quantum information and convex optimization

Aram Harrow (MIT)
outline

1. quantum information, entanglement, tensors
2. optimization problems from quantum mechanics
3. SDP approaches
4. analyzing LPs & SDPs using (quantum) information theory
5. $\varepsilon$-nets
### Quantum Information ≈ Noncommutative Probability

<table>
<thead>
<tr>
<th><strong>Probability</strong></th>
<th><strong>Quantum</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>States</td>
<td>$\Delta_n = { p \in \mathbb{R}^n, p \geq 0, \sum_i p_i = |p|_1 = 1}$</td>
</tr>
<tr>
<td>$D_n = { \rho \in \mathbb{C}^{n \times n}, \rho \geq 0 }$</td>
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<tr>
<td>$\text{tr } \rho = | \rho |_1 = 1$</td>
<td></td>
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<tr>
<td>Measurement</td>
<td>$m \in \mathbb{R}^n$</td>
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<tr>
<td>$0 \leq m_i \leq 1$</td>
<td></td>
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<tr>
<td>$M \in \mathbb{C}^{n \times n}$</td>
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<tr>
<td>$0 \leq M \leq I$</td>
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<tr>
<td>&quot;Accept&quot;</td>
<td>$\langle m, p \rangle$</td>
</tr>
<tr>
<td>$\langle M, \rho \rangle = \text{tr}[M \rho]$</td>
<td></td>
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<tr>
<td>Distance</td>
<td>$\frac{1}{2} | p - q |_1$</td>
</tr>
<tr>
<td>= Best Bias</td>
<td>$\frac{1}{2} | \rho - \sigma |_1$</td>
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</table>
## bipartite states

<table>
<thead>
<tr>
<th>Product states (independent)</th>
<th>Probability</th>
<th>Quantum</th>
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<tbody>
<tr>
<td>((p \otimes q)_{ij} = p_i q_j)</td>
<td>((\rho \otimes \sigma)<em>{ij,kl} = \rho</em>{i,k} \sigma_{j,l})</td>
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<thead>
<tr>
<th>Local measurement</th>
<th>Marginal state</th>
<th>Separable states (not entangled)</th>
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</thead>
<tbody>
<tr>
<td>(m \otimes 1_n) or (1_n \otimes m)</td>
<td>(p_i^{(1)} = \Sigma_j p_{ij})</td>
<td>(\text{conv}{p \otimes q} = \Delta_{n^2})</td>
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<tr>
<td>(p_j^{(2)} = \Sigma_i p_{ij})</td>
<td>(\rho_{i,j}^{(1)} = \text{tr}<em>2 \rho = \Sigma_k \rho</em>{ik,jk})</td>
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<tr>
<td>(\rho_{i,j}^{(2)} = \text{tr}<em>1 \rho = \Sigma_k \rho</em>{ki,kj})</td>
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</tbody>
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<tr>
<th></th>
<th>Separable states (not entangled)</th>
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<tbody>
<tr>
<td>(\text{Sep} = \text{conv}{p \otimes \sigma} \subset \mathcal{D}_{n^2})</td>
<td>(sometimes entangled)</td>
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</table>
entanglement and optimization

**Definition**: $\rho$ is separable (i.e. not entangled) if it can be written as

$$\rho = \sum_i p_i v_i v_i^* \otimes w_i w_i^*$$

**Weak membership problem**: Given $\rho$ and the promise that $\rho \in \text{Sep}$ or $\rho$ is far from Sep, determine which is the case.

**Sep** = $\text{conv}\{v v^* \otimes w w^*\}$

= $\text{conv}\{\rho \otimes \sigma\}$

**Optimization**: $h_{\text{Sep}}(M) := \max \{ \text{tr}[M \rho] : \rho \in \text{Sep} \}$
complexity of $h_{\text{Sep}}$

Equivalent to: [H, Montanaro ‘10]

- computing $\|T\|_{\text{inj}} := \max_{x,y,z} |\langle T, x \otimes y \otimes z \rangle|$
- computing $\|A\|_{2\to4} := \max_x \|Ax\|_4 / \|x\|_2$
- computing $\|T\|_{2\to\text{op}} := \max_x \|\sum_i x_i T_i\|_{\text{op}}$
- maximizing degree-4 polys over unit sphere
- maximizing degree-$O(1)$ polys over unit sphere

