Forcing Trust: Nonlocal Games and Untrusted-Device Cryptography Carl A. Miller University of Michigan / Simons Institute

Based on "Robust protocols for expanding randomness and distributing keys using untrusted devices" by Carl Miller and Yaoyun Shi (arXiv:1402.0489)





Outline

- 1. Background
- 2. Proof Techniques
 - a. Forcing Trusted Measurements.
 - b. Verifying Randomness from an Unknown State.
- 3. Application: The work of Chung-Shi-Wu `14.
- 4. Further Directions.





Background

Classical Alice dreams of generating *true* randomness.



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Alice flips a coin a few times to generate a seed.

She plays a nonlocal game repeatedly with the boxes. If they behave superclassically, she assumes their outputs are random.





She then applies a classical randomness extractor.

Randomness expansion!

Can we prove that this works?





Randomness Expansion

There are multiple results [Pironio+.'10, Pironio-Massar'13, Fehr+'13, Coudron+'13] proving security against an **unentangled** adversary. (Rates -> exponential.)



Randomness Expansion

The only security result that is both fully secure and exponentially expanding is [Vazirani-Vidick `12]. The next frontier: **Robustness**!



The Results of Miller-Shi '14

An exponential randomness expansion protocol with full quantum security, and multiple new features:

Robustness. (Tolerates constant noise.)
Cryptographic security.



The Results

An exponential randomness expa protocol with full quantum securi multiple new features:

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Cryptographic security.

To be cryptographically secure, i.e. usable for cryptographic applications, the error term must be O(N^{-k}) for all k, where N is the number of rounds.

The significance of this feature was first pointed out by Chung & Wu.

The Results of Miller-Shi '14

An exponential randomness expansion protocol with full quantum security, and multiple new features:

- **Robustness.** (Tolerates constant noise.)
- Cryptographic security.
- **Constant quantum memory.** (1 qubit/component.)
- ✓ Large class of games allowed.

0	0
0	0
1	1
0	0
0	0
0	0
0 0 1 0 0 0 0 0	0 0 1 0 0 0 0 0
0	0
	+
L .	
1	0
1 1 0 1	0 1 1 1
0	1
1	1

Applications of Miller-Shi '14

✓ QKD with a poly-logarithmic seed.

With Chung-Shi-Wu `14:

✓ A method for unbounded expansion from a constant number of devices. (The first such expansion was proved by Coudron & Yuen – next talk!)

✓ Unbounded expansion from a single arbitrary minentropy source.



Proof Techniques

Reconsidering The Problem

Idea: It is too difficult to handle the variations in the state & measurements at the same time. Therefore, we need to find a way to handle them separately.



Forcing Trusted Measurements

A Randomness Expansion Protocol

(From Coudron, Vidick, and Yuen 2013, variation of Vazirani-Vidick 2012.)

On input "1" ("game round") the classical controllers play the CHSH game. (Uses 2 bits of randomness.)

On input "o" ("generation round") they simply give inputs (o,o) to the devices and record the first device's output.

After N iterations, if the average failure rate (over all game rounds) is above a certain threshold, the protocol **aborts**. Otherwise it **succeeds**.

A Closer Look

What happens in a single round?

Write the measurements performed by the two quantum devices as

$$\left\{\frac{1+M_i}{2}, \frac{1-M_i}{2}\right\}$$
 and $\left\{\frac{1+N_i}{2}, \frac{1-N_i}{2}\right\}$

(where *i* denotes input).

After an appropriate basis choice,

with $|x_i| = 1$. (Similar exp's hold for N_i, w/ parameters y_k.)

A Closer Look

This simulates the behavior of a *one-part* binary device ...



