

# Lower-Bounds on Public-Key Operations in PIR

Mohammad Hajiabadi (U Waterloo)

(Based on join work with Jesko Dujmovic, CISPA)

# Disclaimer

- I will use the word “**public-key operations**” loosely in the first half of my talk, but I will define it later. Be patient!

# Private Information Retrieval (PIR) [CGKS95,KO97]

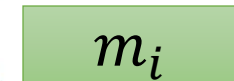
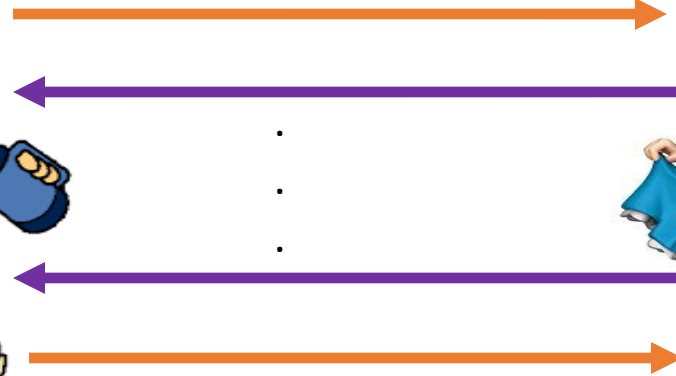
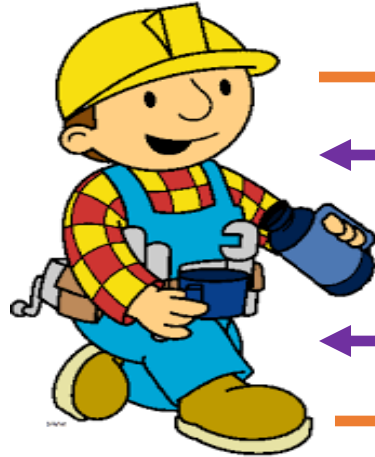
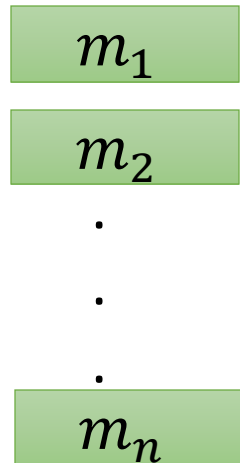
1. Bob shouldn't learn anything about **index  $i$** .

2. **Bob-to-Alice Communication**  $< n$

**NOT Required:**

- **Bob Privacy:** Alice shouldn't learn more than  $m_i$
- Total communication less than  $n$ .

