#### Probabilistic and Combinatorial Methods Error-Correcting Codes: Theory and Practice Boot Camp

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- Example motivation: how List-decodable and list-recoverable are Reed-Solomon codes?
- A star player: The Random Linear Code (RLC)
- Technique: We reduce from RLC to more structured codes.









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C is  $(\rho,L)$ -list-decodable if the receiver can always recover a list of at most L codewords,



#### List-Recovery

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- of codewords.
- balls.

In List-Decoding we want every Hamming ball to contain a small number

In List-Recovery we care about combinatorial rectangles instead of

### List-Recovery

For every  $S_1, \ldots, S_n \subseteq$ 

 $|C \cap (S_1 \times S_2)|$ 

 $S_1 \times S_2 \times \ldots \times S_n$  is called a combinatorial rectangle

#### We say that $C \subseteq \mathbb{F}_q^n$ is $(\ell, L)$ -list-recoverable if:

$$\mathbb{E}_{q}$$
 with  $|S_{i}| \leq \ell$  we have

$$S_2 \times \ldots \times S_n ) \mid \leq L.$$



• An **RLC** of **length** *n* and **rate** *R* over **alp** linear subspace of  $\mathbb{F}_q^n$ .

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- An **RLC** of **length** *n* and **rate** *R* over **alp** linear subspace of  $\mathbb{F}_q^n$ .
- The go-to code for **existence proofs**!

• An RLC of length n and rate R over alphabet  $\mathbb{F}_q$  is a uniformly-sampled Rn-dimensional

- Achieves with high probability:
  - The Gilbert-Varshamov Bound \*  $R \approx 1 h_q(\delta)$
  - The "List-decoding GV-bound":  $R = 1 - h_q(\delta) - O\left(\frac{1}{L}\right)$

• List-recovery results as well.

\*  $H_q(\rho) = \rho \log_q(q-1) - \rho \log_q \rho - (1-\rho) \log_q(1-\rho)$ 

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• List-recovery results as well.

- However:
  - Decoding is probably hard
  - Certifying is probably hard
  - Construction requires  $\Theta(n^2)$ random bits.

\* 
$$H_q(\rho) = \rho \log_q(q-1) - \rho \log_q \rho - (1-\rho) \log_q(1-\rho)$$

#### The only thing you need to know about RLCs



ate *R*. Fix 
$$v_1, ..., v_k \in \mathbb{F}_2^n$$
.  
Then:  
 $C = 2^{-(1-R) \cdot n \cdot \dim\{v_1, ..., v_k\}}$ 

#### Motivation: Show that a binary RLC achieves the list-decoding GV-bound.

- Motivation: Show that a binary RLC achieves the list-decoding GV-bound.
- More precisely: Show that an RLC will  $(\rho, O(1/\epsilon))$ -list-decodable with high probability.

ith 
$$R = 1 - h(\rho) - \epsilon$$
 is

- contained in some radius  $\rho$  ball.

#### • Say that the vectors $x_1, \ldots, x_{L+1}$ are $\rho$ -clustered if they are distinct and

#### • The tuple $(x_1, \ldots, x_{L+1})$ is a witness to C not being $(\rho, L)$ -list-decodable.



#### Let's try an expectation approach:

#### Try to Prove that the expected number of clustered tuples in an RLC is o(1).



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- - $\mathbb{E}(\#H \text{ in } G) \approx n^5 \cdot p^7$
  - $\mathbb{E}(\#S \text{ in } G) \approx n^4 \cdot p^6$

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- Maybe not!

- Let  $p = n^{\alpha}$ , with  $-5/7 < \alpha < -2/3$ .
  - Then  $\mathbb{E}(\#H \text{ in } G) \to \infty$  but  $\mathbb{E}(\#S \text{ in } G) \to 0$ .



