Probabilistic and Combinatorial Methods - Part 2 Error-Correcting Codes: Theory and Practice Boot Camp

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Let's recall

Definition: A random code ensemble $C \subseteq \mathbb{F}_q^n$ is k-locally-similar to an RLC of rate R if

$\Pr\left[\{v_1, \dots, v_k\} \subseteq C\right]$

for all v_1

$$C] \leq 2^{-(1-R) \cdot n \cdot \dim\{v_1, \dots, v_k\}}$$

$$v_k \in \mathbb{F}_q^n$$
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$\Pr\left\{\{v_1, \dots, v_k\} \subseteq C\right\}$ for all v_1

Theorem: If a random code ensemble C is k-locally-similar to an RLC of rate R then it achieves the list-decoding GV-bound and any other monotone, k-local and symmetric property of RLC codes with high probability.

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Sample α uniformly at random from \mathbb{F}_{a} .

The Wozencraft ensemble is

$$C_{\alpha} = \left\{ (\varphi(x), \varphi(\alpha x)) \mid x \in \mathbb{F}_q) \right\} \subseteq \mathbb{F}_2^n$$

Definition: Let n = 2m. Let $\varphi : \mathbb{F}_{2^m} \to \mathbb{F}_2^m$ be the natural binary encoding.







So $y \in \mathbb{C}_{\alpha}$ only if $\alpha = \beta$, which happens with probability $2^{-m} = 2^{-\frac{n}{2}}$.

Claim: C_{α} is 1-locally-similar to an RLC of rate —.

Proof: Let $y \in \mathbb{F}_2^n \setminus \{0\}$.

There is a unique way to write $y = (\varphi(x), \varphi(\beta x))$ for some $\beta \in \mathbb{F}_2^n$.



Corollary: The Wozencraft ensemble achieves the same 1-local properties as an RLC of rate -.

In particular, it achieves the GV-bound for minimal distance.









Definition: Let n = 2m. Let $\varphi : \mathbb{F}_{2^m} \to \mathbb{F}_2^m$ be the natural binary encoding.

Sample a uniformly random polynomial *p* of degree < k from $\mathbb{F}_{a}[x]$.

The k-generalized Wozencraft ensemble is

 $C_p = \left\{ (\varphi(x), \varphi(p(x))) \mid x \in \mathbb{F}_q) \right\} \subseteq \mathbb{F}_2^n$

Claim: C_p is k-locally-similar to an RLC of rate $-\frac{1}{2}$.









Corollary: The Wozencraft ensemble achieves the same k-local properties as an RLC of rate -.

In particular, it achieves the list-decoding GV-bound for list size up to k.







Randomly Punctured Low-Bias codes

Theorem [Guruswami-M]: Let D be a low-bias code and let C be a random puncturing of D. Then C is k-locally similar to an RLC of similar rate.



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Partially derandomized by [Putterman-Pyne]







• From a code $D \subseteq \mathbb{F}_q^m$ create a new code $C \subseteq \mathbb{F}_q^n$. Usually $n \ll m$.



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- **Example:** An **RLC** of rate R in \mathbb{F}_q^n is a random puncturing of the Hadamard code $H \subseteq \mathbb{F}_q^{R^n}$.



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- **Example:** An **RLC** of rate R in \mathbb{F}_q^n is a **random** puncturing of the Hadamard code $H \subseteq \mathbb{F}_q^{R^n}$.
- A Reed-Solomon code over a random evaluation set is a random puncturing of the full Reed-Solomon code.





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- *D* can be, e.g., a **dual-BCH** code.
- Theorem: C is locally-similar to an RLC.
- Corollary: C is as list-decodable and listrecoverable as an RLC.













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Proof sketch: C is locally-similar to an RLC.





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Proof sketch: *C* is locally-similar to an RLC.



via the XOR lemma.



Drawbacks of the method

Locality is necessary

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Locality is necessary

Open problem:

Let $C \subseteq \mathbb{F}_q^n$ be an **RLC** and fix $\epsilon > 0$.

Prove that *C* is $\left(\frac{q}{2}, q^{Rn} \cdot 2^{-n} \cdot (1+\epsilon)\right)$ -list-recoverable with high probability.



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Can only deal with " Σ_1 " properties.

- Say that a code C is (ρ, L) -covering if every $x \in \mathbb{F}_2^n$ is ρ -close to at least L codewords of C.
 - Find the rate threshold for (ρ, L) -covering with regard to RLCs.





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Construct a code achieving the GV bound with o(n) random bits.





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- roughly n^{2^L} . We need to union bound over this.
- For general q this is n^{q^L} .
- Suppose q = n, then there are n^{n^L} types!