Database of  $n$  bits

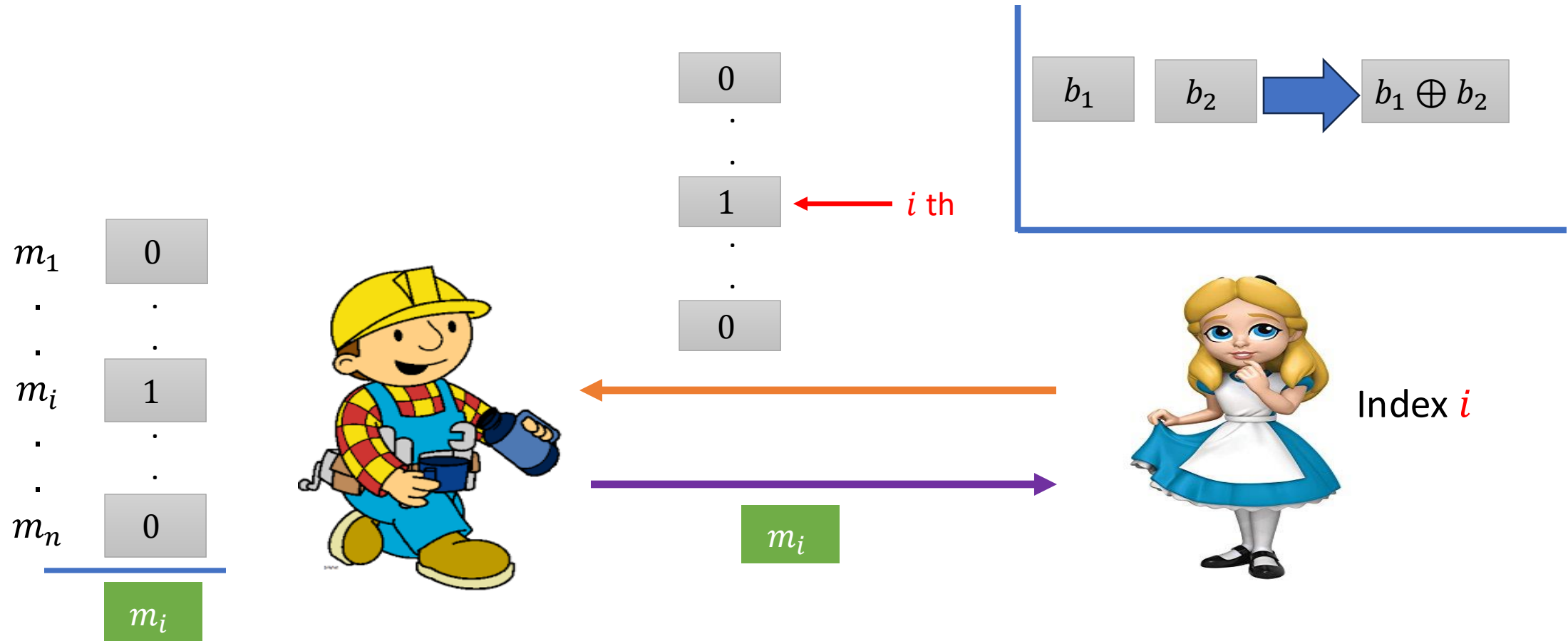


**Index  $i$**

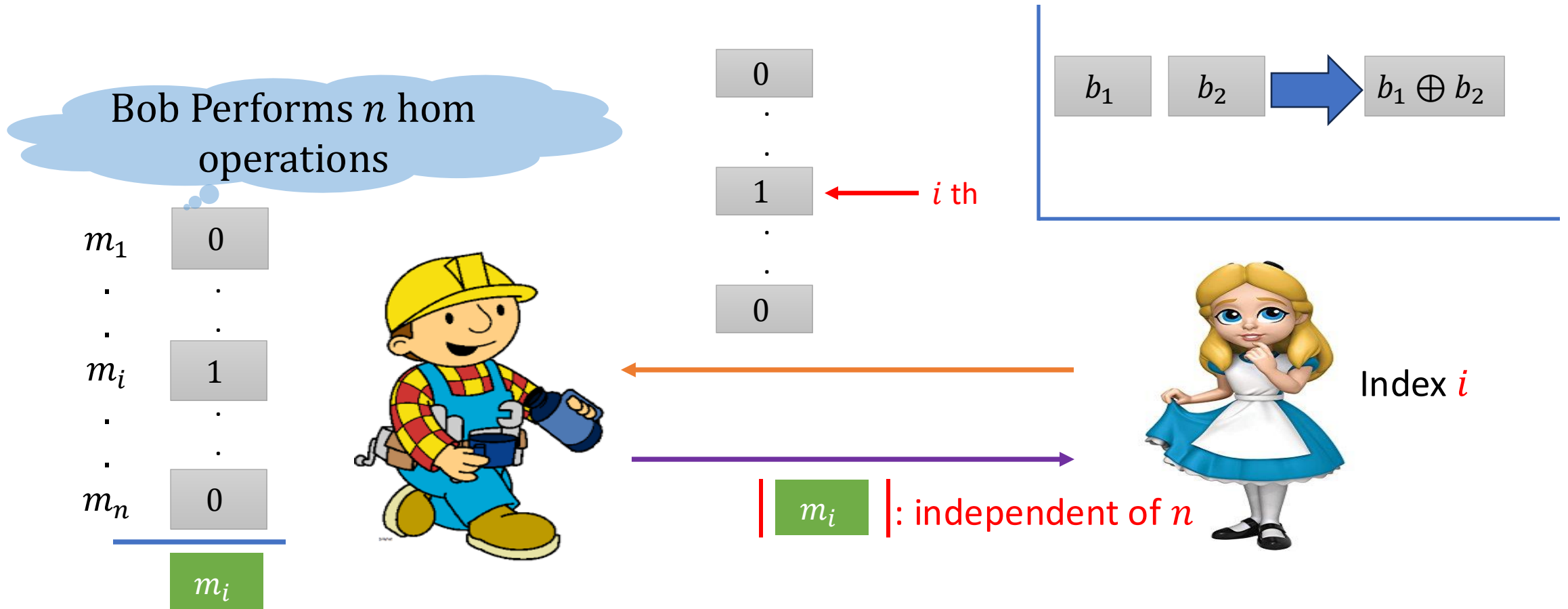
No Preprocessing!