#### Is the expectation method tight? Maybe not! • Analogy from random G(n, p) graphs. • What is the probability that G contains an H subgraph? • $\mathbb{E}(\#H \text{ in } G) \approx n^5 \cdot p^7$ H • $\mathbb{E}(\#S \text{ in } G) \approx n^4 \cdot p^6$ • Let $p = n^{\alpha}$ , with $-5/7 < \alpha < -2/3$ .

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- - Then  $\mathbb{E}(\#H \text{ in } G) \to \infty$  but  $\mathbb{E}(\#S \text{ in } G) \to 0$ . ullet
  - So almost surely **not a single** H can be found in G even though many such subgraphs appear in expectation.



# Threshold for random graphs

• Theorem (Bollobás 1981): A subgraph *H* is likely found in G if and only if  $\mathbb{E}(\#S \text{ in } G) \to \infty$ for all  $S \subseteq H$ .





#### Back to list-decodability of an RLC
• Notation: write a  $\rho$ -clustered set  $\{x_1, \dots, x_{L+1}\} \subseteq \mathbb{F}_2^n$  as a matrix A.

 $x_2 \quad \cdots \quad x_{L+1}$  $n \mid x_1$ 





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- To determine if A is  $\rho$ -clustered we only need to know its **row distribution.** That is, how many times each vector in  $\mathbb{F}_2^n$ appears in A.
- There are at most  $n^{2^{L+1}} \rho$ -clustered distributions. This is a tiny number so we can treat each clustered distribution separately.



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# **Expectations in an RLC**

- Let  $\tau$  be a distribution over  $\mathbb{F}_2^{L+1}$ .
- How many  $\tau$ -distributed matrices do we expect in an RLC?

 $\mathbb{E}(\tau \text{-distributed matrices in } C) = \#\tau \text{-distributed matrices} \cdot \Pr(A \subseteq C)$  $A \sim \tau$  $\approx 2^{nH(\tau)} \cdot 2^{-n(1-R) \cdot \dim\{x_1, \dots, x_{L+1}\}}$  $= 2^n (H(\tau) - (1 - R) \cdot \dim(\operatorname{supp}(\tau)))$ 



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## **Expectations in an RLC**

# $E(\tau in C)$

 $\infty$ 

 $\left( \right)$ 











• The distribution  $\tau$  is analogous to a subgraph H.





- The distribution  $\tau$  is analogous to a subgraph H.
- What about **subgraphs** of H?













## • Suppose $A \subseteq C$ . Then C also contains AB whenever $B \in \mathbb{F}_2^{(L+1) \times b}$ $(b \leq L+1)$ .





- $(b \le L + 1).$
- A uniformly random row of AB is distributed like zB where  $z \sim \tau$ .
- We denote this distribution  $\tau B$



### • Suppose $A \subseteq C$ . Then *C* also contains *AB* whenever $B \in \mathbb{F}_2^{(L+1) \times b}$



- $(b \le L + 1).$
- A uniformly random row of AB is distributed like zB where  $z \sim \tau$ .
- We denote this distribution  $\tau B$
- In order to contain  $\tau$ , a linear code must contain  $\tau B$ .



### • Suppose $A \subseteq C$ . Then *C* also contains *AB* whenever $B \in \mathbb{F}_{2}^{(L+1) \times b}$



### **Theorem (thresholds for RLCs):**

 $\mathbb{E}(\#\tau B \text{ distributed matrices in } C) \rightarrow \infty$ 

for all  $B \in \mathbb{F}_{2}^{(L+1) \times b}$ .

An RLC of rate R is likely to contain a  $\tau$  distributed matrix if and only if



### **Theorem (thresholds for RLCs):**

An RLC of rate R is likely to contain a  $\tau$  distributed matrix if and only if

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 $\mathbb{E}(\#\tau B \text{ distributed matrices in } C) \rightarrow 0$ 

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$$B \in \mathbb{F}_2^{(L+1) \times b}$$

### **Corollary (list-decodability of RLCs):**

- An **RLC** of rate R is likely  $(\rho, L)$ -list-decodable if and only if
- every  $\rho$ -clustered distribution  $\tau$  over  $\mathbb{F}_2^{L+1}$  has some  $B \in \mathbb{F}_2^{(L+1) \times b}$  such that



# $Pr(C is (\rho, L)-list-decodable)$

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- The list-decodability of an RLC can be explained by expectations.
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 $2n(H(\tau) - (1 - R) \cdot \dim(\operatorname{supp}(\tau)))$ 

- This holds for more than just list-decodability.
  - $\bullet$
  - For example, **list-recoverability**!  $\bullet$
  - In general, any monotone, local and symmetric property.

