

# A Geometric Approach to Conic Stability of Polynomials

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**joint work with Stephan Gardoll and Thorsten Theobald**

- 1 Imaginary Projections of Polynomials
- 2 Connection with hyperbolic polynomials
- 3 Certificate to Conic stability

**Problem:** Is there any relationship between the roots of two polynomials  $f, g$  and the roots of their average  $(f + g)/2$ ?

- in general, no.
- the classical notion of *interlacing* and *common interlacing* polynomials. [here](#)
- The existence of common interlacing is equivalent to some real-rootedness condition.
- interlacing and real-rootedness are entirely univariate notions.
- can be viewed as restrictions of multivariate phenomena.
- Two important generalizations of real-rootedness to more than one variable: **real stability** and **hyperbolicity** (isomorphism).

# Stability and Hyperbolicity

- 1 A polynomial  $f \in \mathbb{C}[\mathbf{z}]$  is called stable if every root  $\mathbf{z} = (z_1, \dots, z_n)$  satisfies  $\operatorname{Im}(z_j) \leq 0$  for some  $j$ .
- 2 A polynomial  $f$  is real stable if it is stable and all of its coefficients are real.
- 3 A univariate polynomial is real stable if and only if it is real rooted.
- 4 A homogeneous  $f \in \mathbb{R}[\mathbf{z}]$  is called hyperbolic w.r.t  $\mathbf{e} \in \mathbb{R}^n$ , if  $f(\mathbf{e}) \neq 0$  and for every  $\mathbf{x} \in \mathbb{R}^n$  the real function  $t \rightarrow f(\mathbf{x} + t\mathbf{e})$  has only real roots.

A polynomial  $f \in \mathbb{R}[\mathbf{z}]$  is real stable



the (unique) homogenization polynomial w.r.t. the variable  $z_0$  is hyperbolic w.r.t. every vector  $\mathbf{e} \in \mathbb{R}^{n+1}$  such that  $e_0 = 0$  and  $e_j > 0$  for all  $1 \leq j \leq n$  (Gårding89)

- 1 J. Borcea and P. Brändén,. Applications of stable polynomials to mixed determinants: Johnson's conjectures, unimodality, and symmetrized Fischer products. *Duke Mathematical Journal*,
- 2 J. Borcea and P. Brändén,. The Lee–Yang and Pólya–Schur programs, I. Linear operators preserving stability. *Invent. Math.*,
- 3 J. Borcea and P. Brändén,. Multivariate Pólya–Schur classification problems in the Weyl algebra. *Proc. London Mathematical Society*,
- 4 L. Gårding. Linear hyperbolic partial differential equations with constant coefficients. *Acta Mathematica*,
- 5 J. Renegar. Hyperbolic programs, and their derivative relaxations. *Foundations of Computational Mathematics*,
- 6 Gurvits: Simple proof of a generalization of van der Waerden's Conjecture, *Electron. J. Comb.* 2008
- 7 Marcus, Spielman, Srivastava: Proof of Kadison–Singer Conjecture, *Ann. Math.* 2015
- 8 Marcus, Spielman, Srivastava: Existence of Ramanujan graphs, *Ann. Math.* 2015, *FOCS* 2013

## Stable Polynomials

A polynomial  $f \in \mathbb{C}[\mathbf{z}]$  is called **stable** provided whenever  $\operatorname{Im}(\mathbf{z}) = (\operatorname{Im}(z_1), \dots, \operatorname{Im}(z_n)) > 0$ ,  $\operatorname{Im}(z_j) > 0$  for all  $j$ ,  $f(z_1, \dots, z_n) \neq 0$ .

Let  $\mathcal{H}_{\mathbb{C}}^n$  denotes the set  $\{\mathbf{z} \in \mathbb{C}^n : \operatorname{Im}(z_j) > 0, 1 \leq j \leq n\}$ .

$f$  is **stable** if it has no roots in  $\mathcal{H}_{\mathbb{C}}^n$ .

Note that  $\operatorname{Im}(\mathcal{H}_{\mathbb{C}}^n) =: \mathbb{R}_{>0}^n$  is the positive orthant.

