

Proof Complexity, Circuit Complexity, and TFNP

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Based on work with Sam Buss and Russell Impagliazzo

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Task

Object

Model

Monotone Circuit Model *M*



Task

Object

Model

Monotone Circuit Model *M*



Task

Object

Model

Monotone Function f

Monotone Circuit Model *M*



Task

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Proof Complexity





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Proof Complexity





Interplay



Major breakthroughs resulted from uncovering deep connections between these areas!















Q. When and why do these connections occur?

TFNP has emerged as a roadmap for interpolation and lifting theorems





Characterizations by Total Search Problems



 mKW_f : Given $(x, y) \in f^{-1}(1) \times f^{-1}(0)$ output $i \in [n]$ such that $x_i \neq y_i$

Characterizations by Total Search Problems



Search_F: Given $x \in \{0,1\}^n$ output the index of a clause of F falsified by x



Studies the complexity of computing total search problems





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→Organizes them into a variety of classes with complete problems

arch problems with complete problems



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Typically study the Turing Machine complexity of total search problems However, useful to consider other models of computation









Model of Computation:

Decision Trees





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 $\forall \ell \in \mathcal{O} \text{ there is } polylog(n)$ -depth T_{ℓ} such that $(x, \ell) \in S \iff T_{\ell}(x) = 1$





[BCEIP98] Separations imply black-box / generic oracle separations





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[GKRS18] Certain proof systems are equivalent to decision tree TFNP classes!



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Say that these proof systems are characterized by the TFNP class





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TFNP \mathbb{F}_2 -Nullstellensatz PPADS PPP **PPA** Sherali-Adams **PPAD** \mathbb{Z} -Nullstellensatz FP **Tree-Resolution**

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TFNP and Proof Complexity

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Claim: Any $R \subseteq \{0,1\}^n \times \mathcal{O}$ with $R \in TFNP^{dt}$ is equivalent to $Search_F$ for some unsatisfiable CNFF





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As $R \in TFNP^{dt}$ there are $\{T_{\ell}\}$

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Expresses that R is not total:

A clause of $\neg DNF(T_{\ell})$ is false under $x \iff (x, \ell) \in R$

F =



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$$\bigwedge \neg DNF(T_{\ell})$$



TFNP subclasses defined as everything polylog(n)-reducible to a particular search problem



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 $(T_1, ..., T_m)(x)$ R



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w-Prover strategy \implies Complexity $w \log n$ Resolution proof



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 \leftarrow Extract a Prover Strategy for $Search_F$



SinkOfDag Vertices: 1,..., *n* Pointers: $s_i \ge i$ with $s_1 \ne 1$ Solutions: *i* s.t. $s_i \neq i \& s_{s_i} = s_i$

Memory







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Communication Protocols





[GKRS18] Certain circuit models are equivalent to communication TFNP classes!

















Observation 1: When both the DT and CC versions of a TFNP class admit a characterization then we immediately get an interpolation theorem



then we immediately get an interpolation theorem — CC protocols can simulate DTs



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Upshot: Understand when interpolation or query-to-communication lifting theorems occur by understanding when proof systems and monotone circuit models admit TFNP characterizations!



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Upshot: Understand when interpolation or query-to-communication lifting theorems occur by understanding when proof systems and monotone circuit models admit TFNP characterizations!

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Canonical proof system for C

Fix H such that $Search_H$ is equivalent to the complete problem for C



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variables.

- Proof of *F*: a tuple $(n', \{T_i\}, \{T_i^o\})$ which describes a reduction from $Search_F$ to $Search_H$ on n'



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Proof of F: a tuple $(n', \{T_i\}, \{T_i^o\})$ which describes a reduction from $Search_F$ to $Search_H$ on n'variables.

Cook-Reckhow proof system — proofs are verifiable! \rightarrow Just check that $(n', \{T_i\}, \{T_j^o\})$ describes a valid reduction!



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If *P* has a small proof of *F* and T_1, \ldots, T_n are short decision trees \implies P has a small proof of $F(T_1, ..., T_n)$



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Standard proof systems satisfy this — e.g., Resolution, Sherali-Adams, Nullstellensatz...





Reflection principle for proof system P



$Ref_{P,n,m,c} := Proof_P(F,\Pi) \wedge SAT(F,\alpha)$



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Π is a complexity-*c P*-proof that *F* is unsatisfiable

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Short Proofs of Soundness

Reflection principle for proof system P

Fix a standard encoding of SAT





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Reflection principle for proof system *P*

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Many ways to encode P-proofs in an efficiently verifiable manner (O(c) width, $2^{O(c)}$

 \rightarrow Each generates a TFNP class as everything reducible to $Search_{Ref_P}$



Theorem: If *P* is closed under dt-reductions and has polylog(n)-complexity proofs of Ref_P then *P* is characterized by the TFNP class for $Search_{Ref_P}$



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Search_{Ref_P} \in *TFNP*^{*dt*} as *Ref_P* is efficiently verifiable.



then P is characterized by the TFNP class for $Search_{Ref_{P}}$

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Search_F reduces to Search_{Refp} \implies efficient P-proof of F:

Efficient *P*-proof of $F \Longrightarrow Search_F$ reduces to $Search_{Ref_F}$

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Search_{Ref_P} \in *TFNP*^{*dt*} as *Ref_P* is efficiently verifiable. $Search_F$ reduces to $Search_{Ref_P} \Longrightarrow$ efficient *P*-proof of *F*:

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- Theorem: If P is closed under dt-reductions and has polylog(n)-complexity proofs of Ref_P
- As P is closed under dt-reductions and has a short proof of Ref_P then it has a short proof of F



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Efficient P-proof of $F \Longrightarrow Search_F$ reduces to $Search_{Ref_F}$ Let Π be an efficient P-proof of F

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Reduction hardwires Π , F in $Ref_P(\Pi, F, \alpha)$ leaving only the assignment α free (using constant)



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- As P is closed under dt-reductions and has a short proof of Ref_P then it has a short proof of F
- Reduction hardwires Π , F in $Ref_P(\Pi, F, \alpha)$ leaving only the assignment α free (using constant)
- Π is low complexity \implies number of variables of Ref_P instance is not much more than that of F



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Canonical proof system for a TFNP class can prove a reflection principle about itself



Theorem: If P is closed under dt-reductions and has polylog(n)-complexity proofs of Ref_P then P is characterized by the TFNP class for $Search_{Ref_P}$

Canonical proof system for a TFNP class can prove a reflection principle about itself

Corollary: A proof system admits a TFNP^{dt} characterization iff it is closed under decision tree reductions and has short proofs of a reflection principle about itself.



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Q. Under what conditions does a monotone circuit model admit a TFNP characterization?

A. Iff the monotone circuit model C has a universal family of functions! A monotone function *F* such that for any partial function g: C efficiently computes $g \implies$ there is a string z such that $F \upharpoonright z(x) = g(x)$

for all *x* on which *g* is defined

2.
$$C$$
 efficiently computes F



Q. Under what conditions does a TFNP class admit a circuit characterization?

A. For every TFNP class there is a model of monotone circuit which characterizes it!

Under what conditions does a monotone circuit model admit a TFNP characterization?

A. Iff the monotone circuit model C has a universal family of functions! (And closed under lowdepth formula reductions). A monotone function *F* such that

for any partial function g: for all x on which g is defined

2. C efficiently computes F

C efficiently computes $g \implies$ there is a string z such that $F \upharpoonright z(x) = g(x)$



Open Problem

Q. A generic lifting theorem?

A circuit and proof system characterization of a TFNP class immediately implies an interpolation theorem. Does the same hold for lifting theorems?