**Non-Trivial PIR:** Satisfy (1) and (2) and perfect correctness

# PIR from Additively Homomorphic Encryption



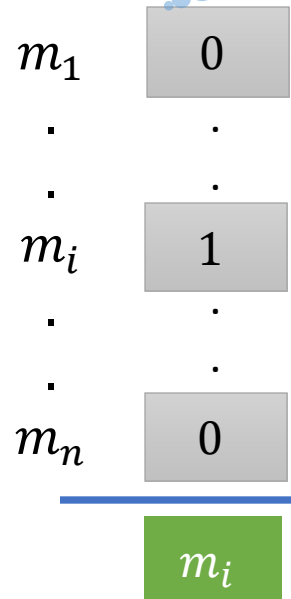
# PIR from Homomorphic Encryption



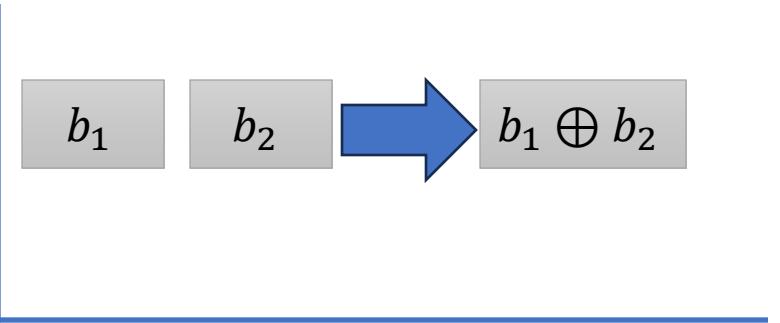
# PIR from Homomorphic Encryption

Inherent?

Bob Performs  $n$  hom operations



$i$  th



$| m_i |$  : independent of  $n$



Index  $i$

# Problem Formulation (Rough)

Care needed: a single call  
may encode the entire DB

GGM, FHE, ...