$f$  is **stable** if and only if  $\{\operatorname{Im}(\mathbf{z}) = (\operatorname{Im}(z_1), \dots, \operatorname{Im}(z_n)) : f(\mathbf{z}) = 0\} \cap (\mathbb{R}_{>0})^n = \emptyset$   
[Jürgens, Theobald, Wolff].

**Question:** Can this idea be generalized?

- 1 The cone
- 2 the imaginary projection of a polynomial?

# Geometric Notion: Imaginary projections of polynomials

## Definition

Given a polynomial  $f \in \mathbb{C}[z]$ , define  $\mathcal{I}(f) = \{\text{Im}(z) : z \in \mathcal{V}(f)\}$ .

We call  $\mathcal{I}(f)$  the imaginary projection of  $f$ .

The underlying projection is

$$\text{Im} : \mathbb{R}^{2n} \rightarrow \mathbb{R}^n, (x_1, \dots, x_n, y_1, \dots, y_n) \mapsto (y_1, \dots, y_n), \text{ for } z_j = x_j + iy_j \quad (1)$$

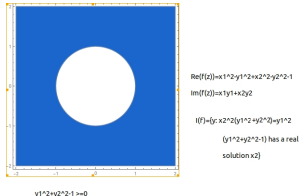
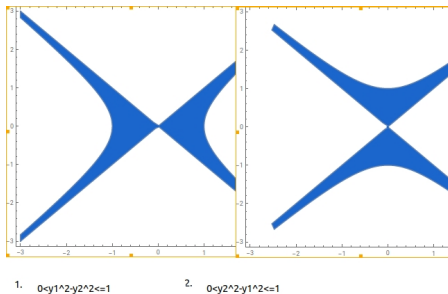


Figure: Imaginary Projections of  $f(z_1, z_2) = z_1^2 + z_2^2 + 1$

## Pictures



**Figure:** Imaginary Projections of  $f(z_1, z_2) = z_1^2 - z_2^2 - 1$  and  $f(z_1, z_2) = -z_1^2 + z_2^2 - 1$



# Properties of the Imaginary projection

- $\mathcal{I}(f)$  is a semialgebraic set as it is the projection of a real algebraic variety.
- It is not always closed.
- For  $n \geq 2$ , it is always unbounded.
- If  $f$  is irreducible, then  $\mathcal{I}(f)$  is connected since the map (1) is continuous.
- Components of the complement are convex and finite in number [Jürgens, Theobald, Wolff]

## Motivation:

- $\mathcal{V}(f) \rightarrow \mathbb{R}^n, \mathbf{z} \mapsto (|z_1|, \dots, |z_n|)$ , (known as semialgebraic amoeba)

## Definition

- The amoeba

$$A(f) = \{(\log |z_1|, \dots, \log |z_n|) : \mathbf{z} \in \mathcal{V}(f) \cap (\mathbb{C}^*)^n\},$$

- the coamoeba

$$\text{co}A(f) = \{(\arg(z_1), \dots, \arg(z_n)) : \mathbf{z} \in \mathcal{V}(f) \cap (\mathbb{C}^*)^n\},$$

- $\mathcal{V}(f) \rightarrow \mathbb{R}^n, \mathbf{z} \mapsto \text{Im}(\mathbf{z})$  or  $\mathbf{z} \mapsto \text{Re}(\mathbf{z})$

# Conic Stable polynomials

## Definition

Let  $K \subseteq \mathbb{R}^n$  be a proper cone. A multivariate polynomial  $f \in \mathbb{C}[\mathbf{z}] = \mathbb{C}[z_1, \dots, z_n]$  is called  **$K$ -stable** if  $\mathcal{I}(f) \cap \text{Int } K = \emptyset$ , where  $\text{Int } K$  is the interior of  $K$ .

$f$  is **stable** if and only if  $\mathcal{I}(f) \cap (\mathbb{R}_{>0})^n = \emptyset$ ,  $K$  is the non-negative orthant.

## Examples: PSD stable and Determinantal polynomials

If  $f \in \mathbb{R}[Z]$  on the symmetric matrix variables  $Z = (z_{ij})_{n \times n}$  is  $S_n^+$ -stable, then  $f$  is called positive semidefinite-stable (for short, psd-stable).

