

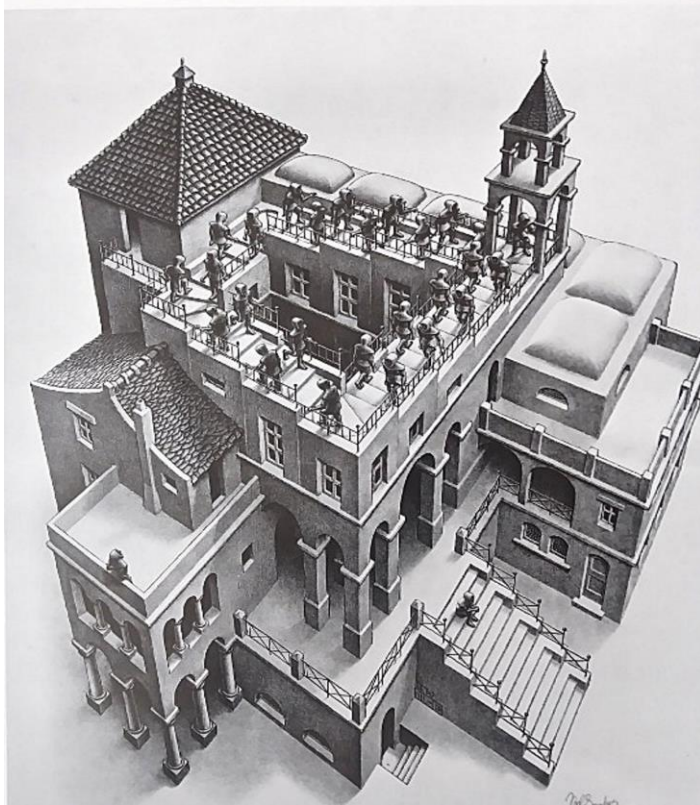
Consistency, Acyclicity, and Positive Semirings

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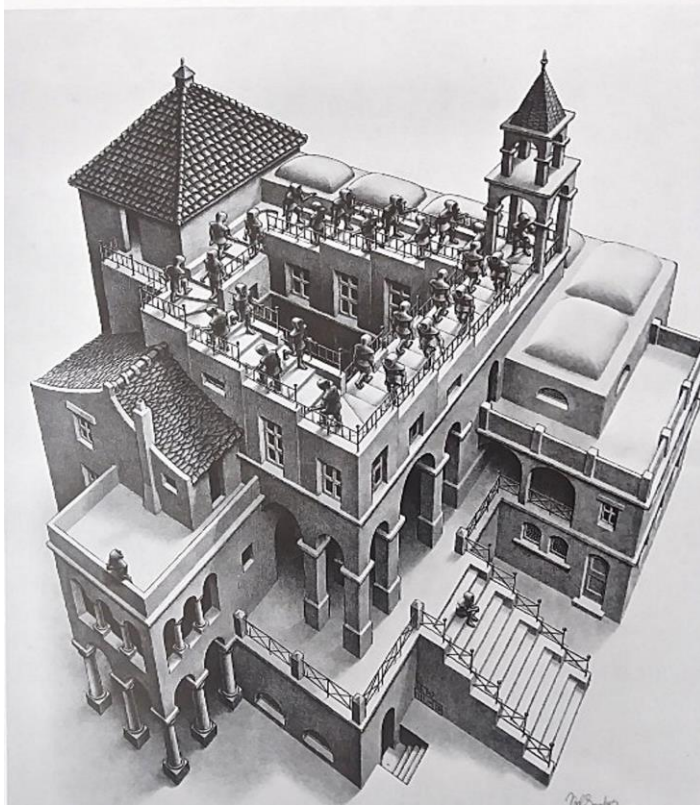
Phokion G. Kolaitis
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Local Consistency vs. Global Consistency