$o(n)$  calls

Public-Key Opr

RO

Unrestricted

$m_1$   
 $m_2$   
.  
.  
.  
 $m_n$



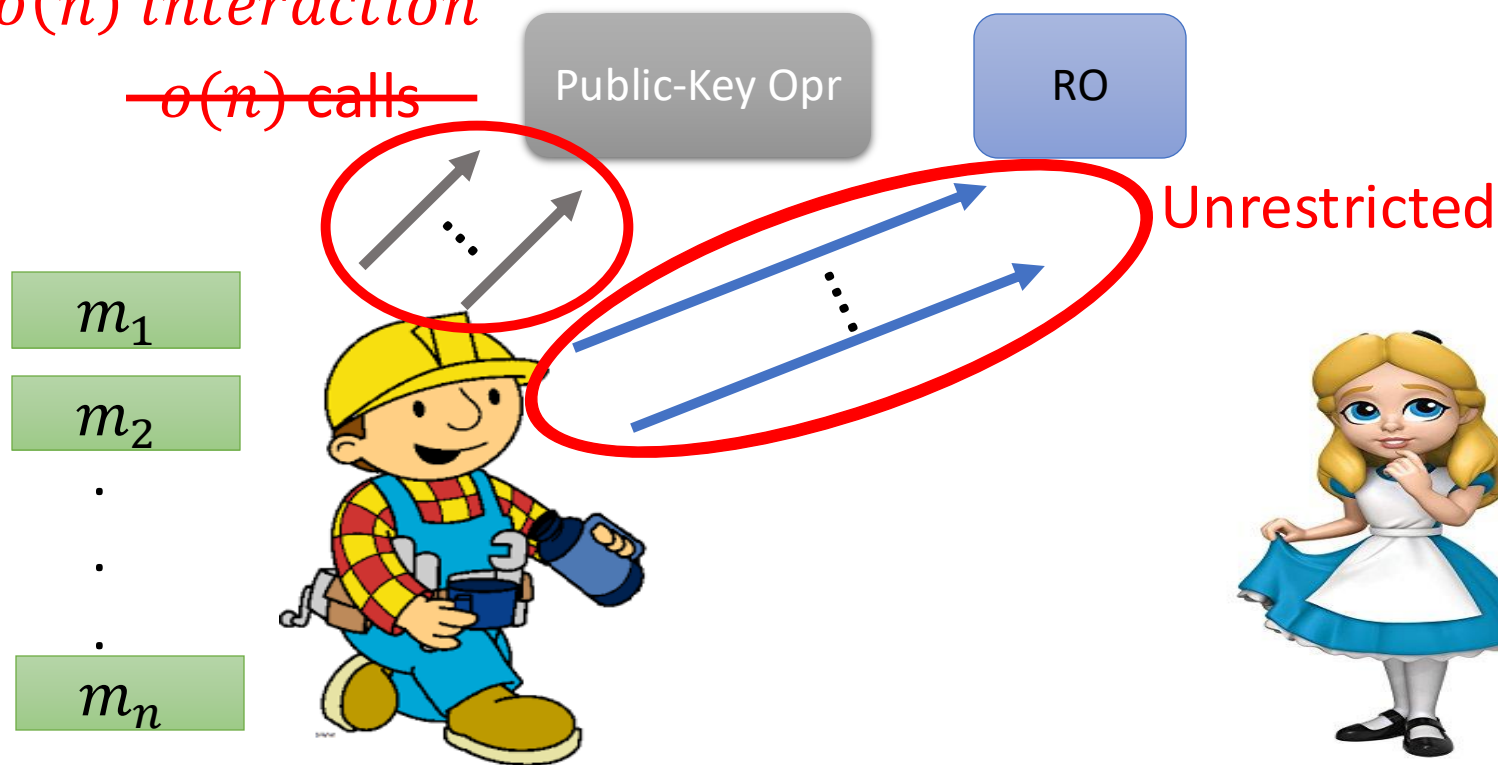
Index  $i$

Unrestricted access  
to both oracles

# Motivating Question

$o(n)$  interaction

~~$o(n)$  calls~~



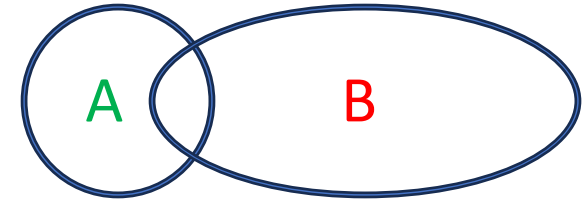


# PIR: Computational Efficiency

- Server's running time must be at least  $n$  [CGKS95,BIM00]
  - If server's running time  $< n$ , the server isn't probing at least one entry, leaking info about index  $i$ .
- PIR implies oblivious transfer and hence requires public-key assumptions [CMO00,IR88].
- Curious Fact: All Non-Trivial PIRs based on Generic Groups/Hom Enc employ at least a linear number of public-key operations, irrespective of # symmetric-key operations. [IP07,DGIMMO19,GHO20,CGHLM21,...]
- Is  $n$  public-key operations inherent?
  - Can we have a PIR protocol where the number of server's public-key operations  $\ll n$  but the number of symmetric-key operations is arbitrarily large?

- Let's Look at another scenario where we are left with a linear number of public-key operations.

# Asymmetric Private-Set Intersection (PSI)



- Computing set intersection when  $|A| \ll |B|$ .
- Goal: semi-honest privacy+ **Communication complexity** not growing with  $|B|$ .
- Solutions based on trapdoor hash, rate-1 OT, etc [IshPas07, Döttling et al 19, GarHajOst 20, Alapati et al 21, Chase et al 21, Brakerski et al 22, ...]
- But # **public-key operations** (e.g., group operations) grows at least linearly with  $|B|$ .
- We can have PSI protocols  $\Pi$  where  $Comm(\Pi)$  grows with  $|B|$  but the number of public key operations don't (e.g., based on OT Extension [PinSchTkaYan19, ChaseMiao21, ...]).
- Is **one or the other** inherent?