$h_{\text{Sep}}(M) \pm 0.1 \|M\|_{\text{op}}$ at least as hard as
- planted clique [Brubaker, Vempala ‘09]
- 3-SAT[$\log^2(n) / \text{polyloglog}(n)$] [H, Montanaro ‘10]

$h_{\text{Sep}}(M) \pm 100 h_{\text{Sep}}(M)$ at least as hard as
- small-set expansion [Barak, Brandão, H, Kelner, Steurer, Zhou ‘12]

$h_{\text{Sep}}(M) \pm \|M\|_{\text{op}} / \text{poly}(n)$ at least as hard as
- 3-SAT[$n$] [Gurvits ‘03], [Le Gall, Nakagawa, Nishimura ‘12]
multipartite states

\[ n \text{ d-dimensional systems} \rightarrow d^n \text{ dimensions} \]

This explains:

- power of quantum computers
- difficulty of classically simulating q mechanics

Can also interpret as 2n-index tensors.

\[ \text{tr}_3 \rho \]

\[ \text{tr}[M \rho] \]
**local Hamiltonians**

**Definition:** k-local operators are linear combinations of $\{A_1 \otimes A_2 \otimes ... \otimes A_n : \text{at most } k \text{ positions have } A_i \neq I.\}$

intuition: Diagonal case $= k$-CSPs $= \text{degree-}k \text{ polys}$

**Local Hamiltonian problem:**
Given k-local $H$, find $\lambda_{\min}(H) = \min_{\rho} \text{tr}[H \rho]$.

**QMA-complete** to estimate to accuracy $\|H\| / \text{poly}(n)$.
**qPCP conjecture:** ... or with error $\varepsilon \|H\|$

**QMA vs NP:**
do low-energy states have good classical descriptions?
Spin-Liquid Ground State of the $S = 1/2$ Kagome Heisenberg Antiferromagnet

Simeng Yan, David A. Huse, Steven R. White

We use the density matrix renormalization group to perform accurate calculations of the ground state of the nearest-neighbor quantum spin $S = 1/2$ Heisenberg antiferromagnet on the kagome lattice. We study this model on numerous long cylinders with circumferences up to 12 lattice spacings. Through a combination of very-low-energy and small finite-size effects, our results provide strong evidence that, for the infinite two-dimensional system, the ground state of this model is a fully gapped spin liquid.

We consider the quantum spin $S = 1/2$ kagome Heisenberg antiferromagnet (KHA) with only nearest-neighbor isotropic exchange interactions (Hamiltonian $H = \sum S_i \cdot S_j$, where $S_i$ and $S_j$ are the spin operators for sites $i$ and $j$, respectively) on a kagome lattice (Fig. 1A). This frustrated spin system has long been thought to be an ideal candidate for a simple, physically realistic model that shows a spin-liquid ground state (1-3). A spin liquid is a magnetic system that has “melted” in its ground state because of quantum fluctuations, so it has no spontaneously broken symmetries (4). A key problem in searching for spin liquids in two-dimensional (2D) models is that there are no exact or nearly exact analytical or computational methods to solve infinite 2D quantum lattice systems. For 1D systems, the density matrix renormalization group (DMRG) (5, 6), the method we use here, serves in this capacity. In addition to its interest as an important topic in quantum magnetism, the search for spin liquids thus serves as a test-bed for the development of accurate and widely applicable computational methods for 2D many-body quantum systems.
quantum marginal problem

Local Hamiltonian problem:
Given $l$-local $H$, find $\lambda_{\min}(H) = \min_{\rho} \text{tr}[H \rho]$.

Write $H = \sum_{|S| \leq l} H_S$ with $H_S$ acting on systems $S$.
Then $\text{tr}[H \rho] = \sum_{|S| \leq l} \text{tr}[H_S \rho^{(S)}]$.

$O(n^l)$-dim convex optimization:
\[
\min \sum_{|S| \leq l} \text{tr}[H_S \rho^{(S)}]
\]
such that $\{\rho^{(S)}\}_{|S| \leq l}$ are compatible.