- Psd-stability of  $f \in \mathbb{C}(Z)$  can be viewed as stability w.r.t the Siegel upper half-space

$$\mathcal{H}_g = \{A \in \mathbb{C}^{g \times g} \text{ symmetric} : \text{Im}(A) = (\text{Im}(a_{ij}))_{g \times g} \text{ is positive definite}\}$$

- The determinantal polynomial  $f(\mathbf{z}) = \det(A_0 + \sum_{j=1}^n A_j z_j)$  is real stable or the zero polynomial where  $A_j$ 's are positive semidefinite  $d \times d$ -matrices and  $A_0$  is a Hermitian  $d \times d$ -matrix [Borcea, Brändén].

## Relationship

**Question:** The class of stable polynomials  $\underbrace{\subseteq}_{?}$  the class of psd stable polynomials

Not all **stable** polynomials are **psd-stable**

- The determinantal polynomial

$$f(z_1, z_2, z_3) = (z_1 + z_3)^2 - z_2^2 = (z_1 + z_3 - z_2)(z_1 + z_3 + z_2)$$

is not stable, because  $(1, 2, 1) \in \mathcal{I}(f) \cap \mathbb{R}_{>0}^3$ .

- In the matrix variables  $Z = \begin{bmatrix} z_1 & z_2 \\ z_2 & z_3 \end{bmatrix}$ , the polynomial  $f(Z) = f(z_1, z_2, z_3)$  is psd-stable.

Not all **determinantal** polynomials are **psd-stable**

## Example

A non psd-stable determinantal polynomial is the determinant of the spectrahedral

representation of the open Lorentz cone  $g(\mathbf{z}) = \det \begin{pmatrix} z_1 + z_3 & z_2 \\ z_2 & z_1 - z_3 \end{pmatrix} = z_1^2 - z_2^2 - z_3^2$ .

# Imaginary Projections and Hyperbolic polynomials

## Definition

Let  $f \in \mathbb{R}[\mathbf{z}]$  be homogeneous. Then  $f$  is called **hyperbolic** w.r.t  $\mathbf{e} \in \mathbb{R}^n$ , if  $f(\mathbf{e}) \neq 0$  and for every  $\mathbf{x} \in \mathbb{R}^n$  the real function  $t \mapsto f(\mathbf{x} + t\mathbf{e})$  has only real roots.

## Definition

If  $f$  is hyperbolic w.r.t  $\mathbf{e} \in \mathbb{R}^n$ , we call  $C(f, \mathbf{e}) := \{\mathbf{x} \in \mathbb{R}^n : f(\mathbf{x} + t\mathbf{e}) = 0 \Rightarrow t < 0\}$  the hyperbolicity cone of  $f$  with respect to  $\mathbf{e}$ .

- $C(f, \mathbf{e})$  is open and convex (Gårding, 1959).
- $f$  is hyperbolic to every point  $\mathbf{e}'$  in its hyperbolicity cone and  $C(f, \mathbf{e}) = C(f, \mathbf{e}')$ .

## Theorem: Jörgens-Theobald

Let  $f \in \mathbb{R}[\mathbf{z}]$  be homogeneous. Then the hyperbolicity cones of  $f$  coincide with the complement components of  $\mathcal{I}(f)$ .

## Connection: Hyperbolic Polynomials

A hyperbolic polynomial  $f$  w.r.t  $\mathbf{e}$  is  $\text{cl}(C(f, \mathbf{e}))$ -stable.

The FAE:

- ① A hyperbolic polynomial  $f \in \mathbb{R}[\mathbf{z}]$  is  $K$ -stable
- ②  $f$  is hyperbolic w.r.t every point in  $\text{int } K$
- ③  $\text{Int } K \subseteq C(f, \mathbf{e})$  for some hyperbolicity direction  $\mathbf{e}$  of  $f$ .
- The initial form of  $f$ , denoted by  $\text{in}(f)$ , is defined as  $\text{in}(f)(\mathbf{z}) = f_h(0, \mathbf{z})$ , where  $f_h$  is the homogenization of  $f$  w.r.t. the variable  $z_0$ .