# Commonality between PIR and Asymmetric PSI

Both a special case of asymmetric MPC: computing  $f(x, y)$ , where  $|x| \ll |y|$ , Alice holding  $x$  and Bob holding  $y$ .

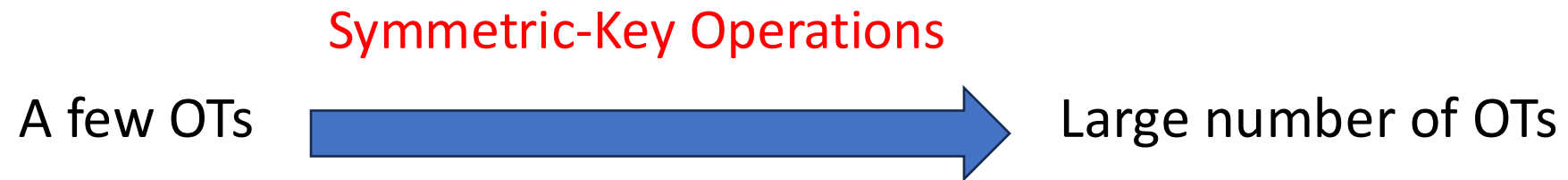
1. We only require semi-honest security for Alice.
2. Bob-to-Alice Communication:  $< |y|$ .

Our lower-bounds on the number of public-key operations will also apply to this general setting.

# Possible Approach for Minimizing Public-Key Operations?

Why not use OT extension?

# OT Extension [Beaver96, IKNP03]



# OT Extension [Beaver96,IKNP03]

RO

OT

$(m_0^1, m_1^1)$

$(m_0^2, m_1^2)$

.

.

.

$(m_0^\ell, m_1^\ell)$



$b_1$

$b_2$

.

.

.

$b_\ell$

Should learn  $m_{b_i}^i$  for all  $i$

Number of OT calls:  
 $Poly(\lambda)$  for a fixed  $Poly$ ,  
independent of  $\ell$

- [Beaver 96]: OT extension via **non-black-box** use of PRGs.
- [IKNP03]: OT extension via **black-box** use of RO.

# OT Extension Implications

- We can realize MPC for any function  $f$  by making a number of public-key operation calls independent of  $|f|$ .
- Why doesn't OT extension solve **computationally-efficient PIR**?
  - OT extension **isn't communication efficient** for chosen-message OTs!
- Let's take a closer look!

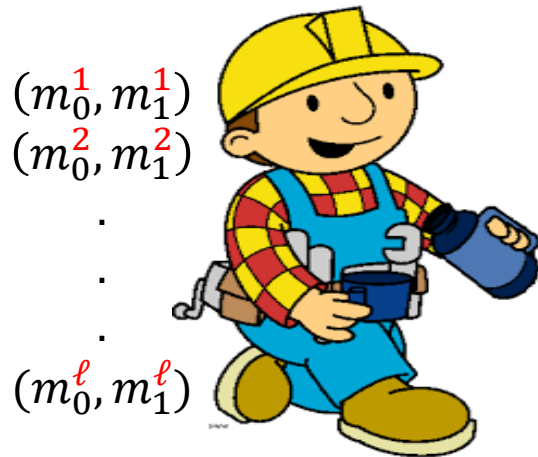


# Communication of OT Extension

[Beaver96, IKNP03]