$O(n^k)$-dim relaxation: ($k \geq l$)
\[
\min \sum_{|S| \leq l} \text{tr}[H_S \rho^{(S)}]
\]
such that $\{\rho^{(S)}\}_{|S| \leq k}$ are locally compatible.
Other Hamiltonian problems

Properties of ground state:
i.e. estimate $\text{tr}[A \rho]$ for $\rho = \arg\min \text{tr}[H \rho]$

reduces to estimating $\lambda_{\text{min}}(H + \mu A)$

Non-zero temperature:
Estimate $\log \text{tr} \ e^{-H}$ and derivatives

#P-complete, but some special cases are easier

(Noiseless) time evolution:
Estimate matrix elements of $e^{iH}$

BQP-complete
SOS hierarchies for q info

1. **Goal**: approximate Sep  
   **Relaxation**: $k$-extendable + PPT (positive partial transpose)

2. **Goal**: $\lambda_{\min}$ for Hamiltonian on $n$ qudits  
   **Relaxation**: $L : k$-local observables $\rightarrow \mathbb{R}$  
   such that $L[X^*X] \geq 0$ for all $k/2$-local $X$.

3. **Goal**: $\sup_{\rho, \{A\}, \{B\}} \sum_{xy} c_{xy} \langle \rho, A_x \otimes B_y \rangle$  
   **Relaxation**: $L :$ products of $\leq k$ operators $\rightarrow \mathbb{R}$  
   such that $L[p^*p] \geq 0 \ \forall$ noncommutative poly $p$ of deg $\leq k/2$,  
   and operators on different parties commute.

Non-commutative positivstellensatz [Helton-McCullough '04]
1. SOS hierarchies for Sep

\[ \text{SepProdR} = \text{conv}\{xx^T \otimes xx^T : \|x\|_2 = 1, x \in \mathbb{R}^n\} \]

relaxation [Doherty, Parrilo, Spedalieri ’03]

\[ \sigma \in D_{nk} \text{ is a fully symmetric tensor} \]

\[ \rho = \text{tr}_{3...k}[\sigma] \]

Other versions use less symmetry.
e.g. k-ext + PPT
2. SOS hierarchies for $\lambda_{\text{min}}$

**Exact convex optimization:** (hard)

$$\min \sum_{|S| \leq k} \text{tr}[H_S \rho^{(S)}]$$

such that $\{\rho^{(S)}\}_{|S| \leq k}$ are compatible.

**Equivalent:**

$$\min \sum_{|S| \leq k} L[H_S] \text{ s.t.}$$

$$\exists \rho \ \forall \ k\text{-local } X, L[X] = \text{tr}[\rho X]$$

**Relaxation:**

$$\min \sum_{|S| \leq k} L[H_S] \text{ s.t.}$$

$$L[X^*X] \geq 0 \text{ for all } k/2\text{-local } X$$

$$L[I] = 1$$
classical analogue of Sep

quadratic optimization over simplex
max \( \{ \langle Q, p \otimes p \rangle : p \in \Delta_n \} = h_{\text{conv}\{p \otimes p\}}(Q) \)

If \( Q=A \), then max = \( 1 - 1 / \text{clique#} \).

relaxation:
\( q \in \Delta_{nk} \) symmetric (aka “exchangeable”)
\( \pi = q^{(1,2)} \)

convergence: [Diaconis, Freedman ‘80], [de Klerk, Laurent, Parrilo ‘06]
\( \text{dist}(\pi, \text{conv}\{p \otimes p\}) \leq O(1/k) \)
\( \Rightarrow \text{error} \|Q\|_\infty / k \text{ in time } n^{O(k)} \)
Nash equilibria

Non-cooperative games:
Players choose strategies $p^A \in \Delta_m$, $p^B \in \Delta_n$.
Receive values $\langle V_A, p^A \otimes p^B \rangle$ and $\langle V_B, p^A \otimes p^B \rangle$.