A Closer Look

This simulates the behavior of a *one-part* binary device ... whose measurements are

$$\left\{\frac{I+A_0}{2}, \frac{I-A_0}{2}\right\}$$
 and $\left\{\frac{I+A_1}{2}, \frac{I-A_1}{2}\right\}$

where A_0 , A_1 consist of blocks of the form

$$A_0^{jk} = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

 $A_{1}^{jk} = \begin{pmatrix} \frac{1}{4} \end{pmatrix} \begin{bmatrix} 0 & 0 & 0 & 1 + x_{j} + y_{k} - x_{j}y_{k} \\ 0 & 0 & 1 + x_{j} + \overline{y_{k}} - x_{j}\overline{y_{k}} & 0 \\ 0 & 1 + \overline{x_{j}} + y_{k} - \overline{x_{j}}y_{k} & 0 & 0 \\ 1 + \overline{x_{j}} + \overline{y_{k}} - \overline{x_{j}}y_{k} & 0 & 0 \end{bmatrix}$

Simulation

Theorem: The measurement A₁ can always be decomposed

$$A_1 = \lambda T + \left(\frac{\sqrt{2}}{2} - \lambda\right) U$$

as

where ||U||, $||T|| \le 1$, $TA_o = -A_oT$, and $\lambda > 0$ is a fixed constant.

In other words, this is a **partially trusted measurement device.** On input 1, it does one of the following:

* Performs an anti-commuting measurement. (Prob λ .) * Performs an unknown measurement. (Prob. $\sqrt{2}/2 - \lambda$) * Outputs a random coin flip. (Prob. $1 - \sqrt{2}/2$.) (Question: What's the largest possible constant λ ?)

Simulation

Conclusion: Untrusted devices simulate partially trusted measurement devices!



Randomness from an Unknown State

Alice trusts her measurements (they anti-commute), but not her state.



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Alice trusts her measurements (they anti-commute), but not her state. Alice uses coin flips to choose inputs to the device. If the device ever produces a "1," Alice flips a coin and adds the result (heads/tails) straight to the output.



An Uncertainty Principle

Proposition. There is a constant K > 0 such that the following holds. Let (A, E) be an entangled system, let $\rho = \rho_E$, and let $\rho_0, \rho_1, \rho_+, \rho_-$ denote states of *E* arising from anti-commuting measurements on *A*. Then,

$$\frac{\mathrm{Tr}[\rho_{+}^{2}+\rho_{-}^{2}+\rho_{0}^{2}+\left(\frac{1}{2}\right)\rho_{1}^{2}]}{\mathrm{Tr}[\rho^{2}]} \leq 2^{1-K}$$



Assume (for simplicity) that Charlie's reduced state is **completely mixed**. Then the uncertainty principle implies that this protocol produces \geq (1+K) bits per round.

And it uses (1+F) bits per round, where F is the "failure rate." Provided F < K, we have randomness expansion!



That's linear expansion. How can we get **exponential**?





That's linear expansion. How can we get **exponential**? We can give Alice's coins a biased (1-q,q) distribution, with q -> o. (Following Coudron-Vidick-Yuen, Vazirani-Vidick.) But then Tr [ρ^2] is no longer a good measure of randomness—the constant K will tend to zero as q -> o.



Proposition. Let ρ_0 , ρ_1 , ρ_+ , ρ_- denote states arising from anti-commuting measurements. Then,

$$\frac{\text{Tr}[\rho_+^2 + \rho_-^2 + \rho_0^2 + \left(\frac{1}{2}\right)\rho_1^2]}{\text{Tr}[\rho^2]} \le 2^{1-K}.$$

where K > 0 is a constant.



Linear

robust randomness expansion is possible with trusted measurements

against an adversary whose reduced state is completely mixed.

Proposition. Let ρ_0 , ρ_1 , ρ_+ , ρ_- denote states arising from anti-commuting measurements. Then,

$$\frac{\text{Tr}[(1-q)\rho_{+}^{1+q} + (1-q)\rho_{-}^{1+q} + q\rho_{0}^{1+q} + (q/2)\rho_{1}^{1+q}]^{1/q}}{\text{Tr}[\rho^{1+q}]^{1/q}} \leq 2^{-K(q)}.$$

where $\lim_{q \to 0} K(q) > 0.$



Linear

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The

Proposition. Let ρ_0 , ρ_1 , ρ_+ , ρ_- denote measurements. Then, for any density op

