# Circuits for Query Provenance 

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## Motivation

- Consider some problem on Boolean formulas F: SAT, model counting, circuit (BDD) construction, etc, etc.
- In general, the complexity is exponential in $F$.
- Now assume that $F$ is the provenance (lineage/grounding) of an FO sentence $Q$ over some input domain.
- For fixed $Q$, what is the problem complexity as a function of |input|?


## This Talk

$F$ is the provenance of some FO sentence $Q$ :

- Complexity of the Weighted Model Counting problem for $F$.
- The size of an OBDD, or FBDD, or Decision-DNNF for $F$. Knowledge Compilation [Darwiche and Marquis, 2002].
- Glaring omission: SAT.

Main message: from Logic $(Q)$ to Algorithms (for $F$ )

# Weighted Model Counting 

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Boolean formula F; Model count \#F is \#P-complete [Valiant, 1979]

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Shannon expansion:

$$
\mathbf{P}(F)=\left(1-p_{i}\right) \cdot \mathbf{P}\left(F\left[X_{i}:=0\right]\right)+p_{i} \cdot \mathbf{P}\left(F\left[X_{i}:=1\right]\right)
$$

Independence:

$$
\mathbf{P}\left(F_{1} \wedge F_{2}\right)=\mathbf{P}\left(F_{1}\right) \cdot \mathbf{P}\left(F_{2}\right) \text {, if } \operatorname{Vars}\left(F_{1}\right) \cap \operatorname{Vars}\left(F_{2}\right)=\emptyset
$$

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Given $Q$, what is the complexity of $\mathbf{P}\left(F_{n}[Q]\right)$ ?
Results in this talk: No negation, single quantifier type ( $\exists \exists \cdots$ or $\forall \forall \cdots$ )

Syntactic Feature \#1: Hierarchy
Fix $Q ; a t(x) \stackrel{\text { def }}{=}$ the set of atoms containing variable $x$.

## Definition

$Q$ is hierarchical if $a t(x) \subseteq a t(y)$, or $a t(x) \supseteq a t(y)$, or $a t(x) \cap a t(y)=\emptyset$.

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Hierarchical: $\exists x \exists y(R(x) \wedge S(x, y))$ is in PTIME.
Non-Hierarchical: $\exists x \exists y(R(x) \wedge S(x, y) \wedge T(y))$ is \#P-hard. ${ }^{2}$

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Theorem ([Dalvi and Suciu, 2012])
For any $Q, \mathbf{P}\left(F_{n}[Q]\right)$ is either in PTIME, or it is \#P-hard.

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What about CQs with self-joins? Or UCQs?

Theorem ([Dalvi and Suciu, 2012])
For any $Q, \mathbf{P}\left(F_{n}[Q]\right)$ is either in PTIME, or it is \#P-hard.

Hierarchy is necessary but not sufficient condition for PTIME:

Example hierarchical, yet \#P-hard:

$$
\begin{aligned}
& \text { UCQ: } \exists x \exists y(R(x) \wedge S(x, y)) \vee \exists u \exists v(S(u, v) \wedge T(v)) \\
& \text { Dual: } \forall x \forall y(R(x) \vee S(x, y)) \wedge \forall u \forall v(S(u, v) \vee T(v))
\end{aligned}
$$

## Discussion

- Main take away: from static analysis on $Q$ to complexity of $\mathbf{P}\left(F_{n}[Q]\right)$.
- Extension to UCQ ${ }^{\infty}$ (includes datalog) [Amarilli and Ceylan, 2020].
- Open: beyond UCQ/dualUCQ?
- \#SAT Dichotomy theorem [Creignou and Hermann, 1996] based on type of clauses (affine or not); dichotomy for UCQ based on structure.

Next: size of a BDD for $F_{n}[Q]$.

# Background: Binary Decision Diagrams 

## Overview: BDDs

Monography on BDDs [Wegener, 2000].
This talk:
Free Binary Decision Diagrams, FBDDs:

- Read-Once Branching Programs
- Binary Decision Diagrams [Akers, 1978] or Branching Programs [Masek, 1976]), subject to the read-once rule.