Nash equilibrium: neither player can improve own value
$\varepsilon$-approximate Nash: cannot improve value by $> \varepsilon$

Correlated equilibria:
Players follow joint strategy $p^{AB} \in \Delta_{mn}$.
Receive values $\langle V_A, p^{AB} \rangle$ and $\langle V_B, p^{AB} \rangle$.
Cannot improve value by unilateral change.

- Can find in $\text{poly}(m,n)$ time with LP.
- Nash equilibrium = correlated equilibrium with $p = p^A \otimes p^B$
finding (approximate) Nash eq

**Known complexity:**
Finding exact Nash eq. is PPAD complete.
Optimizing over exact Nash eq is NP-complete.

Algorithm for $\varepsilon$-approx Nash in time $\exp\left(\log(m)\log(n)/\varepsilon^2\right)$ based on enumerating over nets for $\Delta_m$, $\Delta_n$.
Planted clique and 3-SAT[$\log^2(n)$] reduce to optimizing over $\varepsilon$-approx Nash.

[Lipton, Markakis, Mehta ‘03], [Hazan-Krauthgamer ‘11], [Braverman, Ko, Weinstein ‘14]

**New result:** Another algorithm for finding $\varepsilon$-approximate Nash with the same run-time.
(uses k-extendable distributions)
algorithm for approx Nash

Search over \( p^{AB_1 \ldots B_k} \in \Delta_{mn^k} \)
such that the A:B\(_i\) marginal is a correlated equilibrium conditioned on any values for B\(_1\), …, B\(_{i-1}\).

LP, so runs in time poly(mn\(^k\))

Claim: Most conditional distributions are \( \approx \) product.

Proof:
\[
\log(m) \geq H(A) \geq I(A:B_1 \ldots B_k) = \sum_{1 \leq i \leq k} I(A:B_i | B_{<i})
\]
\[
\mathbb{E}_i I(A:B_i | B_{<i}) \leq \log(m)/k =: \varepsilon^2
\]
\[
\therefore k = \log(m)/\varepsilon^2 \text{ suffices.}
\]
SOS results for $h_{\text{Sep}}$

Sep($n,m$) = $\text{conv}\{\rho_1 \otimes \ldots \otimes \rho_m : \rho_m \in D_n\}$

SepSym($n,m$) = $\text{conv}\{\rho^\otimes m : \rho \in D_n\}$

Thm: If $M = \sum_i A_i \otimes B_i$ with $\sum_i |A_i| \leq I$, each $|B_i| \leq I$, then

$$h_{\text{Sep}(n,2)}(M) \leq h_{k-\text{ext}}(M) \leq h_{\text{Sep}(n,2)}(M) + c \left(\log(n)/k\right)^{1/2}$$

[Braendão, Christandl, Yard ‘10], [Yang ‘06], [Brandão, H ‘12], [Li, Winter ‘12]

multipartite

$M = \sum_{i_1, \ldots, i_m} c_{i_1, \ldots, i_m} A_{i_1}^{(1)} \otimes \ldots \otimes A_{i_m}^{(m)}$,

$$\sum_i |A_i^{(j)}| \leq I \quad |C_{i_1, \ldots, i_m}| \leq 1$$

Thm:

$\varepsilon$-approx to $h_{\text{SepSym}(n,m)}(M)$ in time $\exp(m^2 \log^2(n)/\varepsilon^2)$.

$\varepsilon$-approx to $h_{\text{Sep}(n,m)}(M)$ in time $\exp(m^3 \log^2(n)/\varepsilon^2)$.

[Brandão, H ‘12], [Li, Smith ‘14]

≈matches Chen-Drucker hardness
SOS results for $\lambda_{\text{min}}$

$H = \bigoplus_{(i,j) \in E} H_{i,j}$ acts on $(\mathbb{C}^d)^n$ such that
- each $\|H_{i,j}\| \leq 1$
- $|V| = n$
- $(V,E)$ is regular
- adjacency matrix has $\leq r$ eigenvalues $\geq \text{poly}(\varepsilon/d)$

**Theorem**

$\lambda_{\text{min}}(H) \approx \varepsilon \ h_{\text{Sep}(d,n)}(H)$
and can compute this to error $\varepsilon$
with $r \cdot \text{poly}(d/\varepsilon)$ rounds of SOS,
i.e. time $n^{r \cdot \text{poly}(d/\varepsilon)}$.