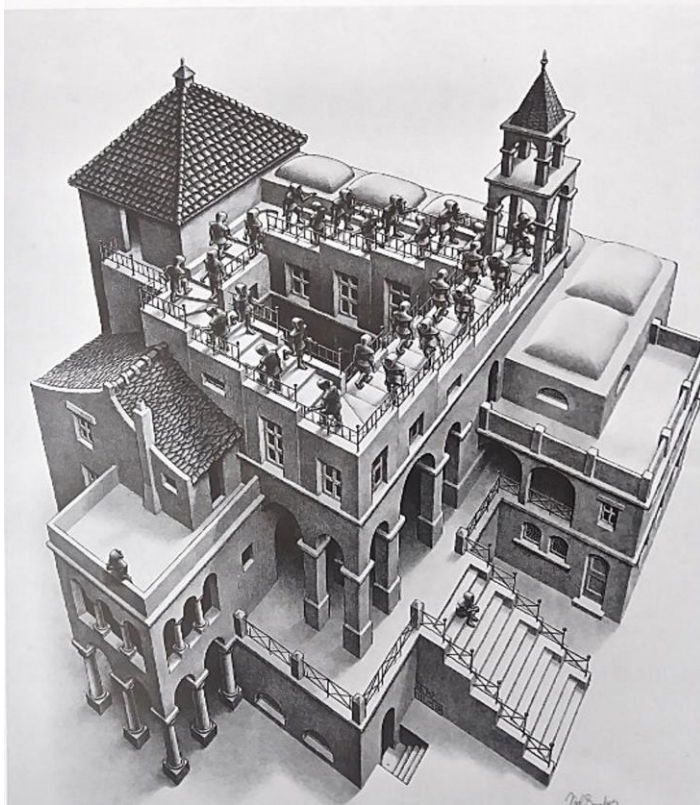


Local Consistency vs. Global Consistency



Locally Consistent
Globally Inconsistent
MC Escher

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Santorini, Greece

Local Consistency vs. Global Consistency

Fact:

- In several different settings, the objects of study are “locally consistent” but they may or may **not** be “globally consistent”. Such settings include:
 - Quantum Mechanics, Probability Theory,
 - Constraint Satisfaction, Database Theory, ...

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Research Program:

Study the structural aspects of global consistency

- Can we unveil the “intelligible structure” of global consistency?
- When is local consistency equivalent to global consistency?

Local Consistency vs. Global Consistency

Earlier Work:

- Vorob'ev – 1962

Characterized when a family of probability distributions defined on overlapping sets of variables has a joint distribution.

- Beeri, Fagin, Maier, Yannakakis – 1983

Characterized when a family of database relations with overlapping sets of attributes has a universal relation.

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Goal of this work:

- A common generalization of the results of Vorob'ev and of Beeri et al.
- A unifying framework for studying local vs. global consistency that uses **K-relations**, where **K** is a positive semiring.

Local vs. Global Consistency in Databases

Basic Concepts:

- **Attribute:** a symbol A with an associated set $\text{dom}(A)$ of values.
- $R(X)$: relation R with X as its set of attributes (names of columns)
- $R[Z]$ with $Z \subseteq X$: the **projection** of R on Z

Example:

$R(A,B,C)$

A	B	C
1	2	3
1	2	5
2	4	6

$R[A,B]$

A	B
1	2
2	4

Local vs. Global Consistency in Databases

Definition:

- Two relations $R(X)$ and $S(Y)$ are **consistent** if there is a relation T over $X \cup Y$ such that $T[X] = R$ and $T[Y] = S$.
- $R_1(X_1), \dots, R_n(X_n)$ are **globally consistent** if there is a relation T over $X_1 \cup \dots \cup X_n$ such that $T[X_1] = R_1, \dots, T[X_n] = R_n$.

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Basic Facts:

- If $R_1(X_1), \dots, R_n(X_n)$ are globally consistent, then they are **pairwise consistent**, i.e., R_i and R_j are consistent for all i and j .
- The converse is **not** always true, i.e., there are relations $R_1(X_1), \dots, R_n(X_n)$ that are pairwise consistent but are **not** globally consistent.

Hardy's Paradox

$R(A_1, B_1)$

A_1	B_1
0	0
0	1
1	0
1	1

$S(A_1, B_2)$

A_1	B_2
0	1
1	0
1	1

$T(A_2, B_1)$

A_2	B_1
0	1
1	0
1	1

$U(A_2, B_2)$

A_2	B_2
0	0
0	1
1	0

Local-to-Global Consistency for Relations

Definition: Schema $H = (X_1, \dots, X_n)$ of sets of attributes.

H has the **local-to-global consistency property for relations** if every pairwise consistent collection $R_1(X_1), \dots, R_n(X_n)$ of relations is globally consistent.

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Example 1: The schema

$$H = (\{A_1, A_2\}, \{A_2, A_3\}, \{A_3, A_4\})$$

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Example 2: The schema

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does **not** have the local-to-global consistency property for relations.

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Theorem (Beeri, Fagin, Maier, Yannakakis – 1983):

The following are equivalent for a schema $H = (X_1, \dots, X_n)$

1. H is an acyclic hypergraph.
2. H is a conformal and chordal hypergraph.
3. H has the running intersection property.
4. H has a join tree.
5. H has the local-to-global consistency property for relations.