Ordered Binary Decision Diagrams, OBDD [Bryant, 1986].
Decision-DNN [Huang and Darwiche, 2005, Huang and Darwiche, 2007]:

- Special case of AND-FBDDs [Wegener, 2000].
- Special case of d-DNNF [Darwiche, 2001].


## Definitions: FBDDs, OBDDs, Decision-DNNFs

## FBDD

$F=\bar{X} \bar{Y} Z+\bar{X} Y U+X \bar{Z} U+X Y Z$.


Read-once property

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Decomposable $\Lambda$-nodes.
$\exists$ FBDD of size $\leq 2|G| 2^{\log ^{2}|G|}$
[Wegener, 2000]

- WMC in linear time: $\operatorname{Time}(\mathbf{P}(F))=O(|G|)$
- BDDs for subfunctions become smaller: $|G(F[\theta])| \leq|G(F)|$


## Knowledge Compilation v.s. Query Compilation

Knowledge compilation $F \mapsto$ BDD for $F$ [Darwiche and Marquis, 2002].

Query compilation Fix $Q . n \mapsto$ BDD for $F_{n}[Q]$ [Jha and Suciu, 2013].

## OBDDs

## OBDD

- $\operatorname{OBDD}=$ an FBDD that follows a fixed variable order $\Pi$.
- Similar to a DFA [Wegener, 2000].
- Synthesis: ${ }^{3}$ Given OBDDs $G_{1}, G_{2}$ for $F_{1}, F_{2}$ using same order $\Pi$, can synthesize an OBDD for $F_{1} \wedge F_{2}$ or $F_{1} \vee F_{2}$, of size $\leq\left|G_{1}\right| \cdot\left|G_{2}\right|$.

Given $Q$, what is the size of the OBDD for $F_{n}[Q]$ ?
${ }^{3}$ Product automaton.

## Example

$$
\begin{aligned}
& Q_{1}=\forall x \forall y(R(x) \vee S(x, y)) \\
& F_{2}=\left(R_{1} \vee S_{11}\right)\left(R_{1} \vee S_{12}\right)\left(R_{2} \vee S_{21}\right)\left(R_{2} \vee S_{22}\right)
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Size $=O(n)$.

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Same variable order: synthesize OBDD for $Q_{1} \wedge Q_{2}$ of size $=O(n)$.

## A Lower Bound

$H_{0} \stackrel{\text { def }}{=} \forall x \forall y(R(x) \vee S(x, y) \vee T(y))$

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$$

$$
/ / \operatorname{drop} \forall \ldots
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& H_{2} \stackrel{\text { def }}{=}\left(R\left(x_{0}\right) \vee S_{1}\left(x_{0}, y_{0}\right)\right) \wedge\left(S_{1}\left(x_{1}, y_{1}\right) \vee S_{2}\left(x_{1}, y_{1}\right)\right) \wedge\left(S_{2}\left(x_{2}, y_{2}\right) \vee T\left(y_{2}\right)\right)
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& \\
& \quad \ldots \\
& H_{k} \stackrel{\text { def }}{=} \ldots
\end{aligned}
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$H_{k}$ for $k \geq 1$ is hierarchical.

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Theorem ([Beame et al., 2017])
Any FBDD for $H_{k}$ has size $\geq\left(2^{n}-1\right) / n$; Decision-DNNF has size $2^{\Omega(\sqrt{n})}$.

## Syntactic Feature \#2: Inversions

## Definition

A $k$-inversion in a sentence $Q$ is a sequence of atoms:
$S_{1}\left(. ., x_{0}, . ., y_{0} ..\right), S_{1}\left(. ., x_{1}, . ., y_{1} ..\right), S_{2}\left(. ., x_{1}, . ., y_{1} ..\right), S_{2}\left(. ., x_{2}, . ., y_{2}, ..\right), \ldots, S_{k}\left(. ., x_{k}, . ., y_{k}, ..\right)$
Such that $a t\left(x_{0}\right) \supsetneq a t\left(y_{0}\right)$ and $a t\left(x_{k}\right) \subsetneq a t\left(y_{k}\right)$.