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• Is there any hope for reasoning about **Reed-Solomon** codes with this method?

Drawbacks of the method

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 - A rank $1 \le k \le q$
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- Note that $n \leq q$.

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Problem:

- Are there **RS codes** that achieve the list-decoding GV-bound?
 - How large does q need to be in terms of n?



- **RS code**")
 - \bullet

• Many works about list-decodability of RS[S, k] where $S \subseteq \mathbb{F}_{a}$ is random ("random"

[Rudra-Wootters], [Shangguan-Tamo], [Goldberg-Shangguan-Tamo][Guo-Li-Shangguan-Tamo-Wootters], [Ferber-Kwan-Sauermann], [Brakensiek-Gopi-Makam], [Guo-Zhang], [Alrabiah-Guruswami-Li].



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- Most recently:
 - [BGM] List-decoding GV-bound with $q = 2^{O(n)}$
 - [GZ] List-decoding GV-bound with $q = O(n^2)$
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with
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- Suppose the columns of $A \in \mathbb{F}_q^{n \times (L+1)}$ are ρ -clustered.
- The row distribution of A contains too much information.

$$n x_1 x_2 \cdots$$



- The row distribution of A contains too much information.
- For a given row, we only care about the identity relation.



Given $z \in \mathbb{F}_q^{L+1}$ let P_z denote the partition of $\{1, ..., L + 1\}$ where $i \sim_{P_{\tau}} j \iff z_i = z_j$





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The **type** of a matrix $A \in \mathbb{F}_q^{n \times (L+1)}$ is a pair consisting of:

A list of partitions $(P_{A_i})_{i=1}^n$

The row-span of A.






Observation:

If a matrix A is ρ -clustered then so are all matrices of the same type.

So the **witnesses for non-list-decodability** are a union of **type classes**.

List-recoverability can also be expressed this way. A property expressible by type classes is called a local identity property.

*x*₁ *x*₂



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 - For constant L and $q \ge L^{\frac{L}{\epsilon}}$, the above is at most $q^{\epsilon n}$ which is **tiny**!
 - We can union bound over the ρ -clustered types.



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- Each P_i imposes $3 |P_i|$ linear conditions.



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$$\deg(P, \mathbb{F}_q^3) = 3Rn - \sum_i (3 - |P_i|).$$



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• Let deg(
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• If deg(T) < 0 then there is probably no type T matrix in C.



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- But is their row span \mathbb{F}_3^n ?
- Maybe not!
- It's possible that these x_1, x_2, x_3 are **not even distinct!**





- In this example we have $deg(P, \mathbb{F}_{q}^{3}) > 0$.
- However, it's likely that all solutions will have $x_1 = x_2!$





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$$n \quad \{1,2\},\{3\} \\ \{1,2,3\} \\ \{1\},\{2\},\{3\} \\ \{1,2,3\} \\ \dots \\ \{1,3\},\{2\} \}$$

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- On the other hand, $z_1 = z_2 \Rightarrow z_1 = z_3$
 - So $\{1,2,3\}$ is just **1 constraint instead of 2**.



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$$deg(P, V) = \dim V \cdot Rn - \sum_{i=1}^{n} \left(\dim V\right)$$

Where

$$V_{P_i} = \left\{ z \in \mathbb{F}_q^3 \mid z \text{ satisfies the equalities} \right\}$$

Theorem [RLC thresholds for large alphabet]:

An **RLC** is **likely to contain a type** (P, V) **matrix** if and only if

 $\deg(P, V) > \deg(P, U)$

For all $U \subseteq V$.



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In particular $deg(P, V) > deg(P, \{0\}) = 0$



For $q \ge 2^{\Omega(L)}$, an **RLC** in \mathbb{F}_q^n achieves the list-decoding GV bound.

Theorem [List-Decodability of RLC] (previously proven by [AGL]):

Theorem [Reduction from RLC to random RS codes]:

Then, \mathscr{P} is also achieved with high probability by a random RS code with $q = O_L(n)$.

Theorem [List-Decodability of RLC] (previously proven by [AGL]):

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Let \mathscr{P} be a local identity property achieved with high probability by an RLC.



Theorem [Reduction from RLC to random RS codes] (Levi-M-Shagrithaya):

Let \mathscr{P} be a local identity property achieved with high probability by an RLC.

Then, \mathscr{P} is also achieved with high probability by a random RS code with $q = O_L(n)$.



Corollary:

A random RS code achieves the list-decoding GV-bound. (Already proven by [AGL] using the GM-MDS theorem)

A random RS code is at least as list-recoverable as an RLC.

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 - **On the Board**







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