Any property characterized by "foribdden distributions" has such a characterization.

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- that **RLCs** achieve the list-decoding GV-bound.
- But now these results tell us something about expectations!

Reasoning about list-decodability of RLCs via expectations is complete.

• But what is this good for? we already know (through a long line of works)

## **Definition:** A random code ensemble $C \subseteq \mathbb{F}_q^n$ is locally-similar to an RLC of rate R if $\Pr\left[\{v_1, \dots, v_k\} \subseteq C\right] \approx 2^{-(1-R) \cdot n \cdot \dim\{v_1, \dots, v_k\}}$ for all $v_1, \ldots, v_k \in \mathbb{F}_q^n$ .



### Theorem: If C is locally-similar to an RLC of rate R then it achieves the list-decoding GV-bound with high probability.



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**Proof:** 





Let D be an RLC of rate R. We know from previous works that an D almost surely achieves the list-decoding **GV-bound**.

Let  $\rho, L$  such that D is likely  $(\rho, L)$ -list-decodable. It suffices to show that the same holds for C.

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 $\mathbb{E}\left[\#\tau B\text{-distributed matrices in }D\right] \leq o(1).$ 

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### **Proof:**

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- $\mathbb{E}\left[\#\tau B\text{-distributed matrices in }D\right] \leq o(1).$ 
  - But
- $\mathbb{E} \left[ \# \tau B \text{-distributed matrices in } C \right] \approx \# \tau B \text{-distributed matrices } 2^{-(1-R)n \cdot \dim(\operatorname{supp}(\tau))}$ 
  - $= \mathbb{E} | \# \tau B$ -distributed matrices in  $D | \leq o(1)$
  - So C is unlikely to contain  $\tau B$  and thus unlikely to contain  $\tau$ .

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### The same argument works for list-recovery or any other local symmetric property:

**Theorem:** If C is locally-similar to an RLC of rate R then it achieves the same list-recovery parameters as an RLC.

**Theorem:** If C is locally-similar to an RLC of rate R then it achieves the list-decoding GV-bound with high probability.



## The reduction paradigm

- 1. Choose a random code ensemble C.
- 2. Show that *C* is **locally-similar** to an **RLC**.
- 3. Conclude that C has all the local symmetric properties of an RLC, including **achieving the list-decoding GV-bound**.



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**Random LDPC codes (Gallagher's Ensemble)** [M-Resch-(Ron-Zewi)-Silas,Wootters]

**Randomly punctured low-bias codes** [Guruswami-M]





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- If the punctured columns are chosen at random, *C* is said to be a random *n*-puncturing of *D*.



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- **Example:** An **RLC** of rate R in  $\mathbb{F}_q^n$  is a **random** puncturing of the Hadamard code  $H \subseteq \mathbb{F}_q^{R^n}$ .



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- **Example:** An **RLC** of rate R in  $\mathbb{F}_q^n$  is a **random** puncturing of the Hadamard code  $H \subseteq \mathbb{F}_q^{q^{Rn}}$ .
- A Reed-Solomon code over a random evaluation set is a random puncturing of the full Reed-Solomon code.





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- Suppose every  $u \in D$  has weight close to  $\frac{m}{2}$  (low-bias).
- **Claim:** *C* locally-similar to an RLC.
- **Conclusion:** *C* is as list-decodable and list-recoverable as an RLC.













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Column distribution of *W* is almost uniform due to low-bias