Example every $H_{k}$ has a $k$-inversion.
$H_{2}=\left(R\left(x_{0}\right) \vee S_{1}\left(\underline{x_{0}, y_{0}}\right)\right) \wedge\left(S_{1}\left(\underline{x_{1}, y_{1}}\right) \vee S_{2}\left(\underline{x_{1}, y_{1}}\right)\right) \wedge\left(S_{2}\left(\underline{x_{2}, y_{2}}\right) \vee T\left(y_{2}\right)\right)$

Inversions prevent us from finding a good order for the OBDD.

## Dichotomy

Theorem ([Jha and Suciu, 2013, Beame et al., 2017])
(1) If $Q$ has no inversions, then $F_{n}[Q]$ has an $O B D D$ of size $O$ ( $n^{\text {arity }}$ ) (linear).
(2) If $Q$ has a $k$-inversion, then the $O B D D$ for $F_{n}[Q]$ has size $2^{\Omega(n /(k+1))}$.
(1) Order the Boolean variables consistent with the hierarchy at $(x)$ : "no inversion" makes this possible. Build the OBDD using synthesis.
(2) OBDD $G$ for $Q \Rightarrow k+1$ subfunction OBDDs for the clauses of $H_{k}$ $\Rightarrow$ synthesis OBDD for $H_{k}$ of size $O\left(|G|^{k+1} \geq\left(2^{n}-1\right) / n\right.$.

Both proofs fail for FBDD: no synthesis.

## The Inclusion/Exclusion Formula

If $Q$ is a query without inversion then $\mathbf{P}(Q)$ is in PTIME.
What about the converse?

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Q_{V} \stackrel{\text { def }}{=}\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \wedge(R(x) \vee T(y))
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& =R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \quad \vee \quad\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y)
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= & R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \vee\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y) \\
\mathbf{P}\left(Q_{V}\right) & =\mathbf{P}\left(R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right)\right)+\mathbf{P}\left(\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y)\right) \\
& -\mathbf{P}(\underbrace{\left(R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \wedge\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y)\right)}_{\equiv R(x) \wedge T(y)})
\end{aligned}
$$

## The Inclusion/Exclusion Formula

If $Q$ is a query without inversion then $\mathbf{P}(Q)$ is in PTIME.
What about the converse?

$$
Q_{V} \stackrel{\text { def }}{=}\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \wedge(R(x) \vee T(y))
$$

Has inversion, yet $\mathbf{P}\left(Q_{V}\right)$ in PTIME:

$$
\begin{aligned}
Q_{V}=R(x)\left(R\left(x_{0}\right)\right. & \left.\vee S\left(x_{0}, y_{0}\right)\right)\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \vee\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right)\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) T(y) \\
= & R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \vee\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y) \\
\mathbf{P}\left(Q_{V}\right) & =\mathbf{P}\left(R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right)\right)+\mathbf{P}\left(\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y)\right) \\
& -\mathbf{P}(\underbrace{\left(R(x) \wedge\left(S\left(x_{1}, y_{1}\right) \vee T\left(y_{1}\right)\right) \wedge\left(R\left(x_{0}\right) \vee S\left(x_{0}, y_{0}\right)\right) \wedge T(y)\right)}_{\equiv R(x) \wedge T(y)})
\end{aligned}
$$

All three queries inversion-free: $\mathbf{P}\left(Q_{V}\right)$ in PTIME, OBDD $2^{\Omega(n)}$

## Discussion

- From static analysis on $Q$ to OBDD size for $F_{n}[Q]$.
- OBDDs are "incomplete".
- [Beame and Liew, 2015] prove the same linear/exponential dichotomy for SDDs (a strict generalization of OBDDs)

Are FBDDs/Decision-DNNFs complete?

## FBDDs and Decision-DNNFs

## The Quest of a "Complete" Family of Circuits

> If $\mathbf{P}\left(F_{n}[Q]\right)$ is in PTIME, does $F_{n}[Q]$ have a polynomial size FBDD? Or Decision-DNNF

In other words, are FBDDs/Decision-DNNF "complete" for tractable UCQs?