Theorem:[Dey, Gardoll, Thoe bald]

If a degree  $d$  polynomial  $f = \det(A_0 + \sum_{j=1}^n z_j A_j)$  where  $A_j, j = 0, \dots, n$  are Hermitian matrices, and there exists an  $\mathbf{e} \in \mathbb{R}^n$  with  $\sum_{j=1}^n A_j e_j > 0$ , then

- ①  $\text{in}(f)$  is hyperbolic and
- ② every hyperbolicity cone of  $\text{in}(f)$  is contained in  $\mathcal{I}(f)^c$ .

## Idea of the proof

- Since  $f$  is of degree  $d$ ,  $\text{in}(f) = \det(\sum_{j=1}^n A_j z_j)$ .
- The initial form  $\text{in}(f)$  has exactly the two hyperbolicity cones  
 $C_1 = \{\mathbf{x} \in \mathbb{R}^n : \sum_{j=1}^n A_j x_j \succ 0\}$  and  $C_2 = \{\mathbf{x} \in \mathbb{R}^n : \sum_{j=1}^n A_j x_j \prec 0\}$  [Mario19].
- Show that  $C_1 \subseteq \mathcal{I}(f)^c$ . Suppose  $\mathbf{e} \in C_1$ .
- For every  $\mathbf{x} \in \mathbb{R}^n$ , we have

$$f(\mathbf{x} + t\mathbf{e}) = \det\left(A_0 + \sum_{j=1}^n A_j x_j + t \sum_{j=1}^n A_j e_j\right).$$

- Since  $\sum_{j=1}^n A_j e_j \succ 0$ , we obtain

$$f(\mathbf{x} + t\mathbf{e}) = \det\left(\sum_{j=1}^n A_j e_j\right) \det\left(\left(\sum_{j=1}^n A_j e_j\right)^{-1/2} \left(A_0 + \sum_{j=1}^n A_j x_j\right) \left(\sum_{j=1}^n A_j e_j\right)^{-1/2} + tI\right).$$

- There cannot be a non-real vector  $\mathbf{a} + i\mathbf{e}$  s.t.  $f(\mathbf{a} + i\mathbf{e}) = 0$ .
- $\mathbf{e} \in \mathcal{I}(f)^c$ .

# Quadratic Polynomials

## Known Classification

Every real quadric in  $\mathbb{R}^n$  is affinely equivalent to a quadric given by one of the three (normal form) types,

$$\begin{aligned}
 \text{(I)} \quad & \sum_{j=1}^p z_j^2 - \sum_{j=p+1}^r z_j^2 && (1 \leq p \leq r, r \geq 1, p \geq \frac{r}{2}), \\
 \text{(II)} \quad & \sum_{j=1}^p z_j^2 - \sum_{j=p+1}^r z_j^2 + 1 && (0 \leq p \leq r, r \geq 1), \\
 \text{(III)} \quad & \sum_{j=1}^p z_j^2 - \sum_{j=p+1}^r z_j^2 + z_{r+1} && (1 \leq p \leq r, r \geq 1, p \geq \frac{r}{2}).
 \end{aligned}$$

- Let  $f \in \mathbb{R}[\mathbf{z}]$  be a quadratic polynomial of the form

$$f = \mathbf{z}^T A \mathbf{z} + \mathbf{b}^T \mathbf{z} + c \quad (2)$$

with  $A \in \mathbf{sym}_n$ ,  $\mathbf{b} \in \mathbb{R}^n$  and  $c \in \mathbb{R}$ .

- It is well known that a non-degenerate quadratic form  $f \in \mathbb{R}[\mathbf{z}]$  is hyperbolic if and only if  $A$  has signature  $(n-1, 1)$  [Gårding59]
- There are two unbounded components in the complement  $\mathcal{I}(f)^c$  [Jürgens, Theobald].