net-based algorithms

\[ M = \sum_{i \in [m]} A_i \otimes B_i \] with \( \sum_i A_i \leq I \), each \( |B_i| \leq I \), \( A_i \geq 0 \)

hierarchies estimate \( h_{\text{Sep}}(M) \pm \varepsilon \) in time \( \exp(\log^2(n)/\varepsilon^2) \)

\[ h_{\text{Sep}}(M) = \max_{\alpha, \beta} \text{tr}[M(\alpha \otimes \beta)] = \max_{p \in S} \|p\|_{B^*} \]

\( S = \{ p : \exists \alpha \text{ s.t. } p_i = \text{tr}[A_i \alpha] \} \subseteq \Delta_m \)

\[ \|x\|_B = \|\sum_i x_i B_i\|_{op} \]

**Lemma:** \( \forall p \in \Delta_m \exists q \text{ k-sparse (each } q_i = \text{integer } / k) \)

\[ \|p-q\|_B \leq c(\log(n)/k)^{1/2} \]

**Pf:** matrix Chernoff [Ahlsweide-Winter]

**Algorithm:** Enumerate over k-sparse q
- check whether \( \exists p \in S, \|p-q\|_B \leq \varepsilon \)
- if so, compute \( \|q\|_B \)

**Performance**
- \( k \approx \log(n) / \varepsilon^2 \), \( m = \text{poly}(n) \)
- run-time \( O(m^k) = \exp(\log^2(n)/\varepsilon^2) \)
nets for Banach spaces

\( X: A \to B \)
\[ \|X\|_{A \to B} = \sup \|Xa\|_B / \|a\|_A \] \text{operator norm}
\[ \|X\|_{A \to C \to B} = \min \{ \|Z\|_{A \to C} \|Y\|_{C \to B} : X = YZ \} \] \text{factorization norm}

Let \( A, B \) be arbitrary. \( C = l_1^m \)
Only changes are sparsification (cannot assume \( m \leq \text{poly}(n) \)) and operator Chernoff for \( B \).

Type 2 constant: \( \tau_2(B) \) is smallest \( \lambda \) such that
\[
\mathbb{E}_{\epsilon_1, \ldots, \epsilon_n \in \{ \pm 1 \}} \left\| \sum_{i=1}^{n} \epsilon_i Z_i \right\|_B^2 \leq \lambda^2 \sum_{i=1}^{n} \|Z_i\|_B^2
\]

result: \( \|X\|_{A \to B} \pm \epsilon \|X\|_{A \to l_1^m \to B} \) estimated in time \( \exp(\tau_2(B)^2 \log(m) / \epsilon^2) \)
### $\varepsilon$-nets vs. SOS

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<th>Problem</th>
<th>$\varepsilon$ -nets</th>
<th>SOS/info theory</th>
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<tbody>
<tr>
<td>$\max_{p \in \Delta} p^T Ap$</td>
<td>KLP '06</td>
<td>DF '80, KLP '06</td>
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<tr>
<td>approx Nash</td>
<td>LMM '03</td>
<td>H. '14</td>
</tr>
<tr>
<td>free games</td>
<td>AIM '14</td>
<td>Brandão-H '13</td>
</tr>
<tr>
<td>$h_{\text{Sep}}$</td>
<td>Shi-Wu '11, Brandão-H '14</td>
<td>BCY '10, Brandão-H '12, BKS '13</td>
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</tbody>
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questions / references

- Application to 2→4 norm and small-set expansion.
- Matching quasipolynomial algorithms and hardness.
- Simulating noisy/low-entanglement dynamics
- Conditions under which Hamiltonians are easy to simulate
- Relation between hierarchies and nets
- Meaning of low quantum conditional mutual information

<table>
<thead>
<tr>
<th>Hardness/connections</th>
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<tr>
<td>Relation to 2→4 norm, SSE</td>
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<td>SOS for $h_{\text{Sep}}$</td>
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<td>SOS for $\lambda_{\text{min}}$</td>
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