 $\frac{\text{Tr}[(1-q)\gamma_{+}^{1+q} + (1-q)\gamma_{-}^{1+q} + q\gamma_{0}^{1+q}}{\text{Tr}[\gamma_{-}^{1+q}]^{1/r}}$

Based on the recent new definition of quantum Renyi entropies (Jaksic+ '11, Mueller-Lennert+ '13, Wilde+ '13)!

where $\gamma_* = \sigma^{\frac{-q}{2+2q}} \rho_* \sigma^{\frac{\gamma}{2+2q}}$, and $\lim_{q \to 0} K(q) > 0$.

Exponential

robust randomness expansion is possible with trusted measurements

against an adversary whose reduced state is completely mixed.

Proposition. Let ρ_0 , ρ_1 , ρ_+ , ρ_- denote states arising from anti-commuting measurements. Then, for any density operator σ ,

$$\frac{\mathrm{Tr}[(1-q)\gamma_{+}^{1+q} + (1-q)\gamma_{-}^{1+q} + q\gamma_{0}^{1+q} + (q/2)\gamma_{1}^{1+q}]^{1/q}}{\mathrm{Tr}[\gamma^{1+q}]^{1/q}} \leq 2^{-K(q)}$$

where
$$\gamma_* = \sigma^{\frac{-q}{2+2q}} \rho_* \sigma^{\frac{-q}{2+2q}}$$
, and $\lim_{q \to 0} K(q) > 0$.



Exponential

robust randomness expansion is possible with **trusted measurements**

against an all-powerful adversary.

Some further improvements ...



Exponential robust randomness expansion is possible with

trusted measurements

against an all-powerful adversary.

Some further improvements ...



Exponential

robust randomness expansion is possible with partially trusted measurements against an all-powerful adversary.

Simulation of partially trusted measurements.





Exponential

robust randomness expansion is possible with partially trusted measurements against an all-powerful adversary.

Simulation of partially trusted measurements.





Exponential

robust randomness expansion is possible with

untrusted measurements

against an all-powerful adversary.



Application: The Work of Chung, Shi, and Wu '14.

"Physical Randomness Extractors" by Chung, Shi & Wu '14: Random Numbers from any Min-Entropy Source

A protocol that can generate random numbers from any minentropy source (). Uses a randomness certification protocol (such as Miller-Shi) as a subroutine.



Further Directions

A Challenge

How much noise does the Miller-Shi proof tolerate? Calculate the <u>trust coefficient</u> for various games.

I.3 Example: The GHZ game

Let H denote the 3-player binary XOR game whose polynomial P_H is given by

$$P_{H}(\zeta_{1},\zeta_{2},\zeta_{3}) = \frac{1}{4} \left(1 - \zeta_{1}\zeta_{2} - \zeta_{2}\zeta_{3} - \zeta_{1}\zeta_{3}\right).$$
(I.23)

This is the Greenberger-Horne-Zeilinger (GHZ) game.

Proposition I.6. The trust coefficient for the GHZ game H is at least 0.14.

For the proof of this result we will need the following lemma (which the current authors also used in [24]):

Lemma I.7. Let *a*, *b*, *c* be unit-length complex numbers such that $Im(a) \ge 0$ and Im(b), $Im(c) \le 0$. Then,

 $|1-ab-bc-ca| \leq \frac{\sqrt{2}}{2}.$

(I.24)

Proof. We have

(Section I.3 in arXiv:1402.0489.)

This part of the paper is very preliminary—improve it!

A Unifying Framework: Untrusted Device Randomness Extraction [Chung-Shi-Wu'14]



<u>Goals:</u>

5.

7.

8.

- Security: full quantum
- 2. Quality: small errors (completeness and soundness)
- 3. Output length: all randomness in Device
- **4.** Classical source: arbitrary min-entropy source
 - Robustness: tolerate a constant noise
- 6. Quantum memory: the smaller the better
 - Device-efficiency: use the least number of devices
 - Complexity: computational efficient

Thanksto

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