## Homogeneous and non-homogeneous

Homogeneous

$$f = \mathbf{z}^T A \mathbf{z}$$

$f$  is of type (I) with  $r = 1$   
 $-A$  has Lorentzian signature  $(n - 1, 1)$

$$\mathcal{I}(f) = \{\mathbf{y} \in \mathbb{R}^n : \mathbf{y}^T A \mathbf{y} < 0\}$$

Hyperbolicity cone is Lorentz cone [here](#)

Non-homogeneous

$$f = \mathbf{z}^T A \mathbf{z} + \mathbf{b}^T \mathbf{z} + c$$

$f$  is of type (II) with  $p = 1$  (sub-case I) and  
 $f$  is of type (II) with  $p = n - 1$  (sub-case II)

$$\mathcal{I}(f) = \begin{cases} \{\mathbf{y} \in \mathbb{R}^n : y_1^2 - \sum_{j=2}^r y_j^2 \leq 1\}, p = 1, \\ \{\mathbf{y} \in \mathbb{R}^n : \sum_{j=1}^{n-1} y_j^2 > y_n^2\} \cup \{\mathbf{0}\}, p = n \end{cases}$$

$p = 1$ , no suitable connected components  
 $p = n - 1$ ,  $\text{Int } S \subset C(\text{in}(f))$  for every full dimensional cone  $S$ .



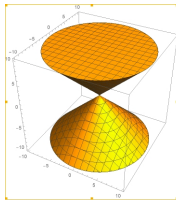


Figure: Lorentz cone:  $(y_1, y_2, y_3) = y_3^2 - y_1^2 - y_2^2 > 0$

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## Spectrahedral Representation: Quadratic Polynomials

Hyperbolicity cones are spectrahedral

## Theorem

Let  $n \geq 3$  and  $f = \mathbf{z}^T A \mathbf{z} + \mathbf{b}^T \mathbf{z} + c \in \mathbb{R}[\mathbf{z}]$  be quadratic of the form of type (II) with  $p = n - 1$ . Then there exists a linear form  $\ell(\mathbf{z})$  in  $\mathbf{z}$  such that  $-\ell(\mathbf{z})^{n-2} \text{in}(f)$  has a determinantal representation. In particular, the closure of each unbounded component of  $\mathcal{I}(f)^c$  is a spectrahedral cone.

## Computational Algorithm

- $-A$  has Lorentzian signature.
- Find normal form of  $\text{in}(f) = \mathbf{z}^T A \mathbf{z}$ , i.e.,  $\text{in}(f)(\mathbf{z}) = \text{in}(g)(T\mathbf{z})$  where
  - $g = \sum_{j=1}^{n-1} z_j^2 - z_n^2 + 1$
  - $A = LDL^T$ ,  $D = \text{Diag}(d_1, \dots, d_{n-1}, d_n)$  such that  $d_1, \dots, d_{n-1} > 0$  and  $d_n < 0$  and  $T = \text{Diag}(\sqrt{|d_1|}, \dots, \sqrt{|d_{n-1}|}, \sqrt{|d_n|}) \cdot L^T$ .
- Let  $g \in \mathbb{C}[\mathbf{z}]$  and  $S \in \mathbb{R}^{n \times n}$  be an invertible matrix. Then,  $\mathcal{I}(g(S\mathbf{z})) = S^{-1} \mathcal{I}(g(\mathbf{z}))$ .

## Computational Algorithm: continuation

- $\mathcal{I}(g)^c$  has the two unbounded conic components
- These are the open Lorentz cone and its negative.
- Their closures are exactly the closures of the hyperbolicity cones of the initial form  $\text{in}(g)$  of  $g$ .
- Open Lorentz cone has the spectrahedral representation

$$L(\mathbf{z}) := \left( \begin{array}{ccc|c} & & & z_1 \\ & & & \vdots \\ & z_n I & & \\ \hline & & & z_{n-1} \\ z_1 & \cdots & z_{n-1} & z_n \end{array} \right) \succcurlyeq 0,$$

- Note that  $z_n^{n-2} \text{in}(g) = -\det(L(\mathbf{z}))$
- $(T\mathbf{z})_n$  provides  $\ell(\mathbf{z})$ .
- $-\det F(\mathbf{z}) = ((T\mathbf{z})_n)^{n-2} \text{in}(f)$

# Key Idea: Spectrahedral Representations

The cone  $K$  and the conic components of  $\mathcal{I}(f)^c$  are spectrahedral, conic stability turns into a problem of spectrahedral containment.

Why? and How?  $\text{int } K \subseteq C(\text{in}(f))$

**Usual stability:**  $K$  non-negative orthant, is the positive semidefiniteness region of the linear matrix pencil

$$M^{\geq 0}(\mathbf{x}) = \sum_{j=1}^n M_j^{\geq 0} x_j$$

with  $M_j^{\geq 0} = E_{ij}$ , where  $E_{ij}$  is the matrix with a one in position  $(i, j)$  and zeros elsewhere.