## The Quest of a "Complete" Family of Circuits

> If $\mathbf{P}\left(F_{n}[Q]\right)$ is in PTIME, does $F_{n}[Q]$ have a polynomial size FBDD? Or Decision-DNNF

In other words, are FBDDs/Decision-DNNF "complete" for tractable UCQs?

Will show both are incomplete

## Syntactic Feature \#3: Cancellations

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$$
H_{3}=\underbrace{\left(R\left(x_{0}\right) \vee S_{1}\left(x_{0}, y_{0}\right)\right)}_{\text {def }_{=} h_{30}} \wedge \underbrace{\wedge}_{\stackrel{\text { def }}{=}_{h_{31}}^{\left(S_{1}\left(x_{1}, y_{1}\right) \vee S_{2}\left(x_{1}, y_{1}\right)\right)}} \underbrace{\left(S_{2}\left(x_{2}, y_{2}\right) \vee S_{3}\left(x_{2}, y_{2}\right)\right)}_{\text {def }_{=1} h_{32}} \wedge \underbrace{\left(S_{3}\left(x_{3}, y_{3}\right) \vee T\left(y_{3}\right)\right)}_{\text {def }_{=} h_{33}}
$$

$$
\begin{aligned}
& Q_{W} \stackrel{\text { def }}{=} \\
& \left(h_{30} \wedge h_{32}\right) \vee\left(h_{30} \wedge h_{33}\right) \vee\left(h_{31} \wedge h_{33}\right)
\end{aligned}
$$

Theorem ([Beame et al., 2017])
(1) $\mathbf{P}\left(Q_{W}\right)$ in PTIME.
(2) $F B D D$ for $Q_{W}$ has size $2^{\Omega(n)}$ Decision-DNNF has size $2^{\Omega(\sqrt{n})}$.

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& -\mathbf{P}\left(h_{30} \wedge h_{32} \wedge h_{33}\right)-\mathbf{P}\left(h_{30} \wedge h_{31} \wedge h_{32} \wedge h_{33}\right)-\mathbf{P}\left(h_{30} \wedge h_{31} \wedge h_{33}\right) \\
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& +\mathbf{P}\left(h_{30} \wedge h_{31} \wedge h_{32} \wedge h_{33}\right) \quad / / \text { hard query } H_{3} \text { cancels out }
\end{aligned}
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Theorem ([Beame et al., 2017])
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$$
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& +\mathbf{P}\left(h_{30} \wedge h_{31} \wedge h_{32} \wedge h_{33}\right) \quad / / \text { hard query } H_{3} \text { cancels out }
\end{aligned}
$$

FBDD for $Q_{W} \Rightarrow$ multi-output FBDD for $h_{30}, h_{31}, h_{32}, h_{33} \Rightarrow$ FBDD for $H_{3}$.

## Discussion

- FBDDs and Decision-DNNFs are Incomplete.
- The theorem generalizes from $Q_{W}$ to arbitrary Boolean combinations of the clauses of $H_{k}$ [Beame et al., 2017].
- Inclusion/exclusion with cancellation: a powerful syntactic feature.


## Summary and Open Problems

Logic to Algorithms:
Statics analysis on the FO sentence $Q$ to complexity analysis of $F_{n}[Q]$.
Syntactic Features: hierarchy, inversions, cancellations

- Open: beyond UCQs and their duals?
- Add quantifier alternation, or negation, or ...
- Open: dichotomy for full FO? By Trakhentbrot's theorem we won't be able to decide the complexity.
- Open: complexity of $\operatorname{SAT}\left(F_{n}[Q]\right)$ ?
- Open: is there a "complete" family of circuits for UCQs? (Next talk)


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- Open: is there a "complete" family of circuits for UCQs? (Next talk) Thank You


## Surveys

[Suciu et al., 2011]
[den Broeck and Suciu, 2017]
[Suciu, 2020]

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[^0]:    ${ }^{2}$ Reduction from \#F for $F=\bigvee_{(i, j) \in E} X_{i} \wedge Y_{j}$ [Provan and Ball, 1983].