Conformal and Chordal Hypergraphs

Definition: Let H be a hypergraph

- The **primal graph** of H is the undirected graph whose edges are pairs of nodes that appear together in at least one hyperedge of H .
- H is **conformal** if every clique of the primal graph of H is contained in some hyperedge of H .
- H is **chordal** if its primal graph is chordal (i.e., every cycle of length at least four has a chord).

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Example 3: $H = (\{A_1, A_2\}, \{A_2, A_3\}, \{A_3, A_1\})$ is chordal but **not** conformal.

The Running Intersection Property

Definition: A hypergraph H has the **running intersection property** if there is an ordering X_1, \dots, X_n of its hyperedges such that for every $i \leq n$, there is a $j < i$ such that

$$X_i \cap (X_1 \cup \dots \cup X_{i-1}) \subseteq X_j.$$

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Structural Notions

Semantic Notion

Positive Semirings

- Definition:** A **positive semiring** is a structure $\mathbf{K} = (K, +, \times, 0, 1)$ such that
- $+$ and \times are binary operations that are **commutative** and **associative** and have 0 and 1 as their **identity elements**;
 - $0 \neq 1$;
 - \times **distributes over** $+$, i.e., $a \times (b + c) = (a \times b) + (a \times c)$, for all a, b, c ;
 - 0 **annihilates** K , i.e., $0 \times a = 0$, for all a ;
 - $a + b = 0$ implies $a = 0$ and $b = 0$, for all a, b (i.e., \mathbf{K} is **plus-positive**);
 - $a \times b = 0$ implies $a = 0$ or $b = 0$, for all a, b (i.e., \mathbf{K} has no **zero divisors**).

Note:

- **Plus-positivity** ensures that the sum of non-zero elements is non-zero.
- **No zero divisors** ensures that if a product is 0 , then at least one factor is 0 .

Positive Semirings Are Everywhere

- **Boolean semiring:** $\mathbf{B} = (\{0, 1\}, \wedge, \vee, 0, 1)$
- **Bag semiring:** $\mathbf{N} = (\mathbb{N}, +, \times, 0, 1)$, where $\mathbb{N} = \{0, 1, 2, \dots\}$
(SQL semantics of database queries via multisets)
- **Non-negative reals:** $\mathbf{R}^+ = ([0, \infty), +, \times, 0, 1)$
- **Tropical semiring** $\mathbf{T} = ([0, \infty], \min, +, \infty, 0)$
(shortest paths in graphs)
- **Viterbi semiring** $\mathbf{V} = ([0, 1], \max, \times, 0, 1)$
(confidence scores – isomorphic to \mathbf{T} via $h(x) = e^{-x}$)
- **Fuzzy semiring:** $\mathbf{F} = ([0, 1], \max, \min, 0, 1)$
(fuzzy logic semantics)
- **Polynomial semiring:** $\mathbf{N}[X] = (\mathbb{N}[X], +, \times, 0, 1)$ with X a set of variables,
 $\mathbb{N}[X]$ all polynomials with variables from X and coefficients from \mathbb{N}
(database provenance – where the answers come from and how)

K-Relations

- Attribute A with $\text{dom}(A)$ as its set of values
- $X = \{A_1, \dots, A_k\}$ set of attributes
- $\text{Tup}(X) = \text{dom}(A_1) \times \dots \times \text{dom}(A_k)$

Definition: $\mathbf{K} = (K, +, \times, 0, 1)$ positive semiring, X be a set of attributes.

A **K-relation over** X is a function $R: \text{Tup}(X) \rightarrow K$ having finite **support** R' , i.e.,

$$R' = \{ t \in \text{Tup}(X): R(t) \neq 0 \} \text{ is finite.}$$

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Examples:

- **Relations** are **B**-relations, where $\mathbf{B} = (\{0, 1\}, \wedge, \vee, 0, 1)$.
- **Bags** are **N**-relations, where $\mathbf{N} = (\mathbb{N}, +, \times, 0, 1)$
(each tuple has a non-negative integer as multiplicity).
- **Probability distributions of finite support** are **R⁺**-relations P such that $\sum_{t \in \text{Tup}(X)} P(t) = 1$, where $\mathbf{R}^+ = ([0, \infty), +, \times, 0, 1)$.

Equivalence of **K**-relations

Definition: Let $R(X)$ and $S(Y)$ be two K -relations.

$R \equiv S$ if there are non-zero elements a, b in K such that $aR = bS$, where $aR : \text{Tup}(X) \rightarrow K$ with $(aR)(t) = a \times R(t)$, and similarly for bS .

Fact: \equiv is an equivalence relation on the collection of all K -relations.

