Lower Bounds for Unrestricted Boolean Circuits: Open Problems Alexander S. Kulikov

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Unrestricted Boolean Binary Circuits

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- $g_2 = x_2 \wedge x_3$
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- $\boldsymbol{g}_4 = \boldsymbol{g}_2 \vee 1$
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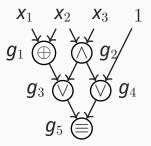
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Fundamental Question

Given a Boolean function $f: \{0,1\}^n \rightarrow \{0,1\}$, what is the minimum number of gates needed to compute f?

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Does there exist an infinite sequence of functions $f_1, f_2, ...$ such that f_n has ninputs, $\bigcup_{n=1}^{\infty} f_n^{-1}(1) \in NP$, and f_n requires superpoly(n) gates? (This would mean that $P \neq NP$)

Exponential Bounds

Lower Bound

Counting shows that almost all functions of *n* variables have circuit size $\Omega(2^n/n)$ [S49]

Upper Bound

Any function can be computed by circuits of size $(1 + o(1))2^n/n$ [L58]

Explicit Lower Bounds

The lower bound $\Omega(2^n/n)$ is non-constructive: it does not give an explicit function (i.e., a function from NP) with superpolynomial circuit size. The lower bound $\Omega(2^n/n)$ is non-constructive: it does not give an explicit function (i.e., a function from NP) with superpolynomial circuit size.

What can we prove for explicit functions?



- 1. Gate Elimination
- 2. Multi-Output Functions
- 3. Non-Gate-Elimination Lower Bounds
- 4. Symmetric Functions
- 5. Satisfiability Algorithms
- 6. Mass Production
- 7. Logarithmic Depth Circuits

Outline

1. Gate Elimination

How to prove, say, a 3*n lower bound for a Boolean function f*?

- 2. Multi-Output Functions
- 3. Non-Gate-Elimination Lower Bounds
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Gate Elimination Method

Show that f is resistant to about n substitutions

Show that one can always find a substitution eliminating at least 3 gates

Lower Bounds

- The currently best known lower bound $(3 + \frac{1}{86}) n$ is proved by gate elimination [FGHK16]
- The corresponding function *f* is affine disperser for sublinear dimension: *f* is non-constant on any affine subspace of {0,1}ⁿ of large enough dimension
- Explicit constructions of such functions were found relatively recently [BK12]

Linear Size Circuits for Affine Dispersers

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Open problem: Do there exist affine dispersers for sublinear dimension of linear circuit size?

Quadratic Dispersers

Open problem: Construct an explicit "quadratic" disperser *f* (even in NP, even with o(n) outputs) that is not constant on any set $S \subseteq \{0,1\}^n$ of size at least $2^{n/100}$ that can be defined as

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This will give an improved lower bound (about 3.1*n*) [GK16]

Limitations of Gate Elimination

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- Formally, there exist circuits such that any substitution of the form *x* ← *g*, where *g* is an arbitrary function, removes no more than five gates from the circuit [GHKK16]. Therefore, one definitely needs new ideas to get something stronger than 5*n*

Outline

Gate Elimination Multi-Output Functions

Can one prove stronger lower bounds for functions with multiple outputs?

- 3. Non-Gate-Elimination Lower Bounds
- 4. Symmetric Functions
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Open problem: How to prove a 5*n* lower bound for an *n*-to-*n* function?

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- 1. Gate Elimination
- 2. Multi-Output Functions
- 3. Non-Gate-Elimination Lower Bounds

Are there approaches other than gate elimination for proving lower bounds for unrestricted circuits?

- 4. Symmetric Functions
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- C(AND, OR) = 2*n* − 2, idea: circuit reconstruction [BS84]
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Open problem: Can any of these non-gate-elimination methods be extended to get stronger than 2*n* lower bounds?

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- 1. Gate Elimination
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- 4. Symmetric Functions

Can one prove a superlinear lower bound for a symmetric function?

- 5. Satisfiability Algorithms
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Symmetric Functions

While basic symmetric functions like parity, MOD₃, and majority are used to prove superpolynomial lower bounds in, e.g., constant depth circuit model, any symmetric function can be computed by a circuit of size 4.5n + o(n) [DKKY10]

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Open problem: What is *C*(SUM_{*n*})?

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- 5. Satisfiability Algorithms

Given a circuit, how hard is it to find an assignment making this circuit to output 1?

6. Mass Production
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Satisfiability Algorithms

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Open problem: Do non-trivial satisfiability algorithms for circuits of size *cn* imply *cn* circuit lower bounds?

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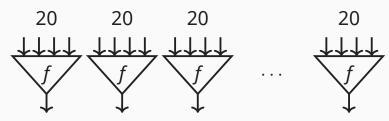
Can one take a function of 20 bits of circuit size 100 and cook out of it a family of functions of circuit size 5n?

7. Logarithmic Depth Circuits

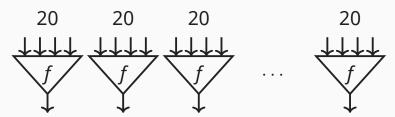
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But we don't know how to prove this!

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Open problem: What are the functions avoiding mass production effect?

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Can we at least prove superlinear lower bounds on circuits of logarithmic depth?

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Open problem: Improve $2^{\sqrt{n}}$ lower bound for depth three circuits.

Depth Three Circuits

Lower bounds of the form 2^{n/k} are known for OR
o AND
o OR_k circuits (i.e., OR of k-CNFs) [PPZ97]

For k = 2, a lower bound $2^{n-o(n)}$ is known [PSZ00]

Converting Small Size Circuits into Non-trivial Depth 3 Formulas

Theorem [V77] For any circuit of size O(n) and depth $O(\log n)$, there exists an

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Open problem: Can one convert a circuit with *s* gates into a, say,

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formula? More generally, is is true that any circuit of size *cn* can be converted into a

$$\mathsf{OR}_{2^{(1-\varepsilon(c))n}} \circ \mathsf{AND} \circ \mathsf{OR}_{\delta(c)}$$

formula?

Summary of Open Problems

1. Prove that there exists an affine disperser of linear circuit size!

- 2. Construct an explicit quadratic disperser!
- 3. Prove a 5*n* lower bound for an *n*-to-*n* function!
- 4. Prove 3*n* lower bound without gate elimination!
- 5. Find *C*(SUM_{*n*})!
- 6. Prove that faster than brute force SAT algorithm for circuits of size *cn* imply *cn* circuit lower bounds!

7. Construct functions avoiding mass production effect!

8. Convert lower bounds for depth-3 circuits to lower bounds for unrestricted circuits!

Thank you!