**PSD-stability:**  $K$  is the cone of psd matrices. The matrix pencil is

$$M^{\text{psd}}(X) = \sum_{i,j=1}^n M_{ij}^{\text{psd}} x_{ij}$$

with symmetric matrix variables  $X = (x_{ij})$  and  $M_{ij}^{\text{psd}} = \frac{1}{2}(E_{ij} + E_{ji}) = \frac{1}{2}(e_i e_j^T + e_j e_i^T)$

# Positive maps

## Set-Up

- Let  $U(\mathbf{x}) = \sum_{j=1}^n U_j x_j$  and  $V(\mathbf{x}) = \sum_{j=1}^n V_j x_j$
- The spectrahedra  $S_U := \{x \in \mathbb{R}^n : U(\mathbf{x}) \succeq 0\}$ , and  $S_V := \{x \in \mathbb{R}^n : V(\mathbf{x}) \succeq 0\}$  are cones.
- Let  $\mathcal{U} = \text{span}(U_1, \dots, U_n) \subseteq \text{Herm}_k$  (or  $\text{sym}_k$ ) and  $\mathcal{V} = \text{span}(V_1, \dots, V_n) \subseteq \text{Herm}_k$  (or  $\text{sym}_l$ ).
- If  $U_1, \dots, U_n$  are linearly independent, then the linear mapping  $\Phi_{UV} : \mathcal{U} \rightarrow \mathcal{V}$ ,  $\Phi_{UV}(U_i) := V_i$ ,  $1 \leq i \leq n$ , is well defined.
- A linear map  $\Phi : \mathcal{U} \rightarrow \mathcal{V}$  is called *positive* if  $\Phi(U) \succeq 0$  for any  $U \in \mathcal{U}$  with  $U \succeq 0$  for given two linear subspaces  $\mathcal{U} \subseteq \text{Herm}_k$  and  $\mathcal{V} \subseteq \text{Herm}_l$  (or  $\mathcal{U} \subseteq \mathcal{S}_k$  and  $\mathcal{V} \subseteq \mathcal{S}_l$ ).
- The *d-multiplicity map*  $\Phi_d$  on the set of all Hermitian  $d \times d$  block matrices with symmetric  $n \times n$ -matrix entries is defined by

$$(A_{ij})_{i,j=1}^d \mapsto (\Phi(A_{ij}))_{i,j=1}^d.$$

- The map  $\Phi$  is called *d-positive* if the *d-multiplicity map*  $\Phi_d$  (viewed as a map on a Hermitian matrix space) is a positive map.
- $\Phi$  is called *completely positive* if  $\Phi_d$  is a positive map for all  $d \geq 1$ .

# Spectrahedral Containment

Let  $U_1, \dots, U_n \subset \text{Herm}_k$  (or,  $U_1, \dots, U_n \subset \text{sym}_k$ , respectively) be linearly independent and  $S_U \neq \emptyset$ . Then for the properties

- ① the semidefinite feasibility problem

$$C = (C_{ij})_{i,j=1}^k \succeq 0 \text{ and } V_p = \sum_{i,j=1}^k (U_p)_{ij} C_{ij} \text{ for } p = 1, \dots, n \quad (3)$$

has a solution with Hermitian (respectively symmetric) matrix  $C$ ,

- ②  $\Phi_{UV}$  is completely positive,
- ③  $\Phi_{UV}$  is positive,
- ④  $S_U \subseteq S_V$  (*containment problem for spectrahedra*),

the implications and equivalences  $(1) \implies (2) \implies (3) \iff (4)$  hold, and if  $\mathcal{U}$  contains a positive definite matrix,  $(1) \iff (2)$ .

# Determinantal polynomials

## Main Result

Let  $f = \det(A_0 + \sum_{j=1}^n A_j z_j)$  with Hermitian matrices  $A_0, \dots, A_n$  be a degree  $d$  determinantal polynomial such that

- $\text{in}(f)$  is irreducible and
- there exists  $\mathbf{e} \in \mathbb{R}^n$  with  $\sum_{j=1}^n A_j e_j \succ 0$ .