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Note:

- If R and S are **B**-relations, then $R \equiv S$ if and only if $R = S$.
- There are **N**-relations (bags) $R(X)$ and $S(Y)$ such that $R \equiv S$ but $R \neq S$.
- If R and S are probability distributions of finite support, then $R \equiv S$ if and only if $R = S$.
- For every **R**⁺-relation R , there is a probability distribution P of finite support such that $R \equiv P$ (**normalize** R to get P).

Local vs. Global Consistency for **K**-Relations

Definition: Let $R_1(X_1), \dots, R_n(X_n)$ be **K**-relations

- $R_1(X_1), \dots, R_n(X_n)$ are **globally consistent** if there is a **K**-relation T over $X_1 \cup \dots \cup X_n$ such that $T[X_1] \equiv R_1, \dots, T[X_n] \equiv R_n$.

Basic Facts:

- If $R_1(X_1), \dots, R_n(X_n)$ are globally consistent **K**-relations, then they are **pairwise consistent**, i.e., R_i and R_j are consistent for all i and j .
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Local-to-Global Consistency for \mathbf{K} -relations

Definition: \mathbf{K} positive semiring, Schema $H = (X_1, \dots, X_n)$ of sets of attributes. H has the **local-to-global consistency property for \mathbf{K} -relations** if every pairwise consistent collection $R_1(X_1), \dots, R_n(X_n)$ of \mathbf{K} -relations is globally consistent.

Main Theorem: Let \mathbf{K} be a positive semiring.

The following are equivalent for a schema $H = (X_1, \dots, X_n)$

1. H is an acyclic hypergraph.
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Proof Hint: Different proof architecture than the BFMY Theorem.

Step 1: If H has the running intersection property, then H has the local-to-global consistency property for \mathbf{K} -relations.

Step 2: If H is **not** conformal or H is **not** chordal, then H does **not** have the local-to-global consistency property for \mathbf{K} -relations.

Local-to-Global Consistency for \mathbf{K} -relations

Step 1: If H has the running intersection property, then H has the local-to-global consistency property for \mathbf{K} -relations.

Proof Outline: Let X_1, \dots, X_n be an ordering of the hyperedges of H such that for every $i \leq n$, there is a $j < i$ such that $X_i \cap (X_1 \cup \dots \cup X_{i-1}) \subseteq X_j$.

- Assume that $R_1(X_1), \dots, R_n(X_n)$ are pairwise consistent \mathbf{K} -relations. By induction on $i \leq n$, show that $R_1(X_1), \dots, R_i(X_i)$ are globally consistent.
- Assume that $R_1(X_1), \dots, R_{i-1}(X_{i-1})$ are globally consistent and let $W(X_1 \cup \dots \cup X_{i-1})$ be a \mathbf{K} -relation witnessing their global consistency.
- Define the notion of the **join** $R \bowtie S$ of two \mathbf{K} -relations and show that $W \bowtie R_i$ witnesses the consistency of W and R_i .

Note: The definition of $R \bowtie S$ is rather delicate (and is **not** the obvious one).

Local-to-Global Consistency for **K**-relations

Step 2: If H is **not** conformal or H is **not** chordal, then H does **not** have the local-to-global consistency property for **K**-relations.

Local-to-Global Consistency for \mathbf{K} -relations

Step 2: If H is **not** conformal or H is **not** chordal, then H does **not** have the local-to-global consistency property for \mathbf{K} -relations.

Proof Outline: Show the following intermediate results:

- If H is not conformal or H is not chordal, then H contains a “**simple**” induced hypergraph H^* with hyperedges of one of the forms:
 - $\{V \setminus A : A \in V\}$, for some set V with $|V| \geq 3$.
 - $\{\{A_1, A_2\}, \dots, \{A_{n-1}, A_n\}, \{A_n, A_1\}\}$ with $n \geq 4$.
- If H has the local-to-global consistency property for \mathbf{K} -relations, then so do the above “**simple**” induced hypergraphs.
- The “**simple**” induced hypergraphs H^* do **not** have the local-to-global consistency property for \mathbf{K} -relations.

Explicit construction of \mathbf{K} -relations that are pairwise consistent but **not** globally consistent; inspired by Tseitin’s **hard-to-prove tautologies**.

Local-to-Global Consistency

Main Theorem: Let \mathbf{K} be a positive semiring.

The following are equivalent for a schema $H = (X_1, \dots, X_n)$

1. H is an acyclic hypergraph.
2. H has the local-to-global consistency property for \mathbf{K} -relations.

Corollary: The following are equivalent for a schema $H = (X_1, \dots, X_n)$

1. H is an acyclic hypergraph.
2. H has the local-to-global consistency property for relations.
3. H has the local-to-global consistency property for probability distributions of finite support.