RO

OT



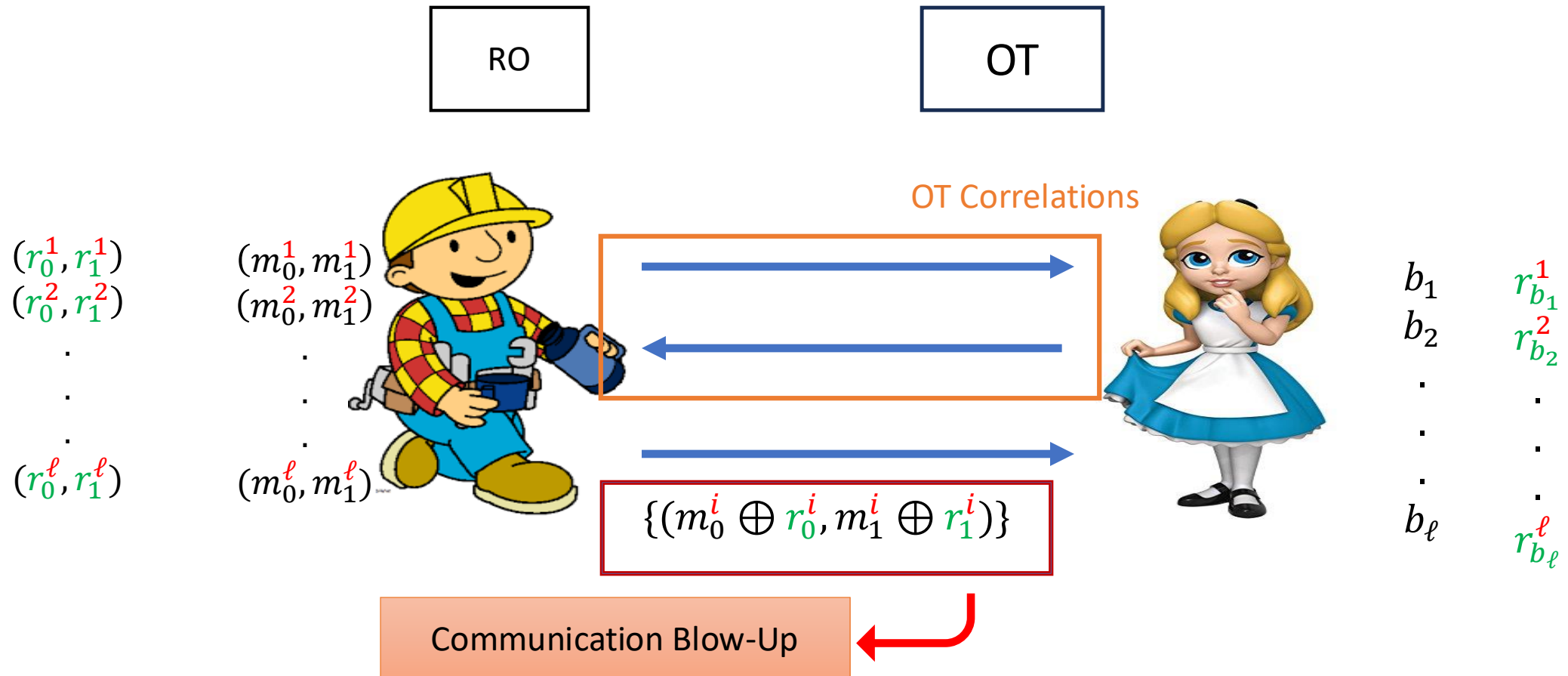
Should learn  $m_{b_i}^i$  for all  $i$

Number of OT calls:  
 $Poly(\lambda)$  for a fixed  $Poly$ ,  
independent of  $\ell$

Sender (Bob) communication of  
[IKNP03] at least  $2\ell$  bits.

Needed for PIR: sender rate of 1,  
defined as  $\frac{\ell}{|Bob\ communication|}$

# What Blows up OT-Extension Comm?



# Batch OT: Communication vs Computation

## Dream Version:

1. #Public-Key Op: independent of  $\ell$
2. Sender (Bob) communication:  $\ell + \lambda$   
(i.e., rate 1 for Bob  $\frac{\ell}{\ell + \lambda}$ )

$(m_0^1, m_1^1)$   
 $(m_0^2, m_1^2)$   
 $\vdots$   
 $(m_0^\ell, m_1^\ell)$



$\ell$ -batch OT



$b_1$   
 $b_2$   
 $\vdots$   
 $b_\ell$

## Communication-efficient protocols

- Achieving sender rate-1 but with large # public-key operations [IP07, DGIMMO19, GHO20, CGHLM21, BB DP22]

Million \$ question: Can we get the best of both?

