_n, G_n, B_n)\}_n$  of  $\lambda$  expanding left-right Cayley complexes, with  $d = |A_n| = |B_n| = O(1/\lambda^2)$
4. Output  $\{C[G_n, A_n, B_n, C_d, C_d]\}_n$

# High dimensional expansion

The idea of using a higher-dimensional complex instead of a graph for LTCs has been circulating a number of years.

HDXs exhibit local-to-global features: *prove something locally and then use expansion to globalize*

[Garland 1973, Kaufman-Kazhdan-Lubotzky2014, Evra-Kaufman2016, Oppenheim2017, D.-Kaufman2017, D.-Harsha-Kaufman-LivniNavon-TaShma2018, Anari-Liu-OveisGharan-Vinzant2019]

Dikstein-D.-Harsha-RonZewi2019 proved that if one defines a code on a HDX using *a base code that itself is an LTC*, (and if there is an agreement-test), then the entire code is an LTC.

Recently also Kaufman-Oppenheim2021 proved a similar “schema”.

How to “instantiate” this? ...we worked on the Lubotzky-Samuels-Vishne complexes (quotients of BT buildings), and have conjectured base codes, but no proof of local LTCness

# Some questions

- Can one construct LTCs on other HDX's such as LSV simplicial complexes?
- Can one construct higher dimensional cubical complexes similarly?
- Can these LTCs be used for constructing PCPs?