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Note:

- The equivalence between 1. and 2. is the BFMY result.
- How is the equivalence between 1. and 3. related to Vorob'ev's work?

Vorob'ev's Theorem and Related Work

Vorob'ev's Theorem - 1962:

The following are equivalent for a schema $H = (X_1, \dots, X_n)$

- The hypergraph $H = (X_1, \dots, X_n)$ is **regular**.
- H has the local-to-global consistency property for probability distributions of finite support.

Note:

- In the paper, we give a direct proof that H is regular iff H is acyclic.
- Thus, **Vorob'ev's Theorem** and the **BFMY Theorem** are instances of a single unifying result.

Consistency over Positive Monoids

Observations: Let $\mathbf{K} = (K, +, \times, 0, 1)$ be a positive semiring.

- The definition of the projection $R[Z]$ uses only addition $+$
- Multiplication \times was used to define
 - the **equivalence relation** $R \equiv S$ (there are a, b such that $aR=bS$)
and
 - the **join operation** $R \bowtie S$.

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Definitions:

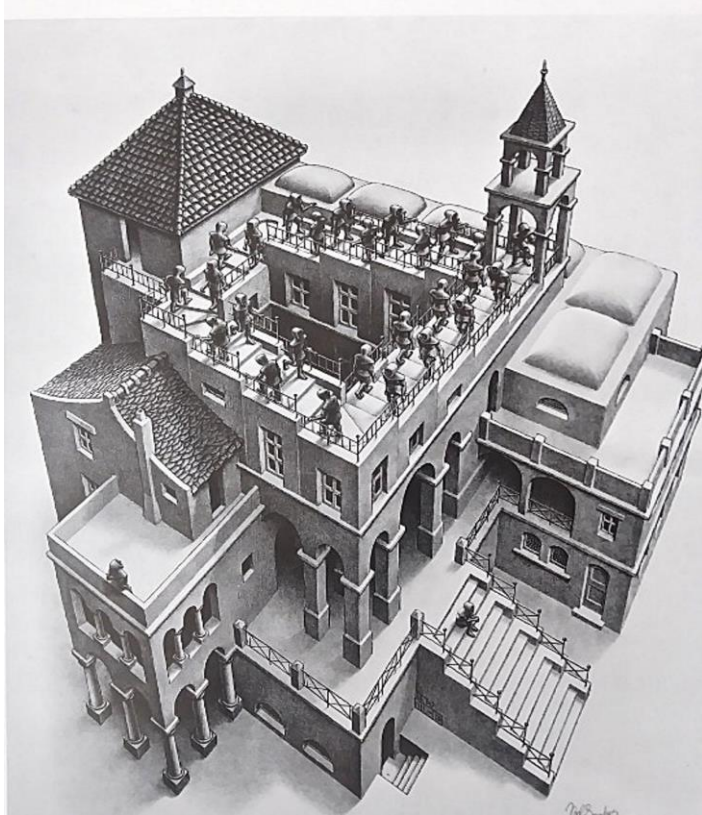
- A **positive monoid** is a commutative monoid $\mathbf{K} = (K, +, 0)$ such that $a + b = 0$ implies $a = 0$ and $b = 0$, for all $a, b \in K$.
- Two \mathbf{K} -relations $R(X)$ and $S(Y)$ are **strictly consistent** if there is a \mathbf{K} -relation $T(X \cup Y)$ such that $T[X] = R$ and $T[Y] = S$.
- Define analogously the notions of **strict global consistency property** and **strict local-to-global consistency property** for a hypergraph H .

Consistency over Positive Monoids

Results (work in progress):

- Let $\mathbf{K} = (K, +, 0)$ be a positive monoid and let H be a hypergraph. If H has the strict local-to-global consistency property for \mathbf{K} -relations, then H is acyclic.
- There are positive monoids \mathbf{K} and acyclic hypergraphs H such that H does **not** have the strict local-to-global consistency property for \mathbf{K} -relations.
- We characterize the positive monoids \mathbf{K} for which every acyclic hypergraph H has the strict local-to-global consistency property for \mathbf{K} -relations.

A new expanded framework for local vs. global consistency



Thank you for your attention!

Backup Slides

The Join of two **K**-relations

If $R(X)$ and $S(Y)$ are **K**-relations, then the **join of R and S** is the **K**-relation $R \bowtie S$ over $X \cup Y$ such that for every $(X \cup Y)$ -tuple t , we have

$$(R \bowtie S)(t) = R(t[X]) \times S(t[Y]) \times \prod_{u \neq t[X \cap Y]} S[X \cap Y](u)$$