## Computation-efficient protocols

- OT extension [IKNP03, KK13]: Small # public-key operations but large communication ( $\leq 1/2$  sender rate)

No! Beating  $1/2$  rate is impossible via  $O(\lambda)$  public-key operations

# Main Result

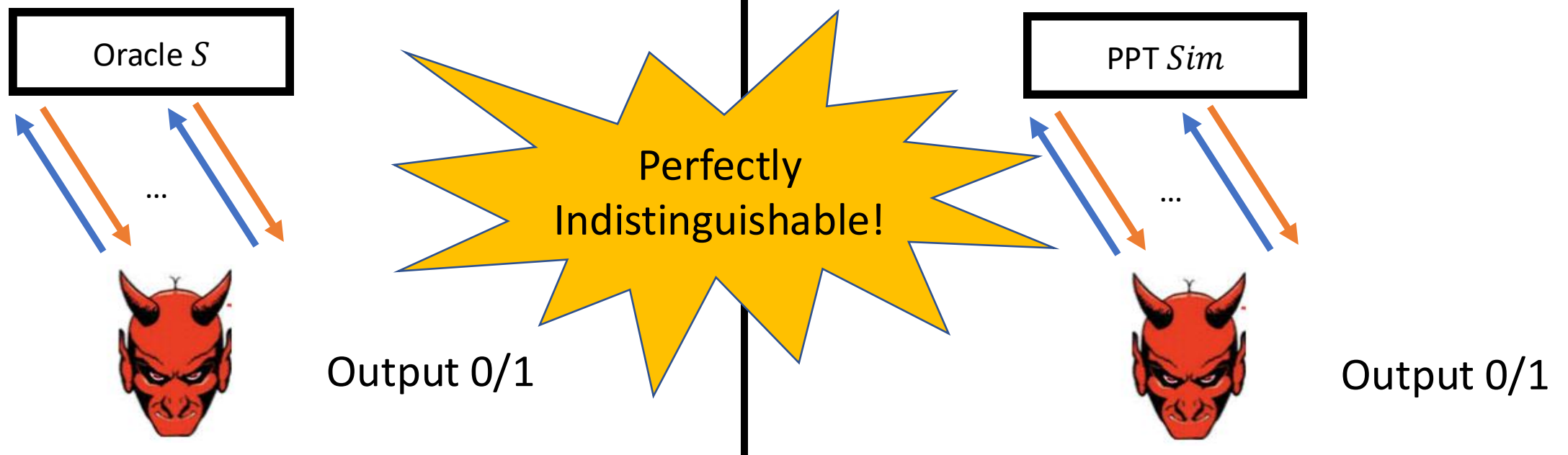
- The sender of any PIR protocol should make **close to linear** public-key operations.
- But what is a public-key operation? Let's define it.

# Public-Key Operation

- A primitive that implies PKE and can be captured via **simulatable oracles**.

# Simulatable Oracles

- Simulatable oracle: An oracle that can be **efficiently sampled** on the fly --- aka amenable to lazy sampling.
- There exists an efficient lazy sampler *Sim*, where an adversary cannot tell if he is interacting with a true oracle  $S$  or with *Sim*.



# Examples of Simulatable Oracles

- Trivial Examples: a random oracle is simulatable, since it can be sampled on the fly.
  - The lazy sampler will simply sample a random output on a new input.
- With more work you can show GGM, FHE, iO are all simulatable.

# Main Result (More Formal)

- Let  $S$  be a simulatable oracle for a public-key primitive.
- $PIR^S \Rightarrow \overline{PIR}$  where  $\overline{PIR}$  makes no calls to  $S$ !
- Receiver privacy remains intact!
- $\text{Sender-Comm}(\overline{PIR}) = \text{Sender-Comm}(PIR^S) + O(\text{\#calls to } S \text{ by PIR sender})$