Let  $M(\mathbf{x}) = \sum_{j=1}^n M_j x_j$  with symmetric  $l \times l$ -matrices be a pencil of the cone  $K$ . If there exists a Hermitian block matrix  $C = (C_{ij})_{i,j=1}^l$  with blocks  $C_{ij}$  of size  $d \times d$  and

$$C = (C_{ij})_{i,j=1}^l \succeq 0, \quad \forall p = 1, \dots, n : \sigma A_p = \sum_{i,j=1}^l (M_p)_{ij} C_{ij} \quad (4)$$

for some  $\sigma \in \{-1, 1\}$ , then  $f$  is  $K$ -stable.

**Idea:**

$$A^h(\mathbf{x}) = (I \cdots I)(M(\mathbf{x}) * C) \begin{pmatrix} I \\ \vdots \\ I \end{pmatrix}$$

Deciding whether such a block matrix  $C$  exists is a semidefinite feasibility problem.

# Borcea-Brändén stability criterion

Revisit: the stability criterion for a determinantal polynomial.

- View Choi matrix  $C$  as a block diagonal matrix  $C = (C_{ij})_{i=1}^l$  with diagonal blocks  $C_{ii}$  of size  $d \times d$  and vanishing non-diagonal blocks  $C_{ij}$  ( $i \neq j$ ).
- such that

$$A_p = C_{pp} \quad \text{for } p = 1, \dots, n,$$

- stability criterion in main Theorem is satisfied if and only if the matrices  $A_1, \dots, A_n$  are positive semidefinite

The determinantal polynomial  $f(\mathbf{z}) = \det(A_0 + \sum_{j=1}^n A_j z_j)$  is real stable or the zero polynomial if and only if the matrices  $A_1, \dots, A_n$  are positive semidefinite.



# Example

- Let  $g(z_1, z_2, z_3) := 31z_1^2 + 32z_1z_3 + 8z_3^2 - 8z_1z_2 - 16z_2^2$ .
- A determinantal representation of  $g$  is given by  $\det \begin{pmatrix} 4z_1 + 2z_3 & z_1 + 4z_2 \\ z_1 + 4z_2 & 8z_1 + 4z_3 \end{pmatrix}$ , and
- at  $\mathbf{z} = (0, 0, 1)^T$ , the matrix polynomial is positive definite.
- Let  $M(\mathbf{x})$  denote the linear matrix pencil of the psd cone  $\text{sym}_2^+$ .
- Then the psd-stability of  $g$  follows from the above Theorem
- by the Choi matrix

$$C = \begin{pmatrix} 4 & 1 & 0 & 2 \\ 1 & 8 & 2 & 0 \\ 0 & 2 & 2 & 0 \\ 2 & 0 & 0 & 4 \end{pmatrix} \succeq 0.$$

# Open problems

- Characterization (includes certification)
- Closure property: operations which preserve conic stability)
- Connection with log-concave (Lorentzian ) polynomials
- generalize *Hyperbolic programming*?

Thank You for your attention!

## Definition

Let  $f$  be a degree  $n$  polynomial with real roots  $\{\alpha_i\}$ , and let  $g$  be degree  $n$  or  $n - 1$  with real roots  $\{\beta_i\}$  (ignoring  $\beta_n$  in the degree  $n - 1$  case). We say that  $g$  interlaces  $f$  if their roots alternate, i.e.,

$$\beta_n \leq \alpha_n \leq \beta_{n-1} \leq \dots \beta_1 \leq \alpha_1,$$

and the largest root belongs to  $f$ .

If there is a single  $g$  which interlaces a family of polynomials  $f_1, \dots, f_m$ , we say that they have a common interlacing. Back to there

## Theorem

Let  $f_1, \dots, f_m$  be degree  $n$  polynomials. All of their convex combinations  $\sum_{i=1}^m \mu_i f_i$  have real roots if and only if they have a common interlacing.

- For example,  $f \ll g$ , if the univariate polynomials  $f(x + te)$ ,  $g(x + te)$  are in proper position for all  $\mathbf{x} \in \mathbb{R}^n$ ,  $\mathbf{e} \in \mathbb{R}_{\geq 0}^n \setminus \{0\}$ .