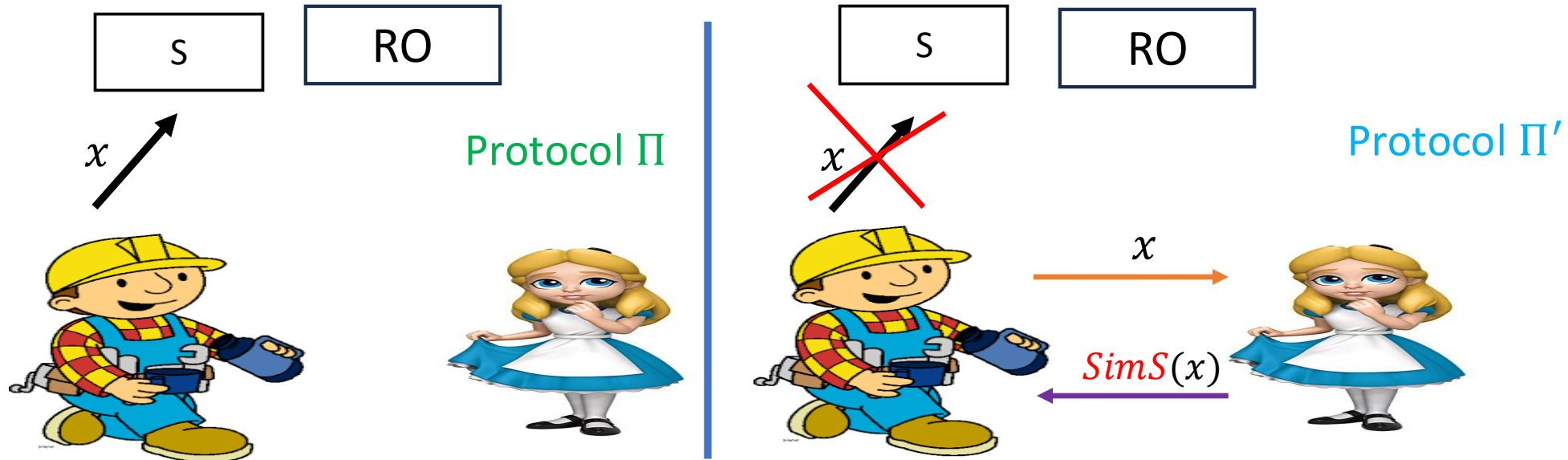
# Consequence

- Any  $PIR^{S,RO}$  protocol that makes a small # calls to  $S$  can be compiled into a non-trivial PIR protocol  $\overline{PIR}^{RO}$  that makes no calls to  $S$ !
- But we know PIRs cannot be realized relative to ROs [ImpRud88, CreMalOst2000]!

# Main Idea: Compilation

- Compilation: let the PIR receiver act as a **lazy sampler**, and answer queries to the **Simulatable oracle  $S$**  for **both herself and the sender!**
- More detail: when the sender is to make an  $S$  query, he forwards the query to the receiver and the receiver simulates the response!

# Compilation (Cont'd)



- $\text{Bob-Comm}(\Pi') = \text{Bob-Comm}(\Pi) + \# S \text{ oracle calls} \cdot (\text{query size})$
- Impossibility:  $c < 1, \text{Comm}(\Pi) = n^c$      $\# S \text{ oracle calls} = n^c$      $\text{query size} = \lambda$

# Consequences

- We can prove similar computation-communication tradeoffs for any asymmetric 2PC (e.g., asymmetric PSI)
- The communication complexity of IKNP is close to optimal (see the paper for that)

# Follow-Up Work: Doubly-Efficient PIR

- Recent result Eurocrypt 2025

Black Box Crypto is Useless for Doubly Efficient PIR

Wei-Kai Lin-Ethan Mook-Daniel Wichs

Generalizes our techniques and shows that **doubly-efficient PIR** is impossible with respect to any assumption that can be captured as black-box oracles.

# Open Problems

- Lower-bound on # Public-Key Operations in other settings?
  - For example, we don't have a **hybrid encryption** paradigm for **functional encryption**. Prove that a linear number of public-key operations for certain FE primitives (e.g., Inner-Product FE) is inherent.
- Can we prove query-lower-bounds for multi-server PIR?
  - In general, multi-server PIR can be done information theoretically, but certain kinds of multi-server PIR require cryptographic assumptions .